



materials design®

# Atomistic Simulations for Industrial Applications

Volker Eyert

Materials Design Inc.

8 October 2014



# Agenda

- ▶ Company Profile Materials Design, Inc.
  - Products and Services: Software and Consulting
- ▶ Challenges and Solutions
  - Capabilities of the MedeA<sup>®</sup> software environment
- ▶ Current trends in materials research
  - Thermo-mechanical properties, material fatigue, ageing effects
  - Battery materials
  - Electronic and thermal conductivity, thermoelectricity
- ▶ Summary and Perspectives



materials design

# Company Profile

- ▶ Founded by scientists in 1998
- ▶ Over 400 customers in industry, universities, and government laboratories including over 50 major companies worldwide
- ▶ Products: **MedeA**<sup>®</sup> software, support and consulting services
- ▶ Global: Offices in San Diego, Angel Fire, Paris and Stockholm
- ▶ Business partners: Japan, Korea, China, Taiwan, Singapore, and India
- ▶ Core competence in
  - Computational chemistry & physics
  - Materials science & chemical engineering
  - Materials property databases & software engineering



# Customers

Energy

Metals &  
Alloys

Chemicals

Oil & Gas

Electronics

Automotive &  
Aerospace

Glass &  
Ceramics

Mining &  
Drilling

Universities and Government R&D Laboratories



# Customers

## 12 world largest companies by revenue

Ranking	Name	Industry	Revenue (USD billions)	FY	Capitalization (USD billions)	Employees	Listing	Headquarters	CEO	Ref(s)
1	<a href="#">Exxon Mobil</a>	<a href="#">Oil and gas</a>	\$491	December 31, 2013	\$438	76,900	<a href="#">NYSE: XOM</a>	Irving, Texas	Rex W. Tillerson	[2]
2	<a href="#">Sinopec Group</a>	<a href="#">Oil and gas</a>	\$486	December 31, 2013		401,000	-	Beijing	Wang Tianpu	[3]
3	<a href="#">Royal Dutch Shell</a>	<a href="#">Oil and gas</a>	\$478	December 31, 2013	\$243	90,000	<a href="#">LSE: RDSA</a>	The Hague; London	Ben van Beurden	[4]
4	<a href="#">Wal-Mart Stores, Inc</a>	<a href="#">Retail</a>	\$476	January 31, 2014	\$203	2,200,000	<a href="#">NYSE: WMT</a>	Bentonville, Arkansas	Doug McMillon	[5]
5	<a href="#">China National Petroleum Corporation</a>	<a href="#">Oil and gas</a>	\$455	December 31, 2013		1,668,072	-	Beijing	Zhou Jiping	[6]
6	<a href="#">BP</a>	<a href="#">Oil and gas</a>	\$379	December 31, 2013	\$89	83,900	<a href="#">LSE: BP</a>	London	Bob Dudley	[7]
7	<a href="#">Saudi Aramco</a>	<a href="#">Oil and gas</a>	\$365	2011		54,041	-	Dhahran	Khalid A. Al-Falih	[8]
8	<a href="#">State Grid Corporation of China</a>	<a href="#">Electric utility</a>	\$338	2013		1,564,000	-	Beijing	Liu Zhenya	[9]
9	<a href="#">Vitol</a>	<a href="#">Commodities</a>	\$307	2013		5,441	-	Rotterdam; Geneva	Ian Taylor	[10]
10	<a href="#">Volkswagen Group</a>	<a href="#">Automotive</a>	\$263	December 31, 2013	\$77	572,800	ISIN: <a href="#">DE0007664005</a>	Wolfsburg	Martin Winterkorn	[11]
11	<a href="#">Total</a>	<a href="#">Oil and gas</a>	\$253	December 31, 2013	\$120	98,799	<a href="#">Euronext: FP</a>	Courbevoie	Christophe de Margerie	[12]
12	<a href="#">Toyota</a>	<a href="#">Automotive</a>	\$249	March 31, 2014	\$149	326,000	<a href="#">TYO: 7203</a> ; <a href="#">NYSE: TM</a>	Toyota, Aichi	Akio Toyoda	[13]



# Products and Services

## ▶ **MedeA<sup>®</sup> software**

- Comprehensive atomistic modeling environment with leading technology
- Installation, training, online support, and maintenance
- Scientific/technological interactions
- Yearly users group meetings (Philadelphia, Oct 21-23, 2014)

## ▶ **Contract research**

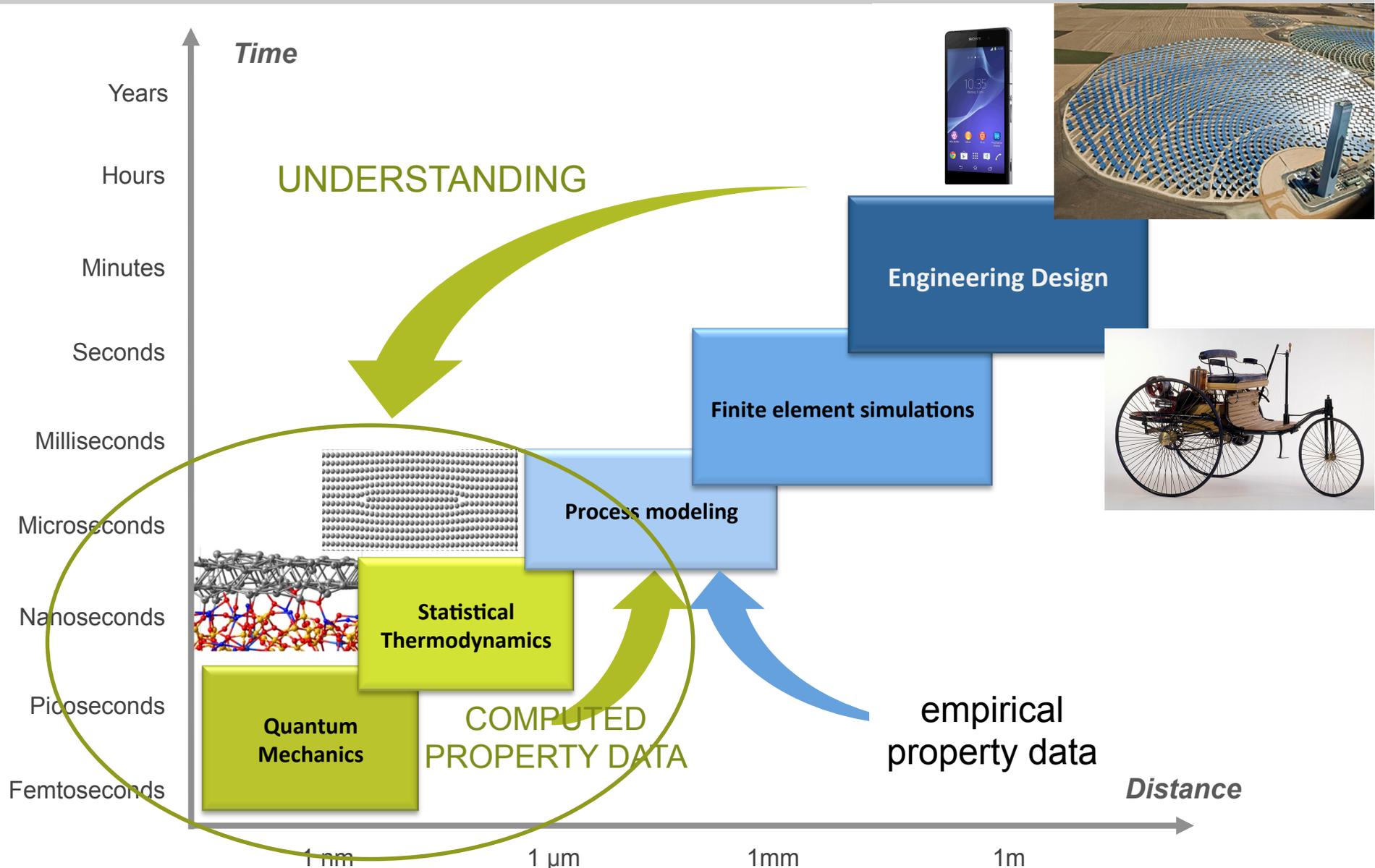
- Solution of specific industrial problems
- Leverages expertise and resources of MD's scientists
- Publicly funded programs

## ▶ **Technology partnerships**

- Development of customized modeling capabilities (e.g. Toyota)



# Technology Positioning

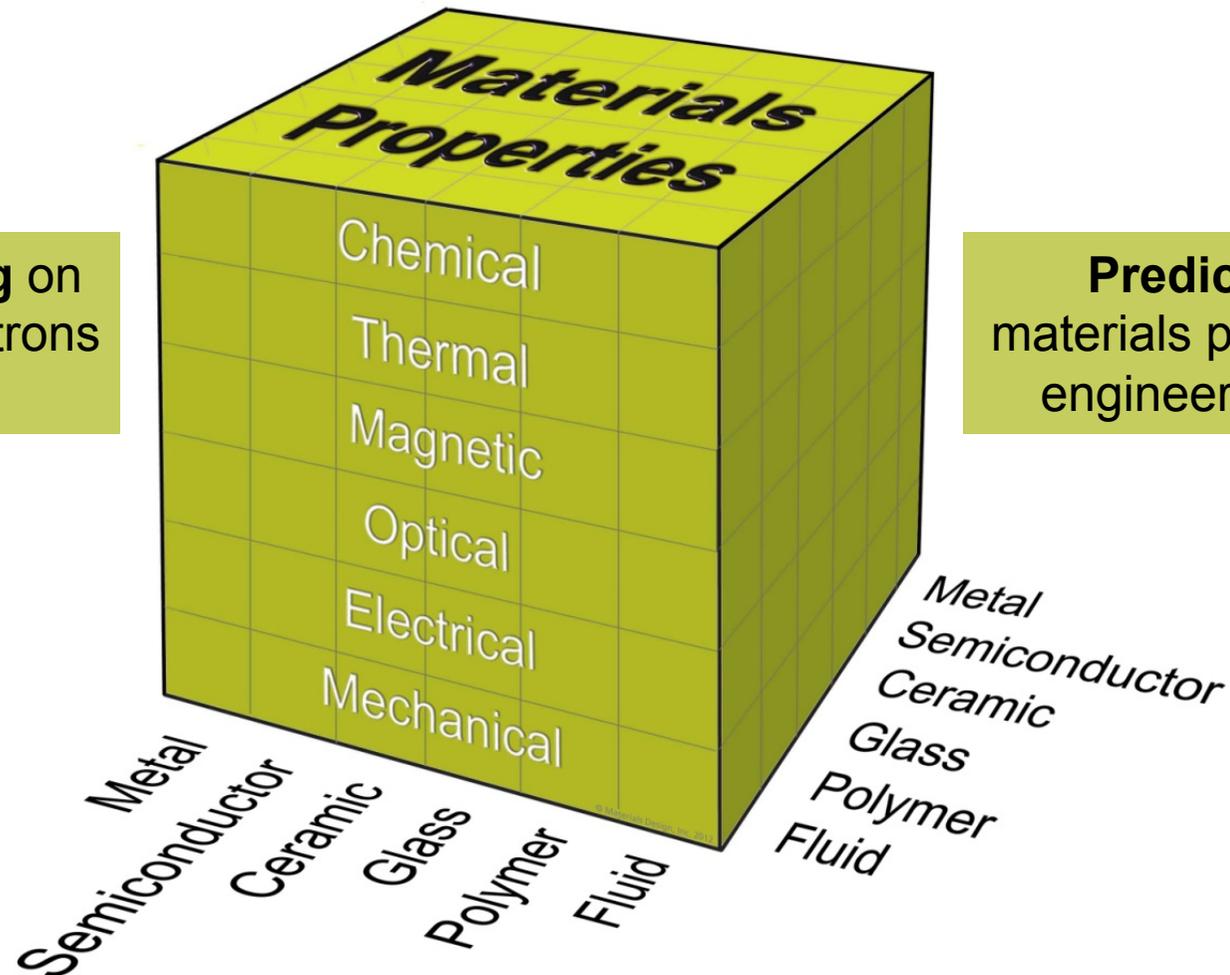




# Goal of Atomistic Simulations

## MedeA<sup>®</sup>: Software for Efficient R&D

**Understanding** on the level of electrons and atoms



**Prediction** of materials properties of engineering value



# Materials Exploration and Design Analysis



# MedeA<sup>®</sup> Software



## MODELING & ANALYSIS

Builders: crystals, defects, interfaces, surfaces, molecules, nanostructures, polymers, amorphous materials

Analysis: geometry, band structures and DOS, electron and spin density, potential, Fermi surface, phonons, transition states, dynamics trajectories

### Job Server

## DATABASES

Experimental and Computed Structure and Property Data

ICSD

NIST Crystal Data

Pauling

Pearson

Computed

### Task Servers

Mechanical

Thermal

Chemical

Kinetic

Electric

Optic

Magnetic

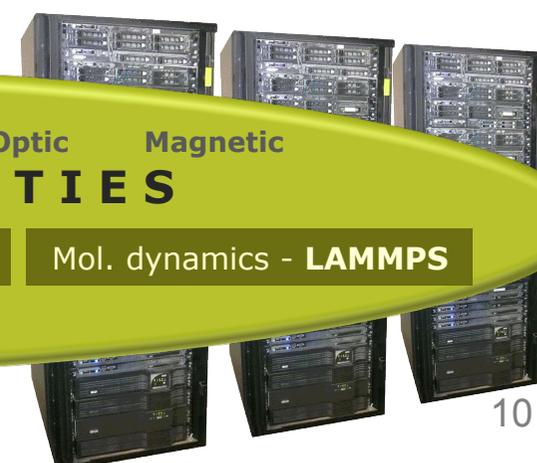
## COMPUTATION OF PROPERTIES

ab initio QM - **VASP**

Semi-empirical - **MOPAC**

Monte Carlo - **GIBBS**

Mol. dynamics - **LAMMPS**

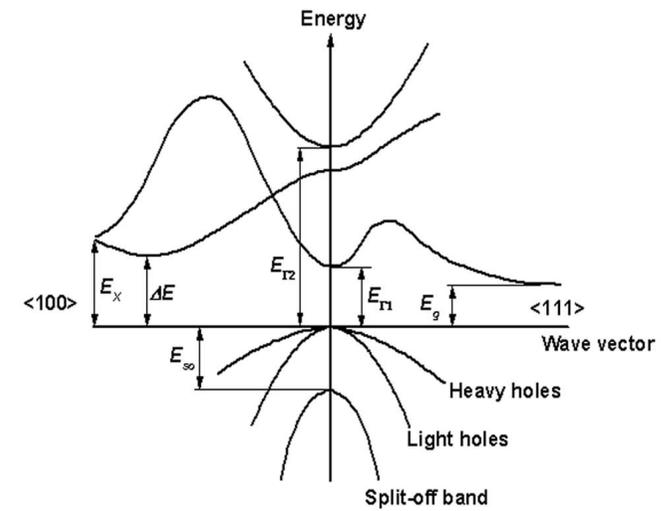
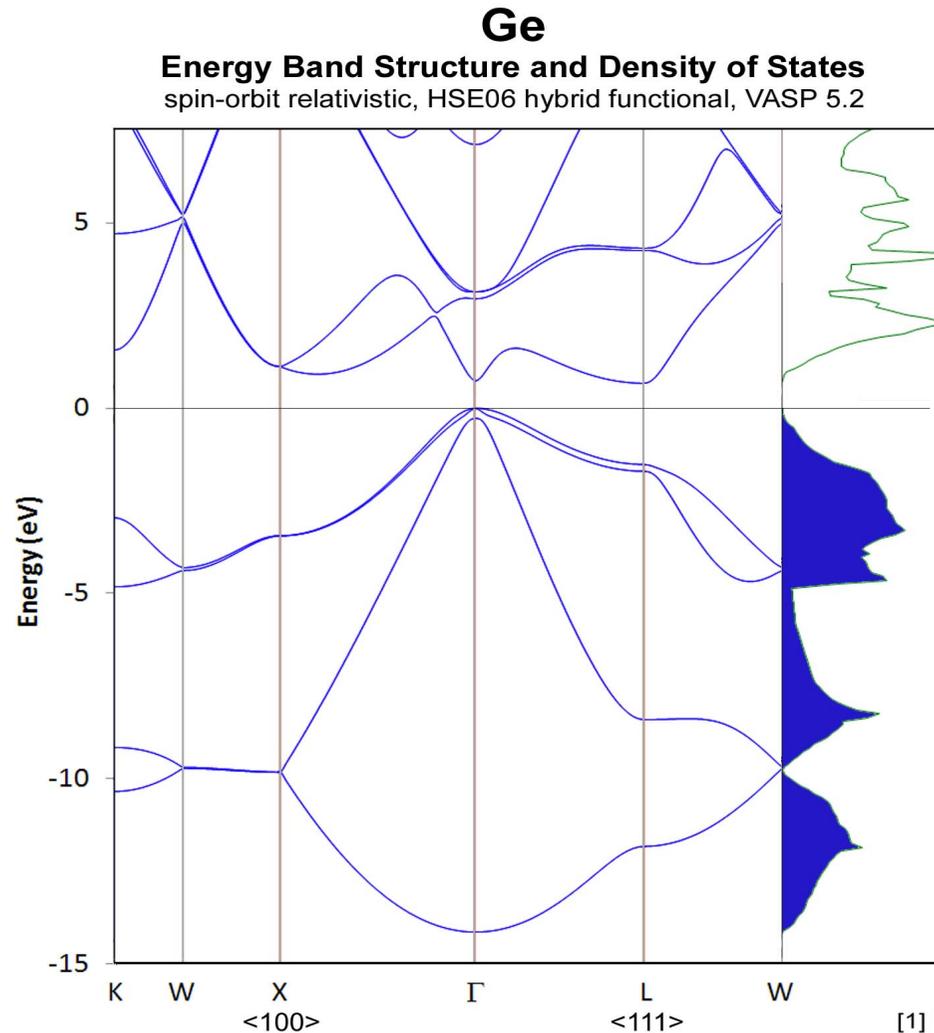




# Electronic Properties



# Accurate Band Structures



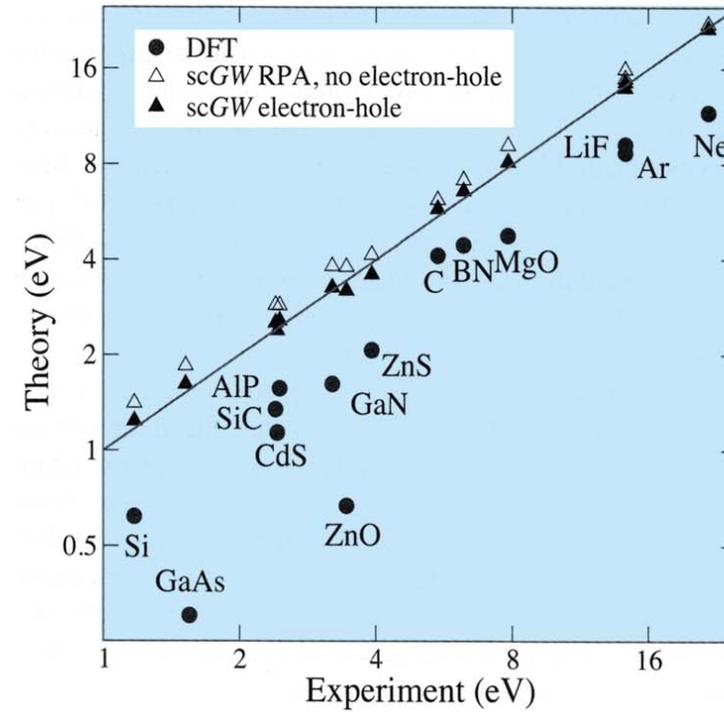
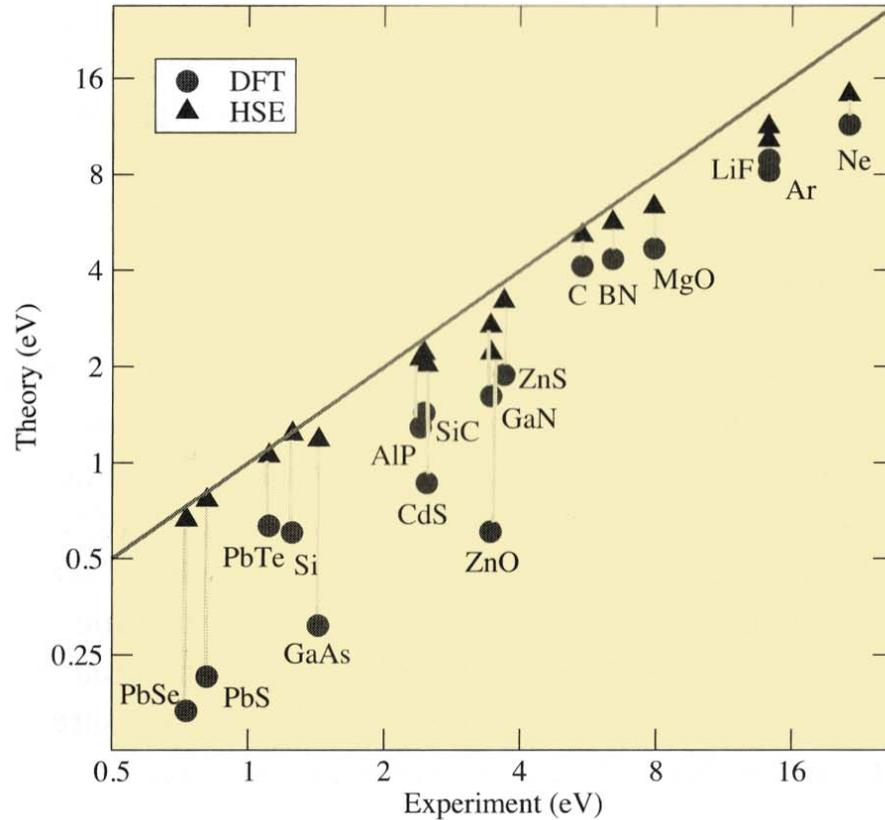
	Expt. [1]	Computed
300 K	$E_g = 0.66$ eV	<b>0.66</b>
	$E_x = 1.2$ eV	<b>1.12</b>
	$E_{T1} = 0.8$ eV	<b>0.73</b>
	$E_{T2} = 3.22$ eV	<b>3.14</b>
	$\Delta E = 0.85$ eV	<b>0.91</b>
	$E_{so} = 0.29$ eV	<b>0.29</b>

[1] <http://www.ioffe.rssi.ru/SVA/NSM/Semicond/Ge/bandstr.html>

Note: Standard LDA or GGA predicts Ge to be metallic



# Accuracy of Computed Band Gaps

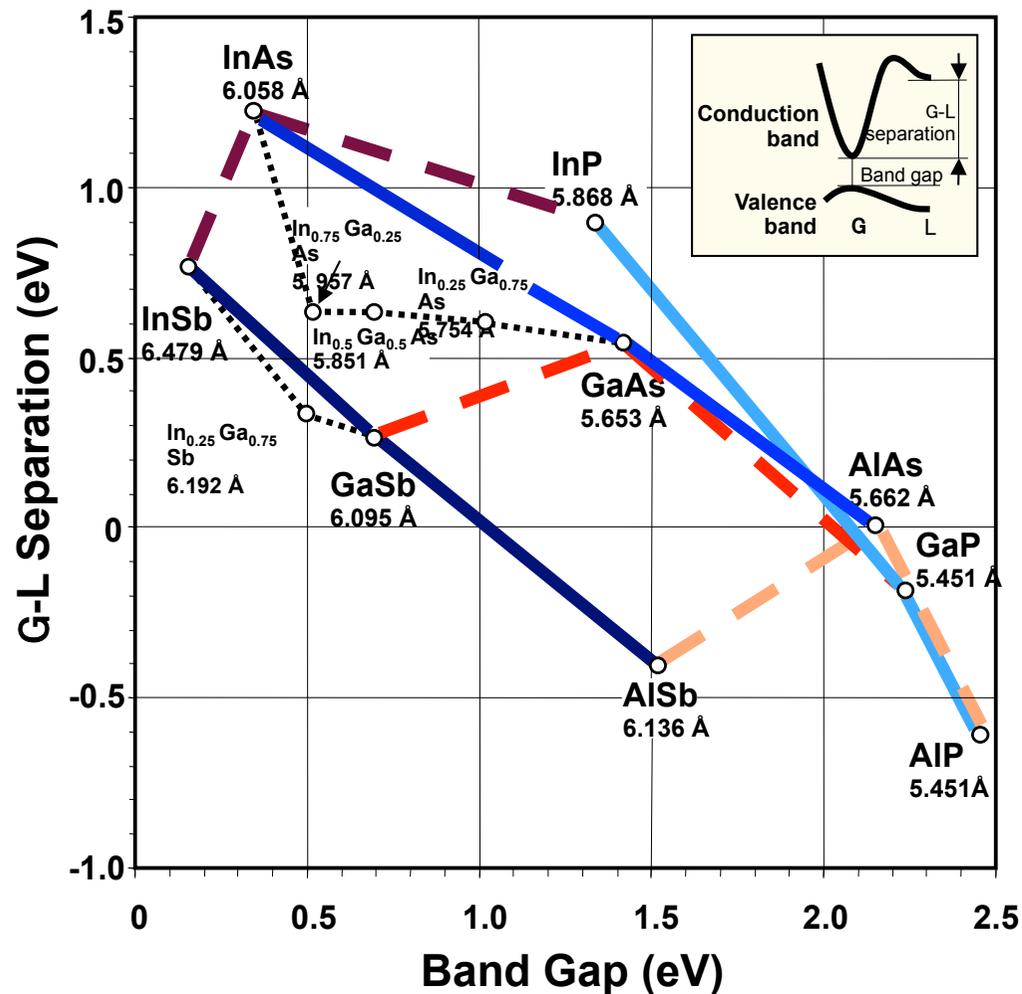


Performance of VASP 5.2 as reviewed by  
J. Hafner, J. Phys.: Condens. Matter **22**, 384205 (2010)



# Design of III-V Alloys

- ▶ Which III-V alloy has a band gap around 0.5 eV and the largest  $\Gamma$ -L separation?



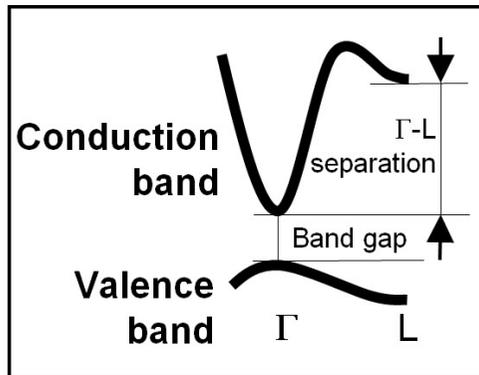
**AX with**  
**A = Al, Ga, In**  
**X = P, As, Sb**

Geller et al.,  
Appl. Phys. Lett.  
79, 368 (2001)



# Computations: Source of Reliable Data

## Band structure engineering



The  $\Gamma$ -L and  $\Gamma$ -X separations (cf. Table I) show an overall better performance of sX compared with LDA. A remarkable case is the  $\Gamma$ -L separation in InAs. The most often reported experimental value is 0.74 eV,<sup>15</sup> whereas sX gives 1.21 eV. Recent measurements, by using improved techniques, resulted in a revised value of  $1.10 \pm 0.05$  eV.<sup>17</sup>

A systematic investigation of effective masses reveals a similar picture, namely, that sX improves the overall agreement with experiment. In particular, the performance of sX in predicting  $m_c^\Gamma$  is rather remarkable (cf. Table II). Never-

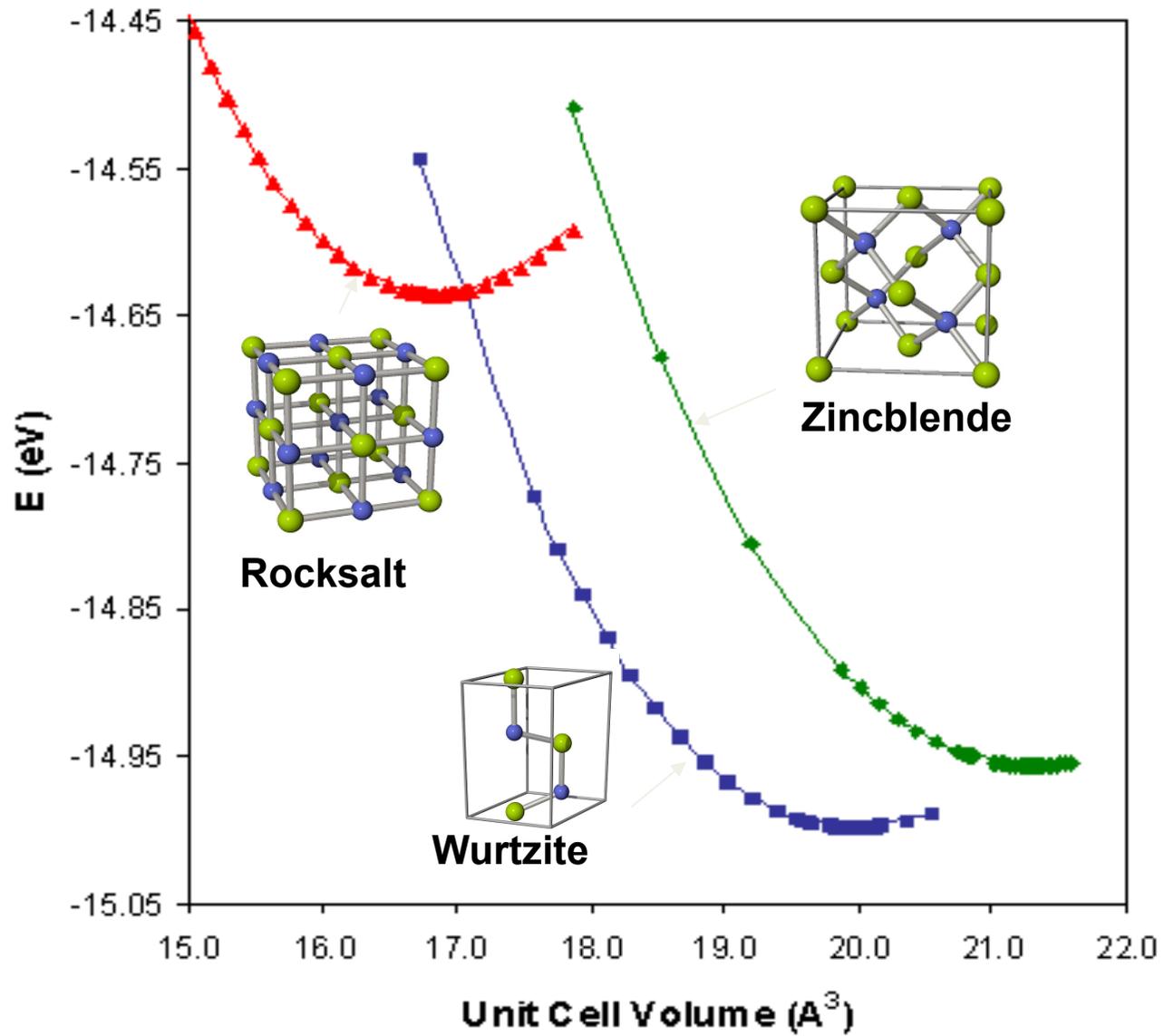
Geller et al., Appl. Phys. Lett. **79**, 368 (2001)



# Elastic Properties, Phonons



# Aluminum Nitride





# Aluminum Nitride

(GPa)	Expt <sup>1</sup>	Expt <sup>2</sup>	Calculated
C <sub>11</sub>	345	411	375
C <sub>12</sub>	125	149	130
C <sub>13</sub>	120	99	100
C <sub>33</sub>	395	389	347
C <sub>44</sub>	118	125	113
C <sub>66</sub>	110	131	122
B	202	212	195

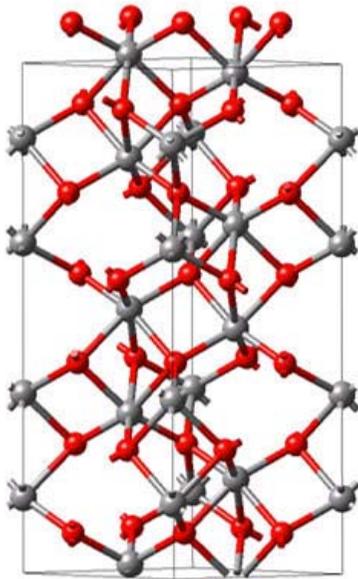
1. K.Tsubouchi, N. Mikoshiba, IEEE Trans. Sonics Ultrason. **SU-32**, 634 (1985)

2. L.E. McNeil, M. Grimsditch, R.H. French, J. Am. Ceram. Soc. **76**, 1132 (1993)



# Reliability of Computed Properties

Elastic coefficients of corundum,  $\text{Al}_2\text{O}_3$ . All values in GPa.



$\alpha\text{-Al}_2\text{O}_3$

	Expt. <sup>a</sup>	Computed <sup>b</sup>
$C_{11}$	497.3	495
$C_{12}$	162.8	171
$C_{13}$	116.0	130
$C_{14}$	-21.9	+20
$C_{33}$	500.9	486
$C_{44}$	146.8	148

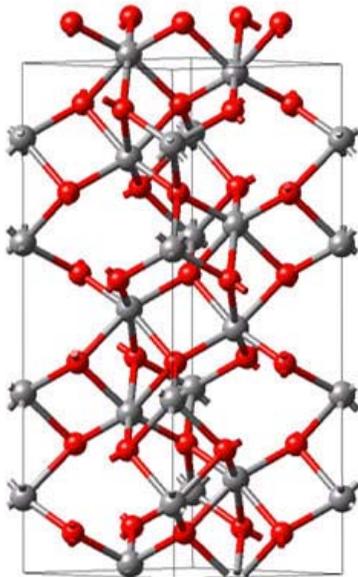
a) T. Goto, O. Anderson, I. Ohno, and S. Yamamoto, J. Geophys. Res. **94**, 7588 (1989)

b) J. R. Gladden, J. D. Maynard, J. H. So, P. Saxe, and Y. Le Page, Appl. Phys. Lett. **85**, 392 (2004)



# Reliability of Computed Properties

Elastic coefficients of corundum,  $\text{Al}_2\text{O}_3$ . All values in GPa.



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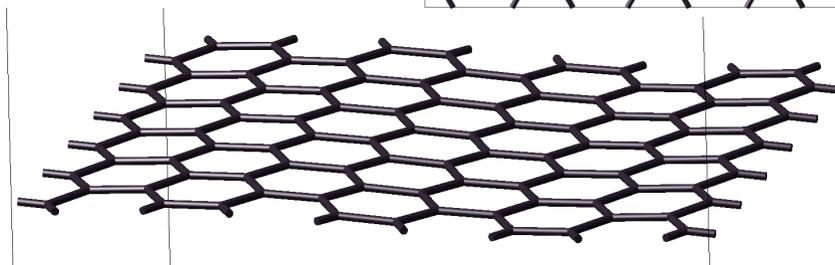
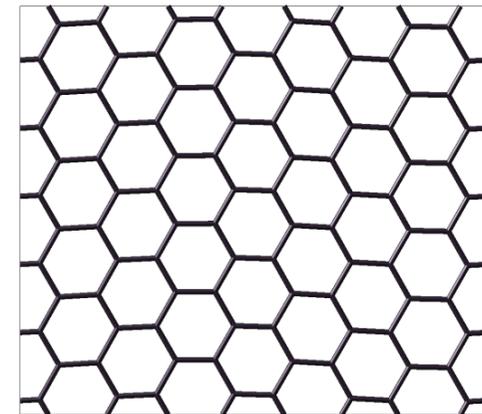
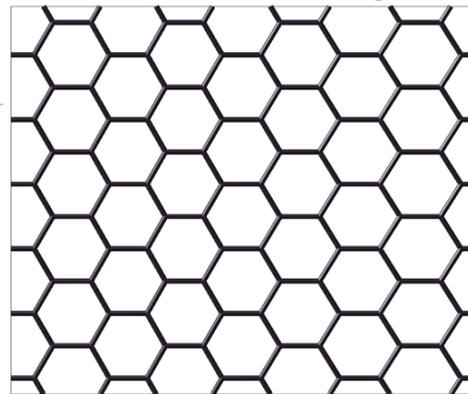
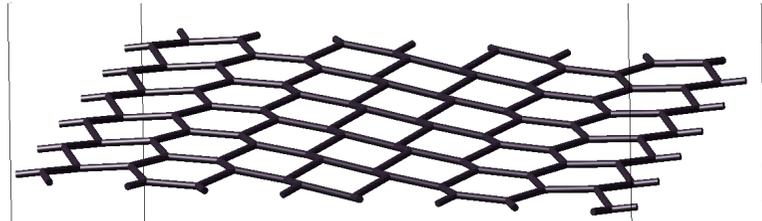
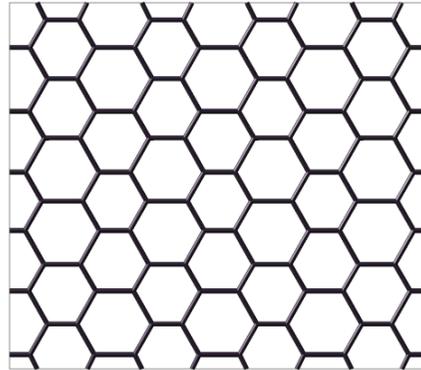
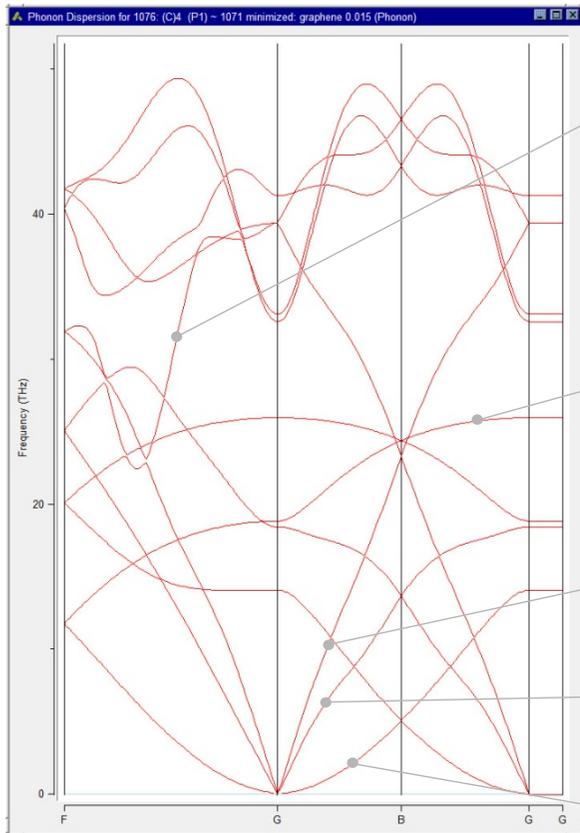
	Expt. <sup>a</sup>	Computed <sup>b</sup>	Expt. <sup>b</sup>	
			Sample 1	Sample 2
$C_{11}$	497.3	495	495.6	497.4
$C_{12}$	162.8	171	160.2	158.3
$C_{13}$	116.0	130	117.0	121.0
$C_{14}$	-21.9	+20	+22.1	+23.0
$C_{33}$	500.9	486	501.0	505.8
$C_{44}$	146.8	148	147.0	145.3

a) T. Goto, O. Anderson, I. Ohno, and S. Yamamoto, J. Geophys. Res. **94**, 7588 (1989)

b) J. R. Gladden, J. D. Maynard, J. H. So, P. Saxe, and Y. Le Page, Appl. Phys. Lett. **85**, 392 (2004)



# Analyze Phonons of Graphene





# Hydrogen Storage



# Hydrogen in $\text{LaNi}_5\text{H}_n$ and $\text{LaCo}_5\text{H}_n$

APPLIED PHYSICS LETTERS

VOLUME 85, NUMBER 16

18 OCTOBER 2004

## Hydrogen site energetics in $\text{LaNi}_5\text{H}_n$ and $\text{LaCo}_5\text{H}_n$ : Toward predicting hydrides

J. F. Herbst<sup>a)</sup> and L. G. Hector, Jr.

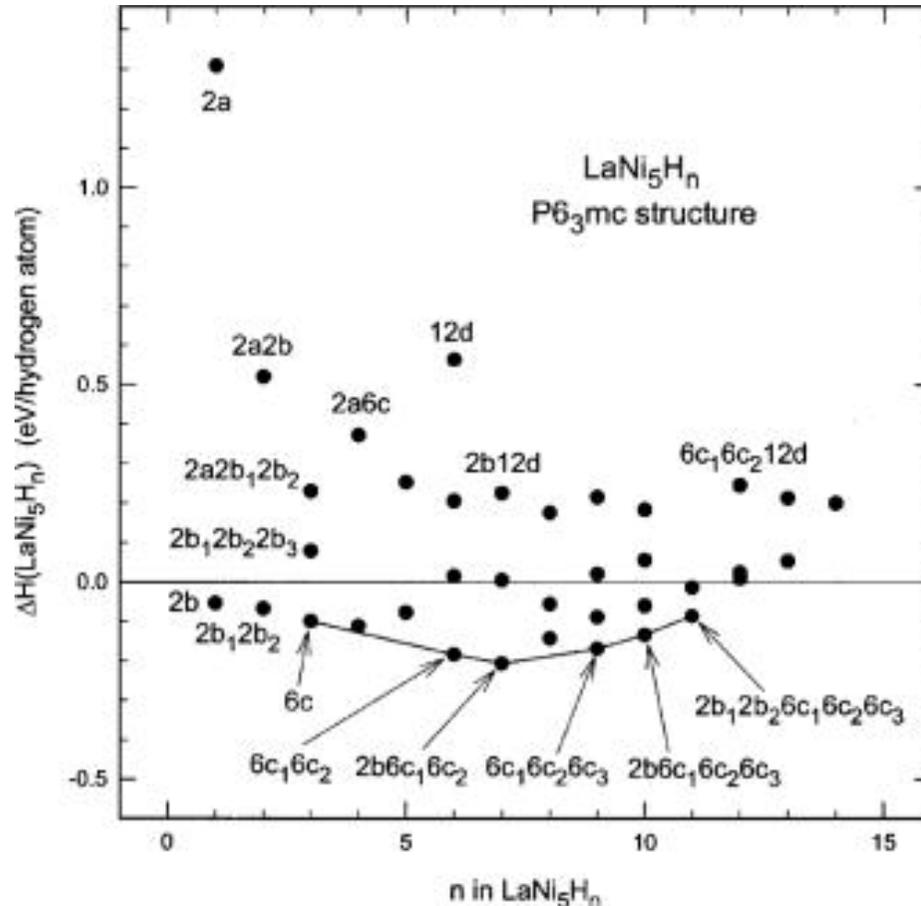
*Materials and Processes Laboratory, General Motors Research and Development Center,  
Mail Code 480-106-224, 30500 Mound Road, Warren, Michigan 48090-9055*

(Received 30 June 2004; accepted 23 August 2004)

We have investigated the energetics of hydrogen site occupation in  $\text{LaNi}_5\text{H}_n$  (hexagonal  $P6_3mc$  structure) and  $\text{LaCo}_5\text{H}_n$  (orthorhombic  $Cmmm$  structure) via calculation of the site-dependent enthalpies of hydride formation  $\Delta H$ . For each structure  $\Delta H$  was determined for a broad variety of hydrogen configurations. In  $\text{LaNi}_5\text{H}_n$  ( $\text{LaCo}_5\text{H}_n$ ) we find that the minimum  $\Delta H$  occurs for hydrogen filling of the  $2b6c_16c_2$  ( $4e4h$ ) sites, precisely those identified by neutron diffraction. Hydrogen-richer hydrides are predicted for both structures, in qualitative agreement with experiments performed at higher pressures. © 2004 American Institute of Physics. [DOI: 10.1063/1.1808503]

Herbst, Hector, Appl. Phys. Lett. **85**, 3465 (2004)

# Hydrogen in $\text{LaNi}_5\text{H}_n$ and $\text{LaCo}_5\text{H}_n$



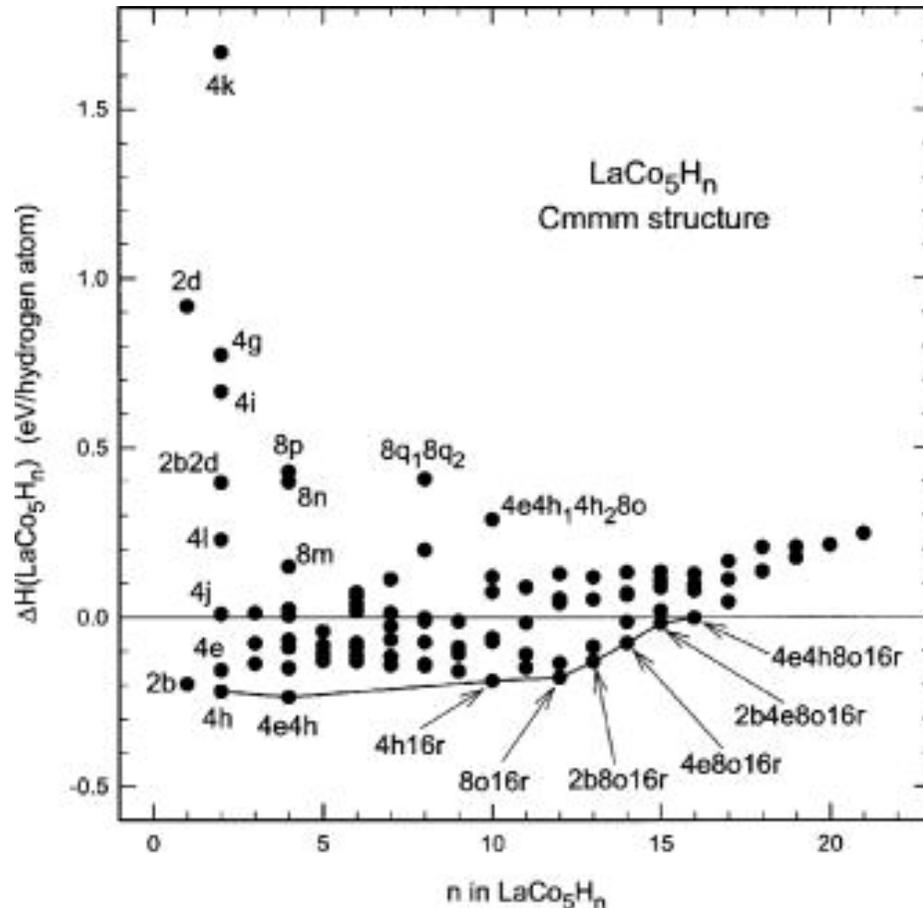
## ► Enthalpy of hydride formation in $\text{LaNi}_5\text{H}_n$

- $\Delta H (\text{LaNi}_5\text{H}_n) = E (\text{LaNi}_5\text{H}_n) - E(\text{LaNi}_5) - \frac{1}{2} n E(\text{H}_2)$
- $\Delta H_{\min} = -40 \text{ kJ/molH}_2$  for H at  $2b6c_16c_2$
- agrees with
  - neutron data
  - calorimetry:

$$\Delta H_{\min} = - (32/37) \text{ kJ/molH}_2$$

Herbst, Hector, Appl. Phys. Lett. **85**, 3465 (2004)

# Hydrogen in $\text{LaNi}_5\text{H}_n$ and $\text{LaCo}_5\text{H}_n$



## ► Enthalpy of hydride formation in $\text{LaCo}_5\text{H}_n$

- $\Delta H(\text{LaCo}_5\text{H}_n) = E(\text{LaCo}_5\text{H}_n) - E(\text{LaCo}_5) - \frac{1}{2} n E(\text{H}_2)$

- $\Delta H_{\min} = -45.6 \text{ kJ/molH}_2$  for H at 4e4h

- agrees with

- neutron data

- calorimetry:

$$\Delta H_{\min} = -45.2 \text{ kJ/molH}_2$$

Herbst, Hector, Appl. Phys. Lett. **85**, 3465 (2004)



# Diffusion of H in Ni



# Diffusion Coefficients

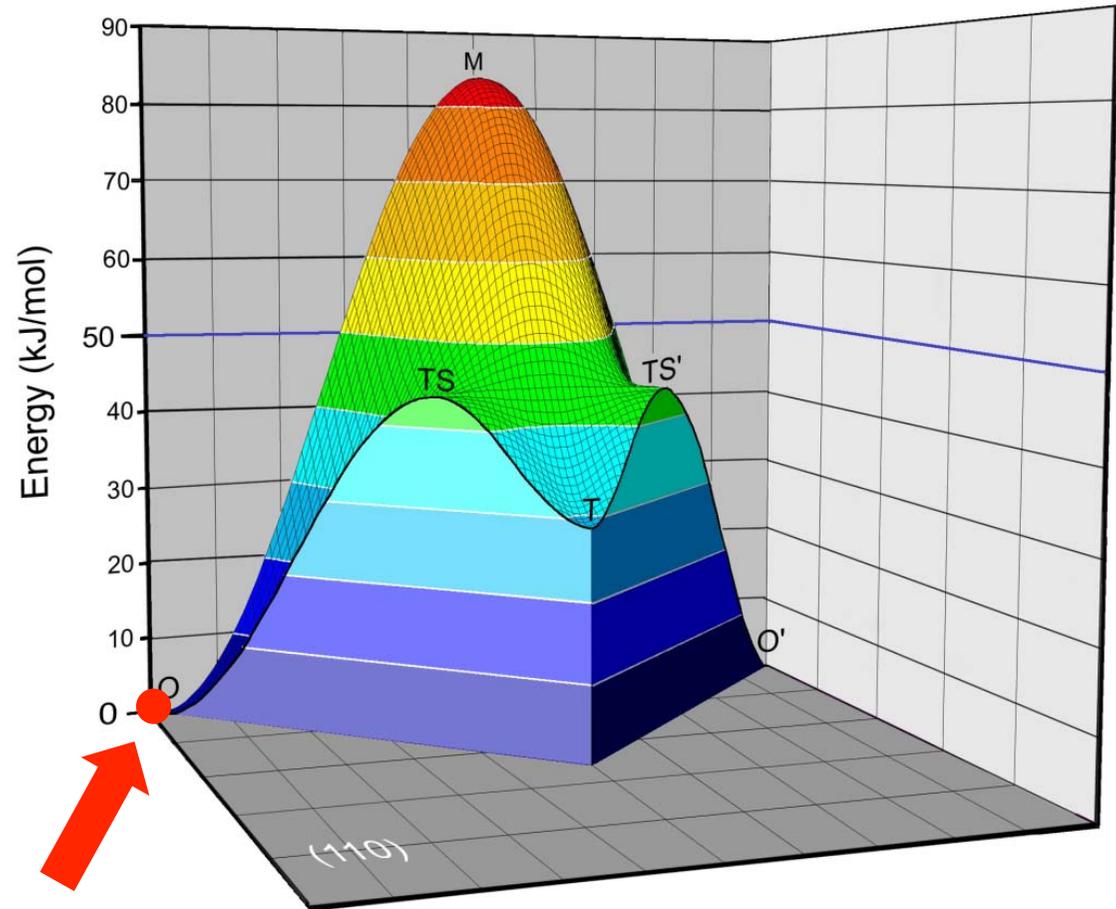
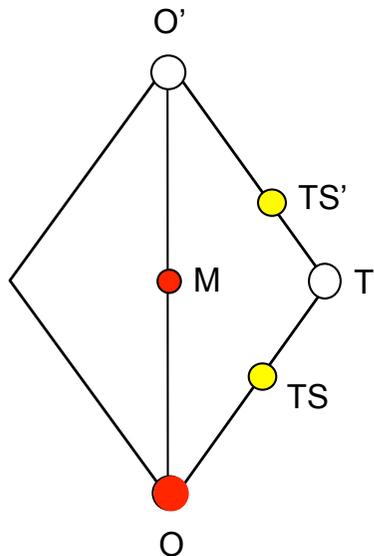
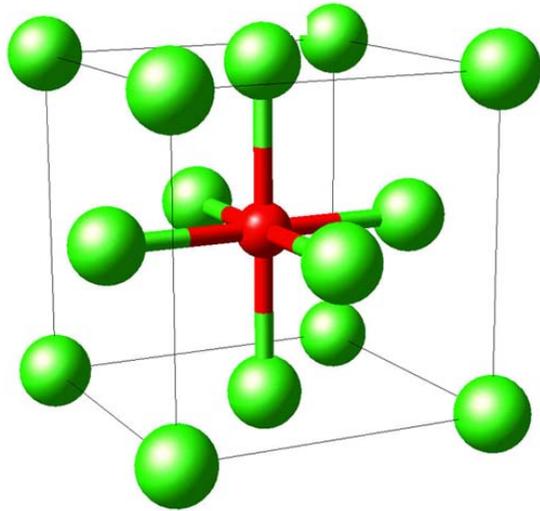
## 1. Transition state approach

- ▶ Compute initial and final structures (minimization)
- ▶ Search transition state (TSS)
- ▶ Compute phonon dispersion and phonon density of states
- ▶ Apply Eyring's transition state theory to get jump rates
- ▶ Use kinetic Monte Carlo for networks

## 2. Mean square displacement (MSD) from molecular dynamics

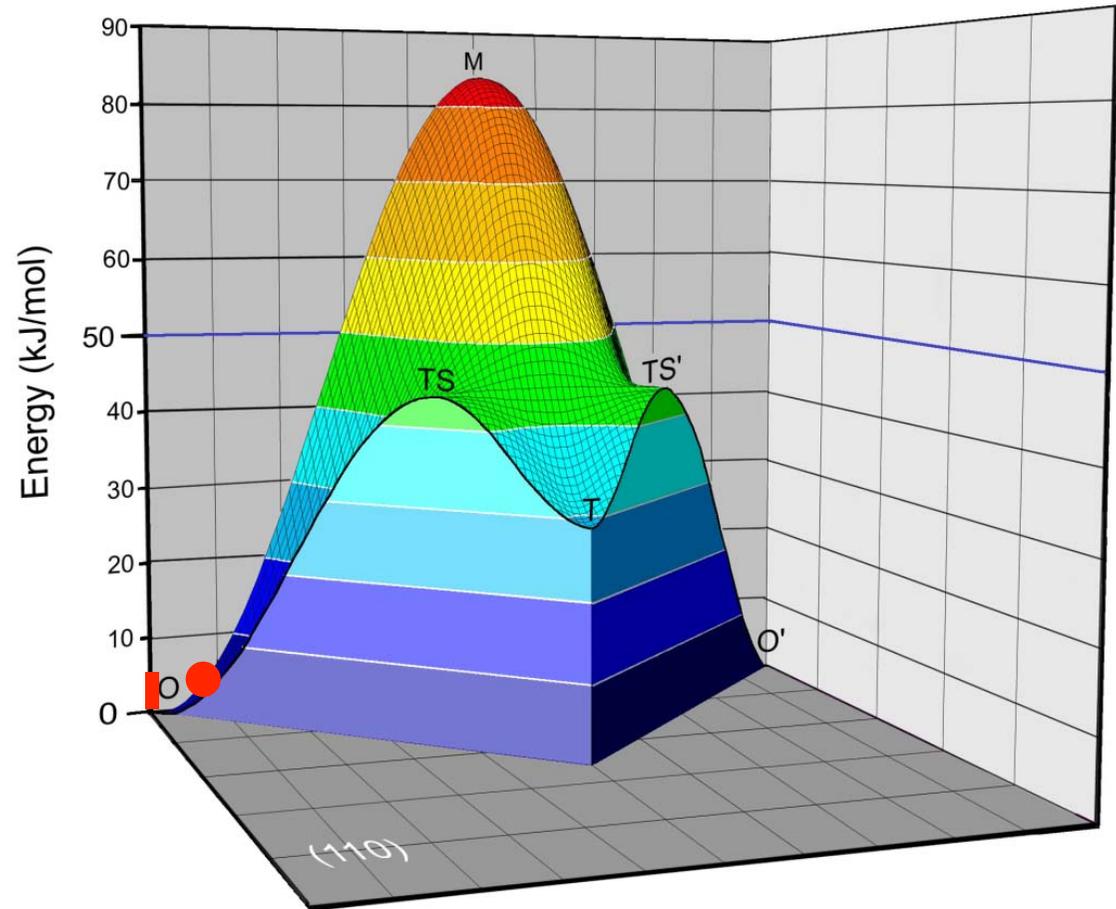
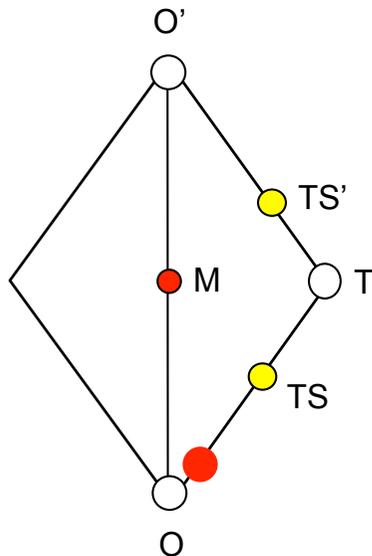
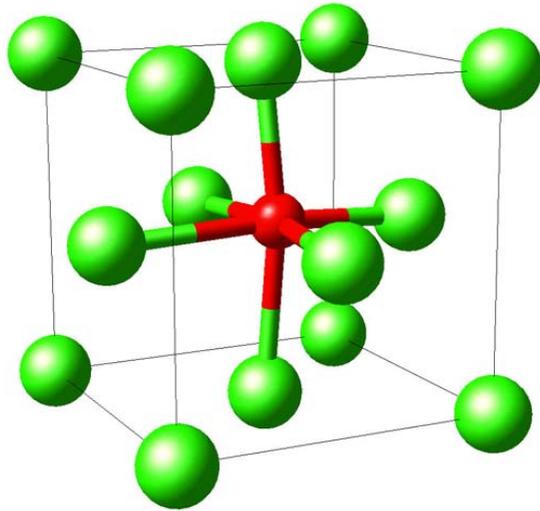


# Diffusion of Interstitial Impurities



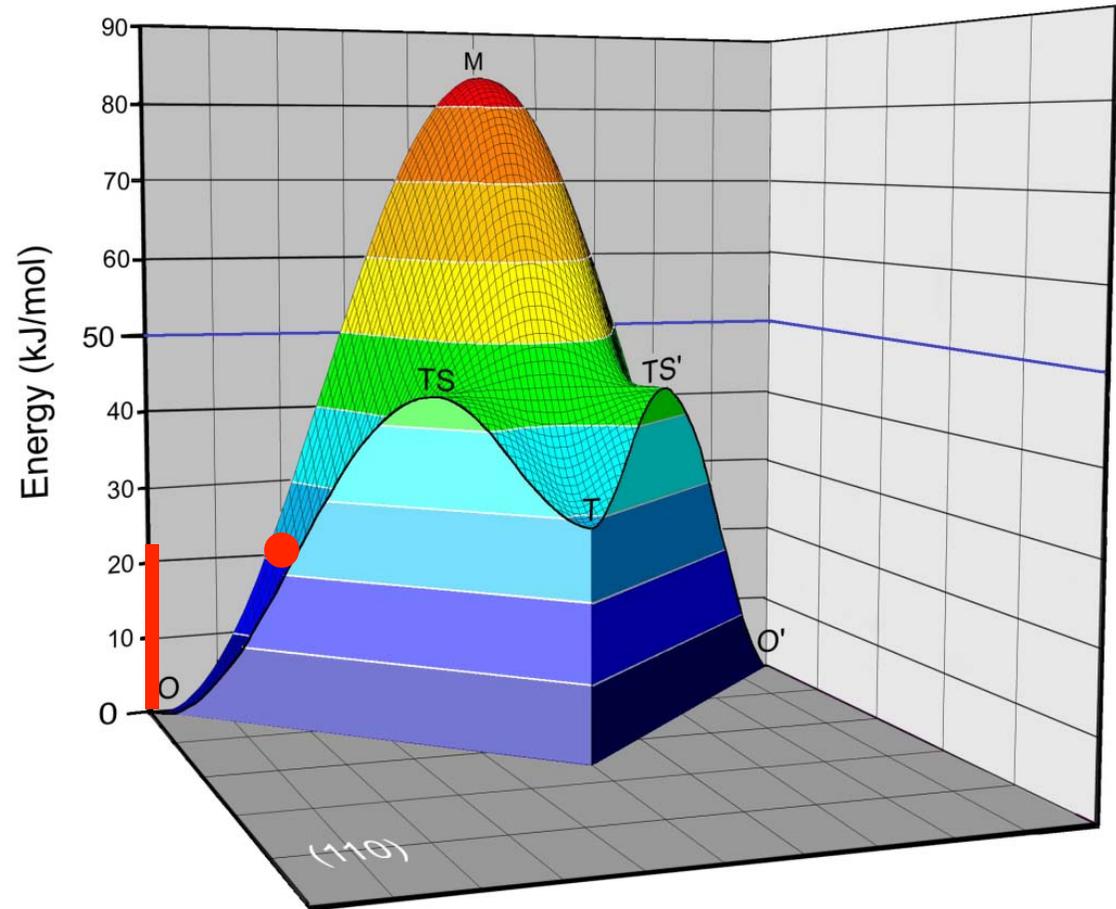
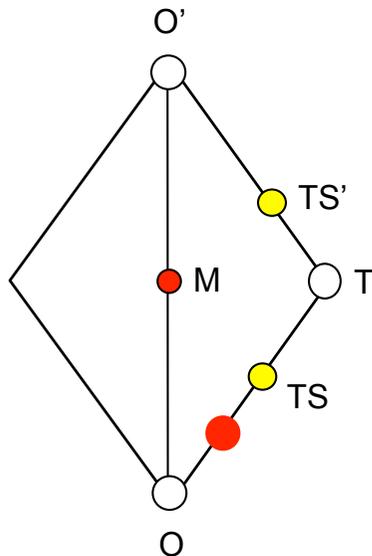
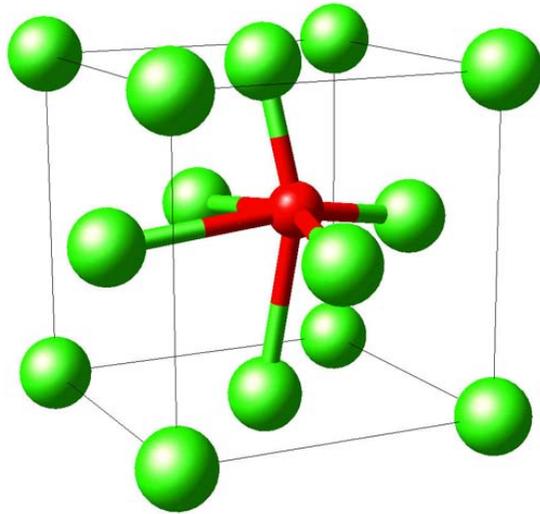


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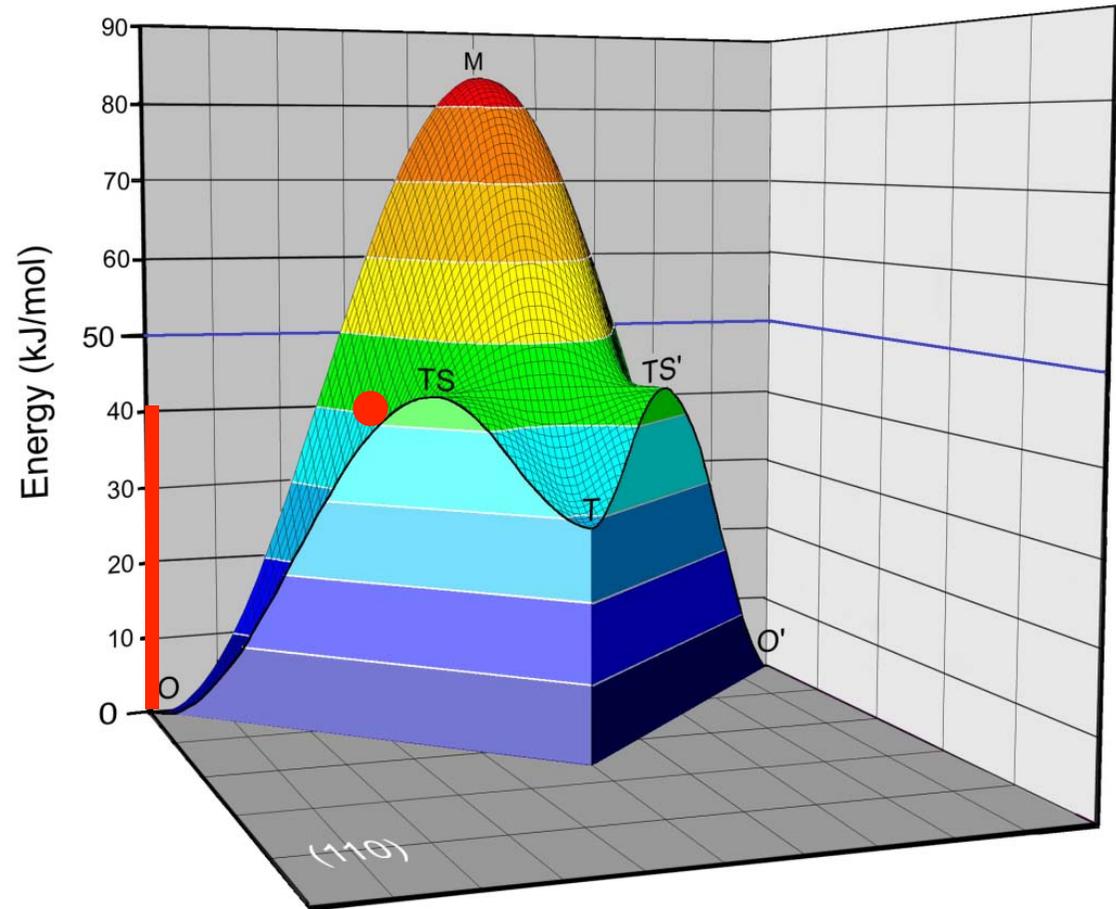
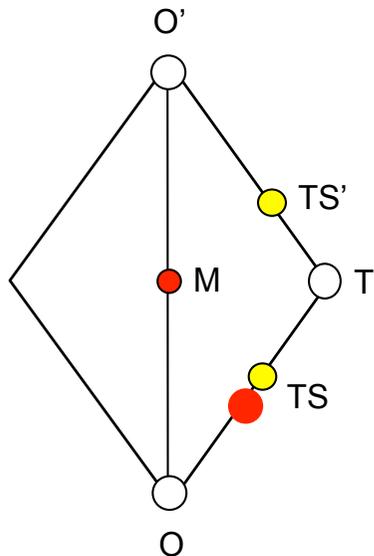
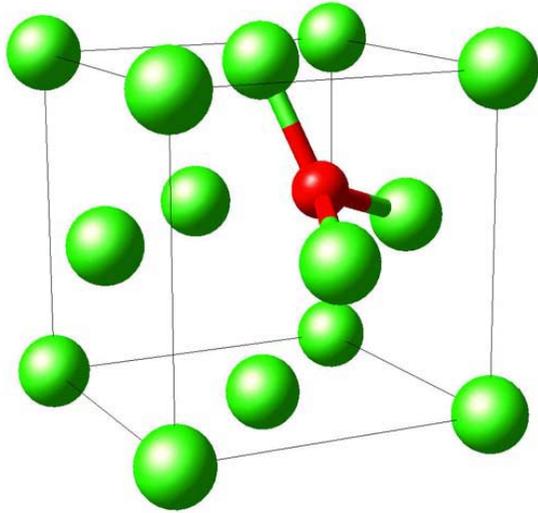


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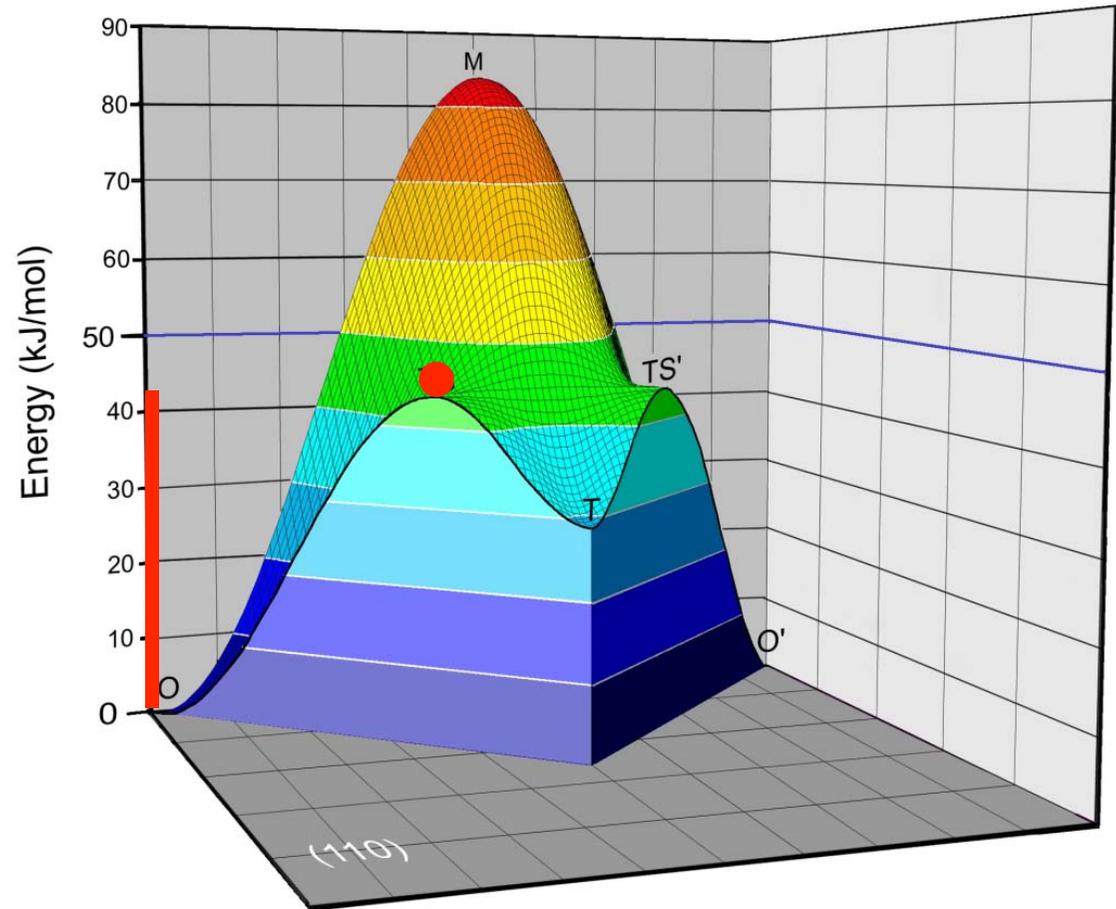
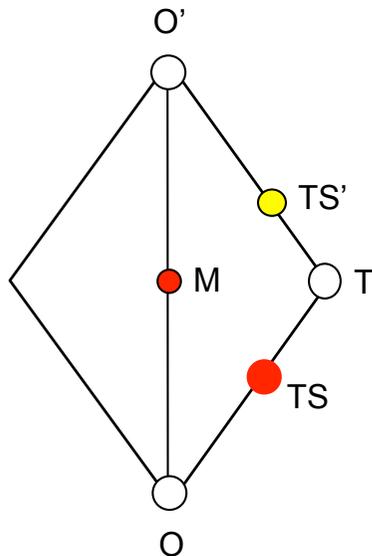
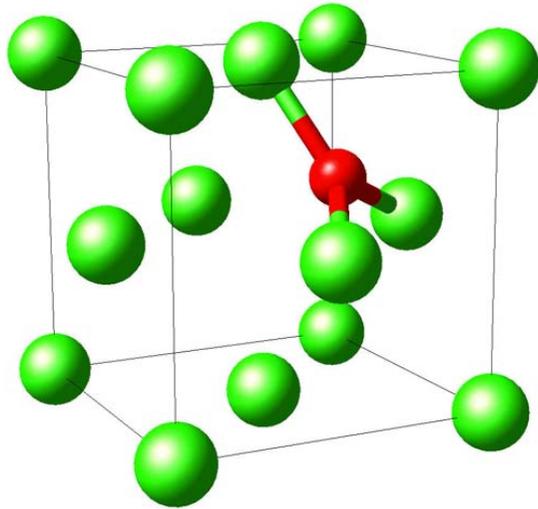


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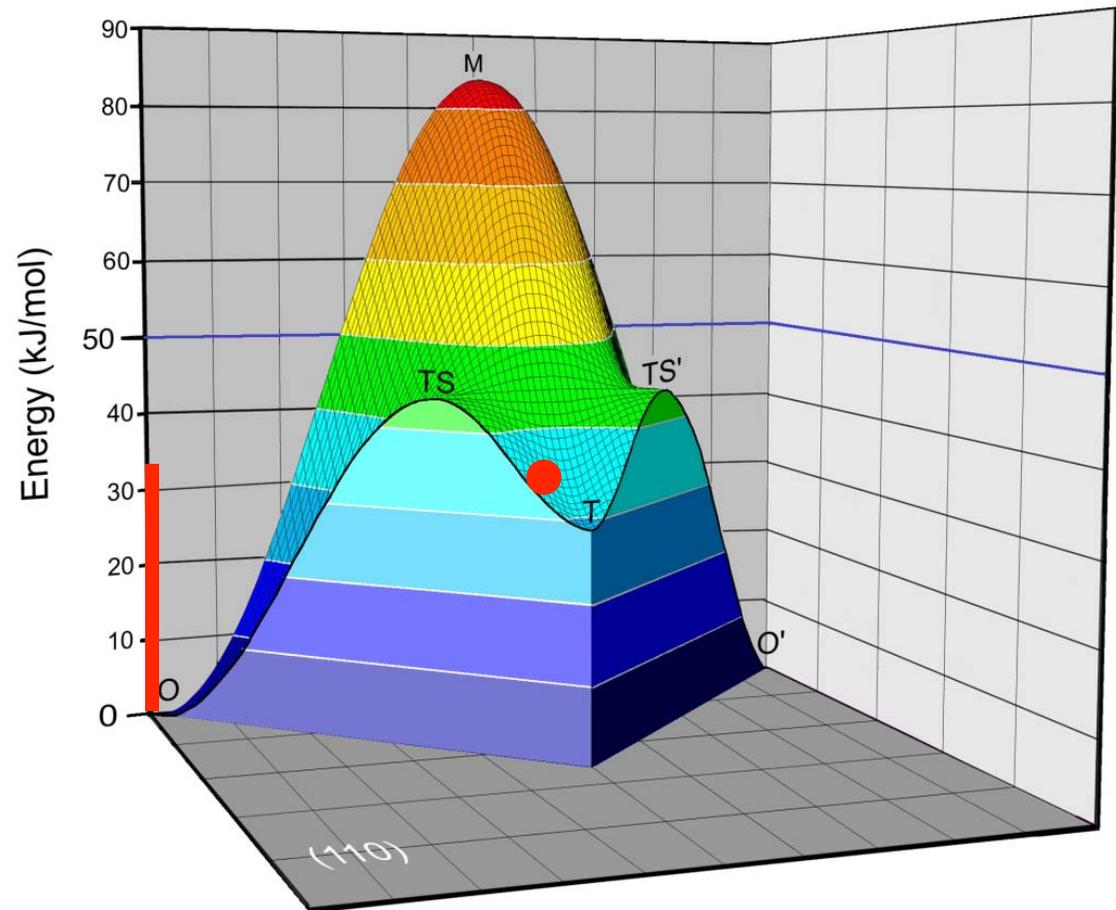
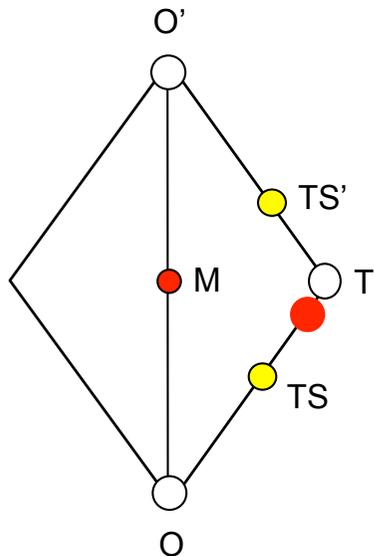
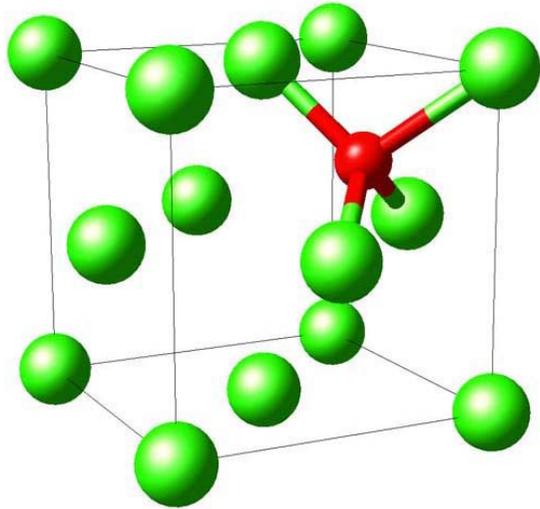


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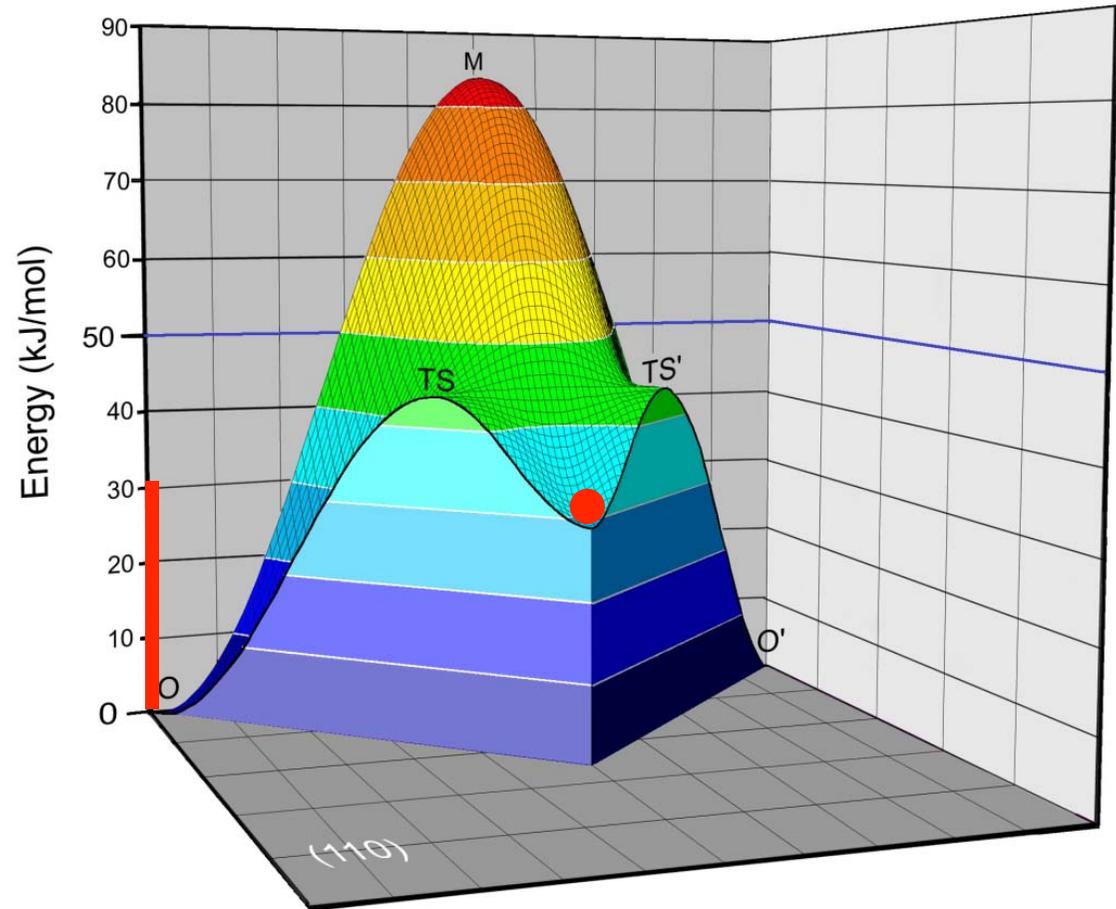
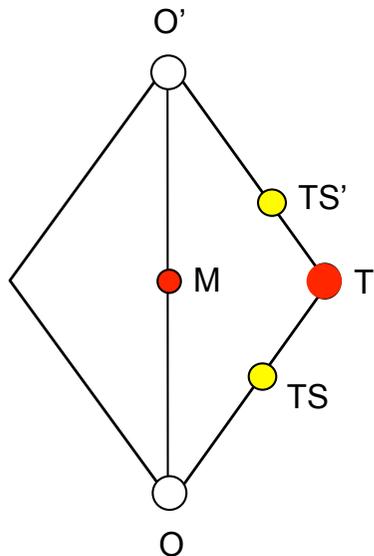
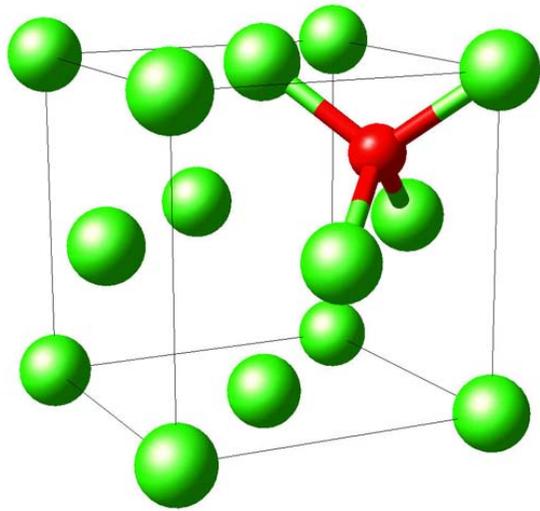


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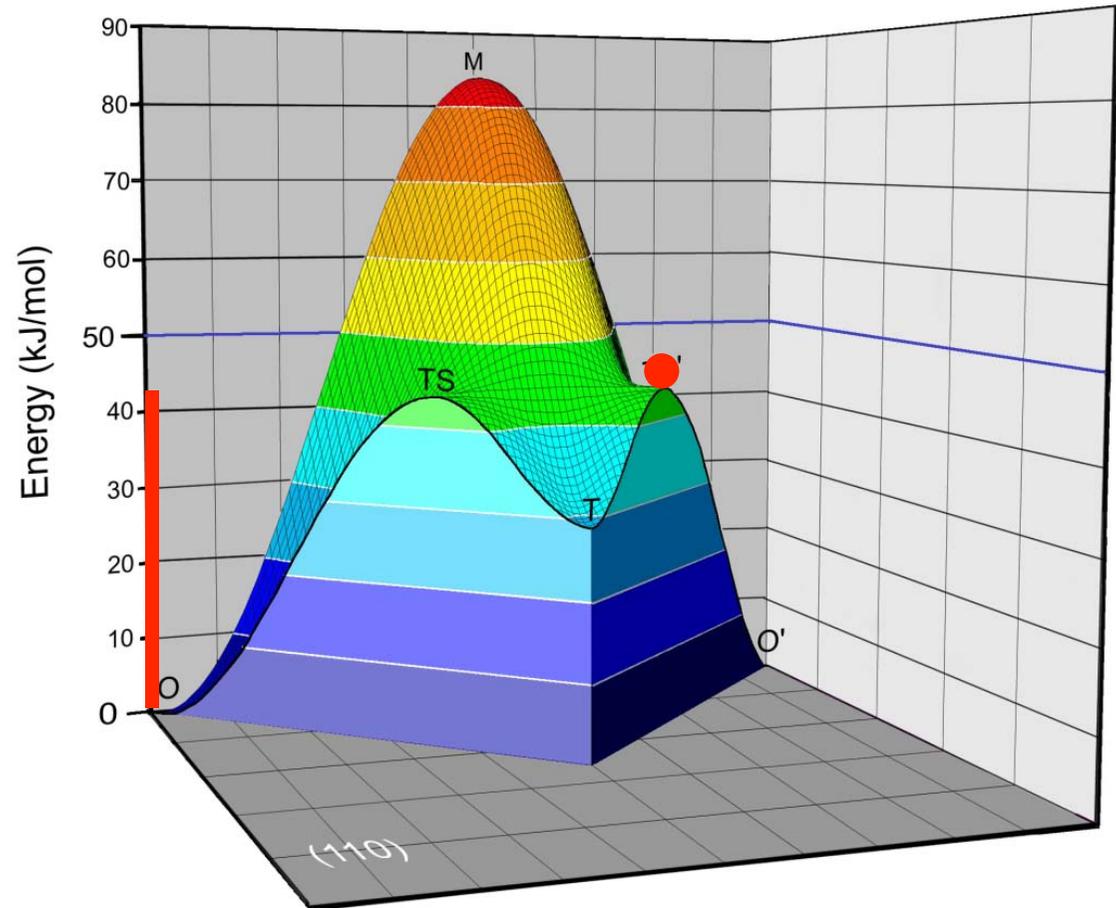
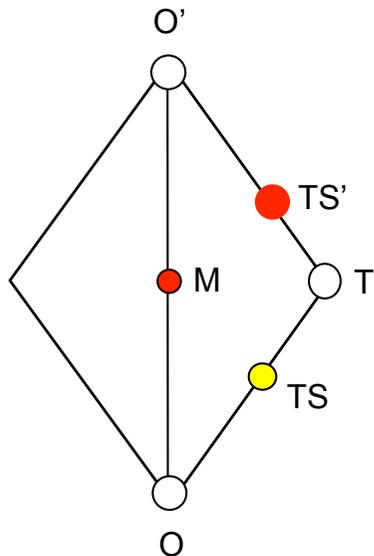
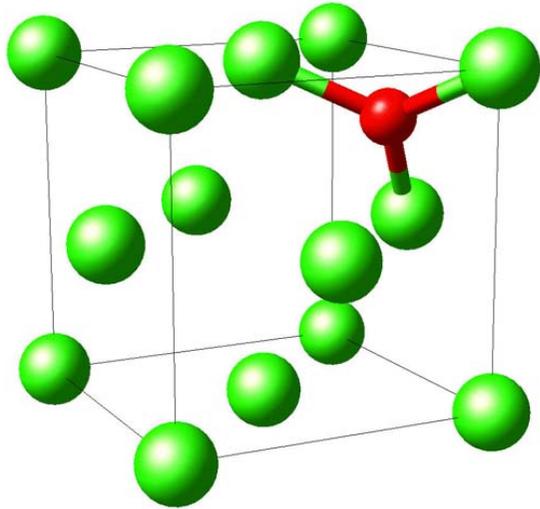


# Diffusion of Interstitial Impurities



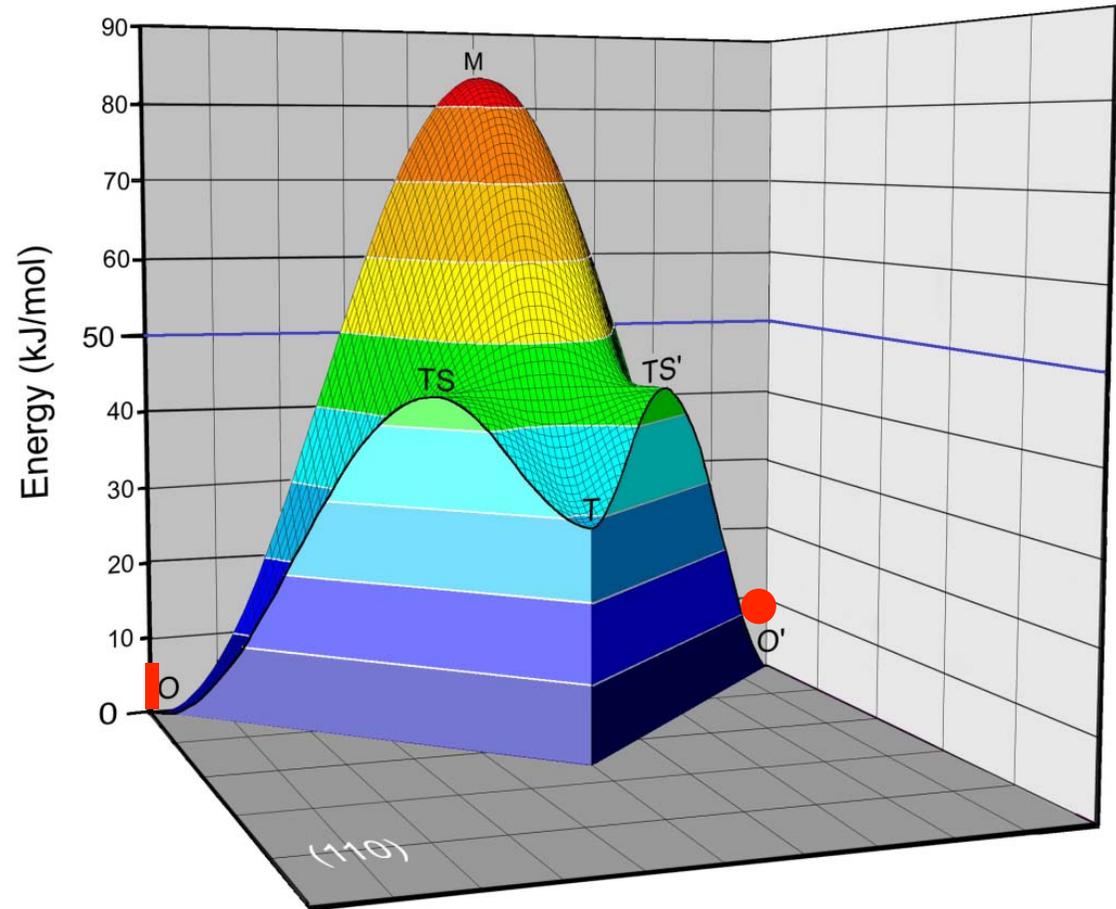
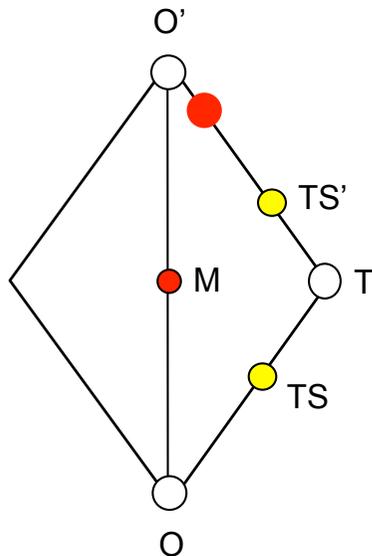
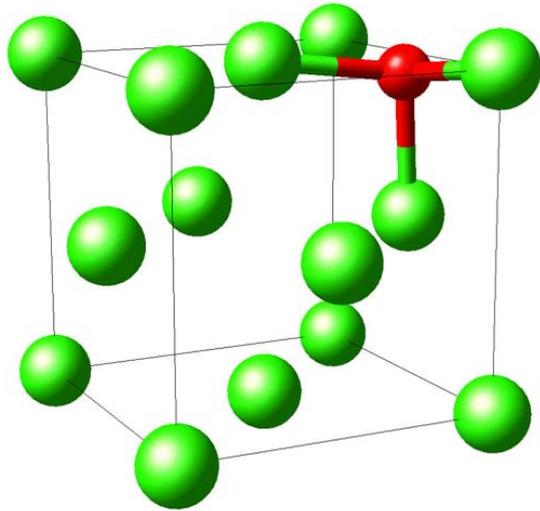


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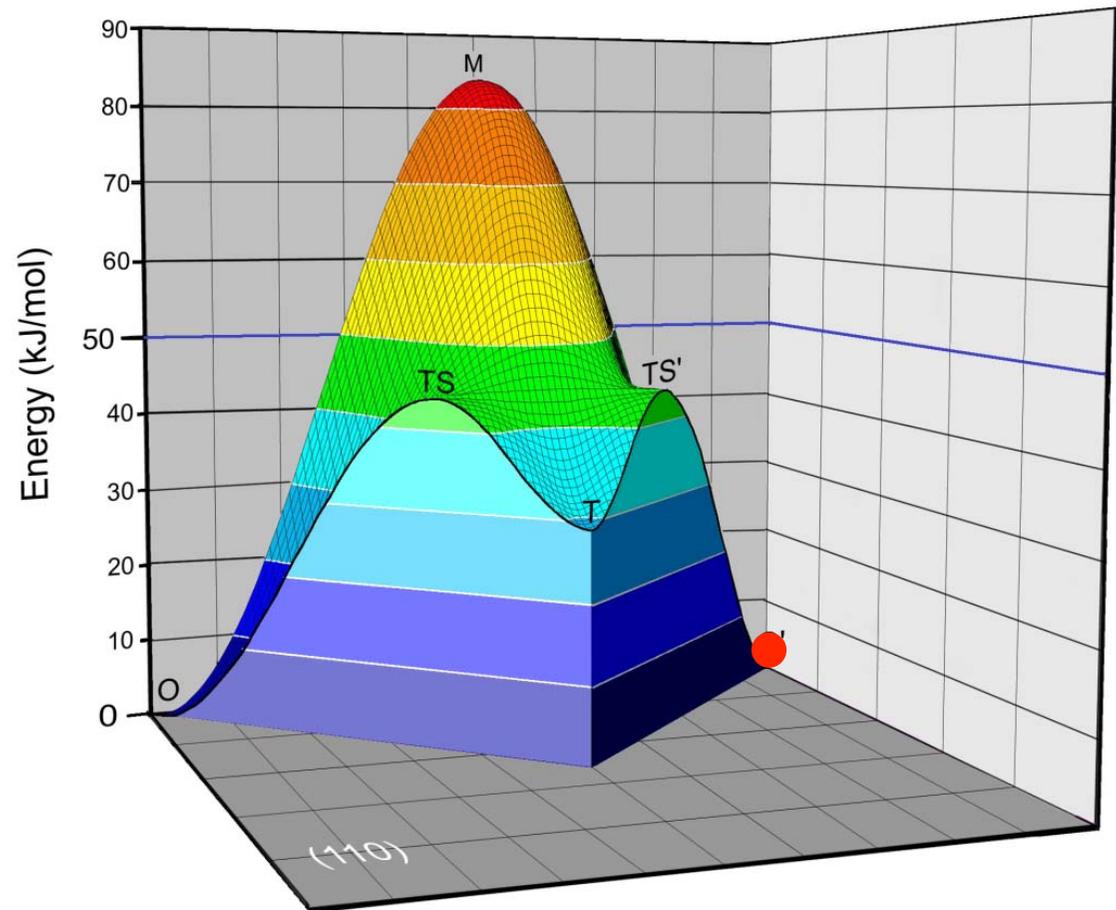
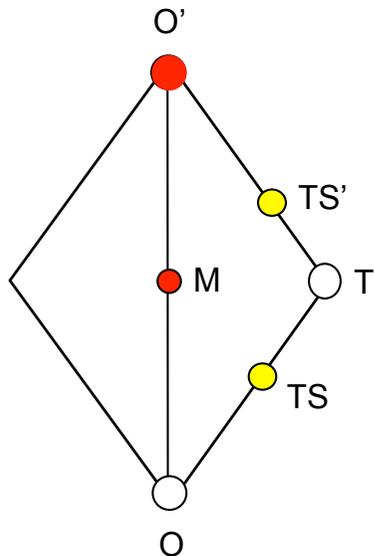
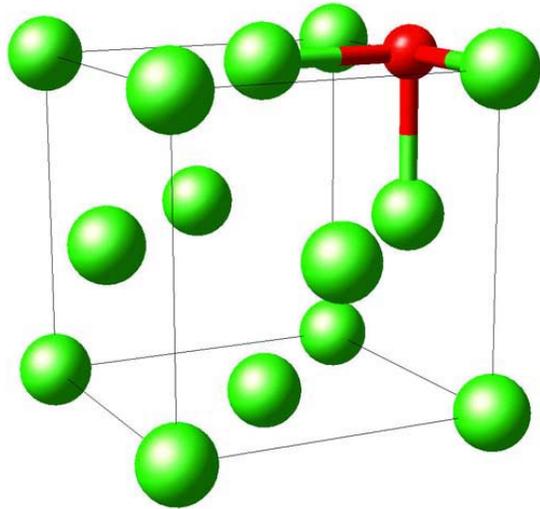


# Diffusion of Interstitial Impurities

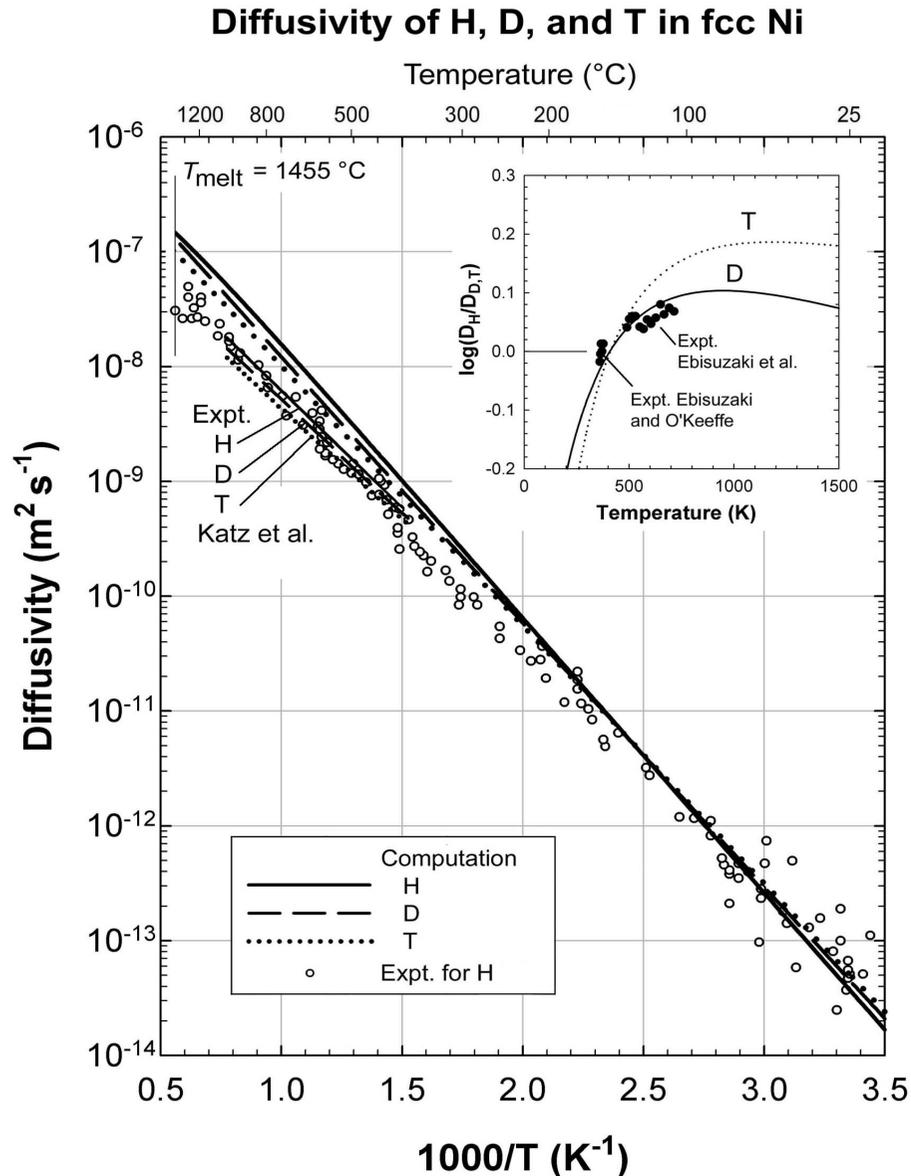




# Diffusion of Interstitial Impurities



# Diffusion: Hydrogen in Ni



The diffusion coefficient of H in Ni computed from first-principles has similar accuracy as experimental data at ambient and medium temperatures

Isotope effects are well explained and quantitatively described

Computational approach:  
Eyring transition state theory  
Ab initio phonons for entire supercell  
thermal expansion from quasi harmonic approximation

E. Wimmer, W. Wolf, J. Sticht, P. Saxe, C. B. Geller, R. Najafabadi, and G. A. Young, “Temperature-dependent diffusion coefficients from *ab initio* computations: Hydrogen, deuterium, and tritium in nickel”, *Phys. Rev. B* **77**, 134305 (2008)



# Grain Boundaries



# Fracture in Zr

## Development of Fracture Mechanics Method to Evaluate Iodine Stress

### Corrosion Cracking of Zirconium Alloys

T.M. Angeliu, B. Kallenburg, D.B. Knorr and J.D. Ballard\*

*Knolls Atomic Power Laboratory*

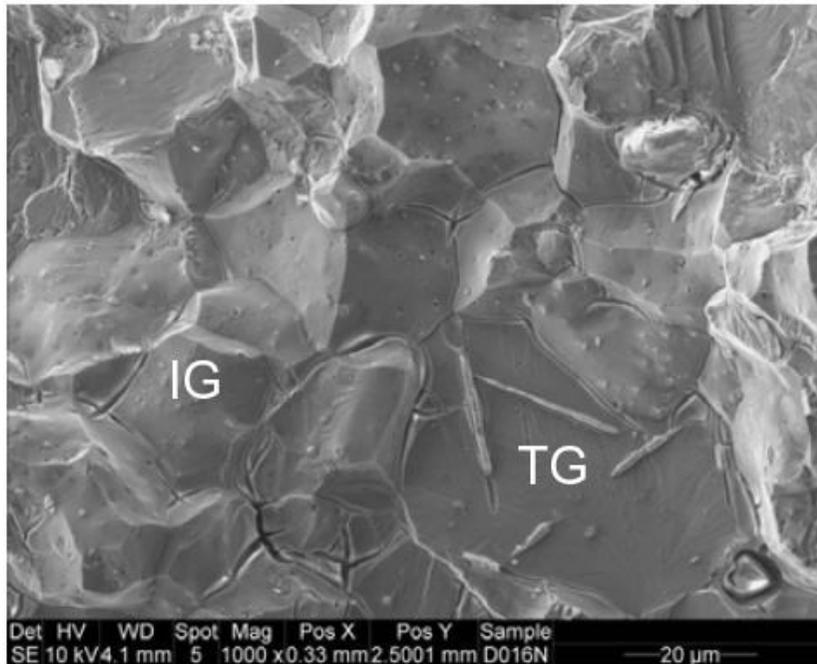
*PO Box 1072, Schenectady, NY 12301-1072*

*Tel: (518) 395-7865, Fax: (518)-395-4422, Email: ballajd@kapl.gov*

Proceedings of Top Fuel 2009

Paris, France, September 6-10, 2009

Paper 2164



Intergranular cracking more prevalent  
as both temperature and  
iodine concentration increased

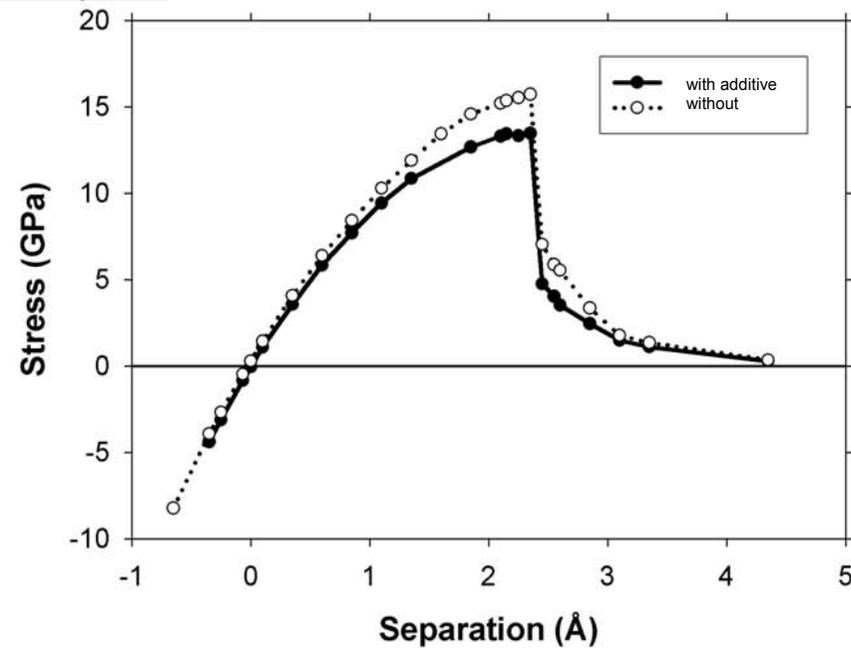
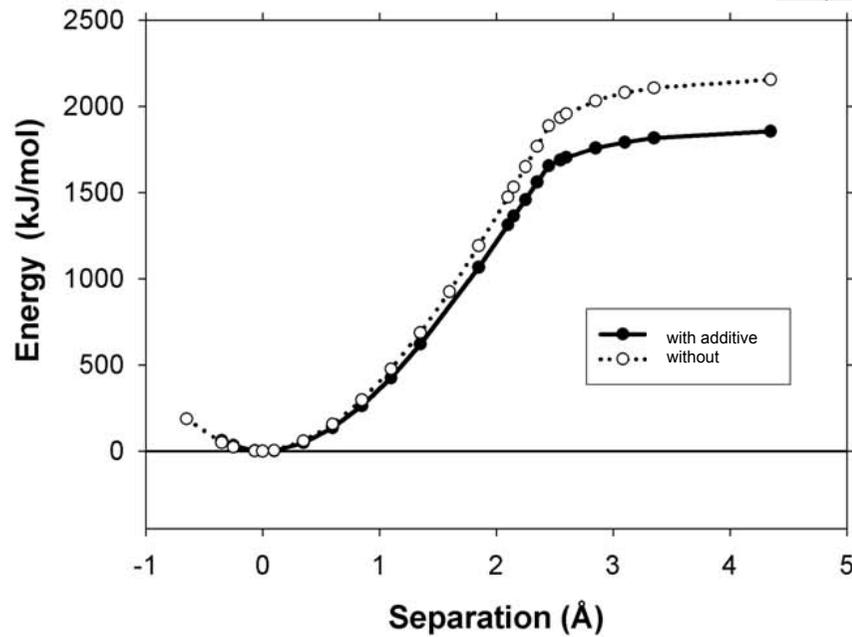
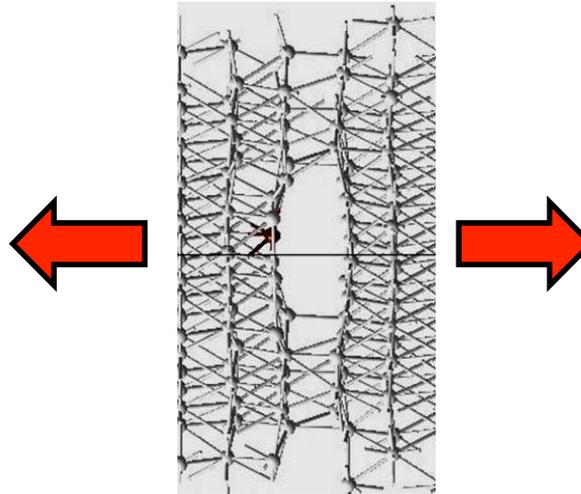
Possible mechanism:

Iodine in grain boundary diffuses to  
crack tip and lowers work of separation



# Maximum Stress

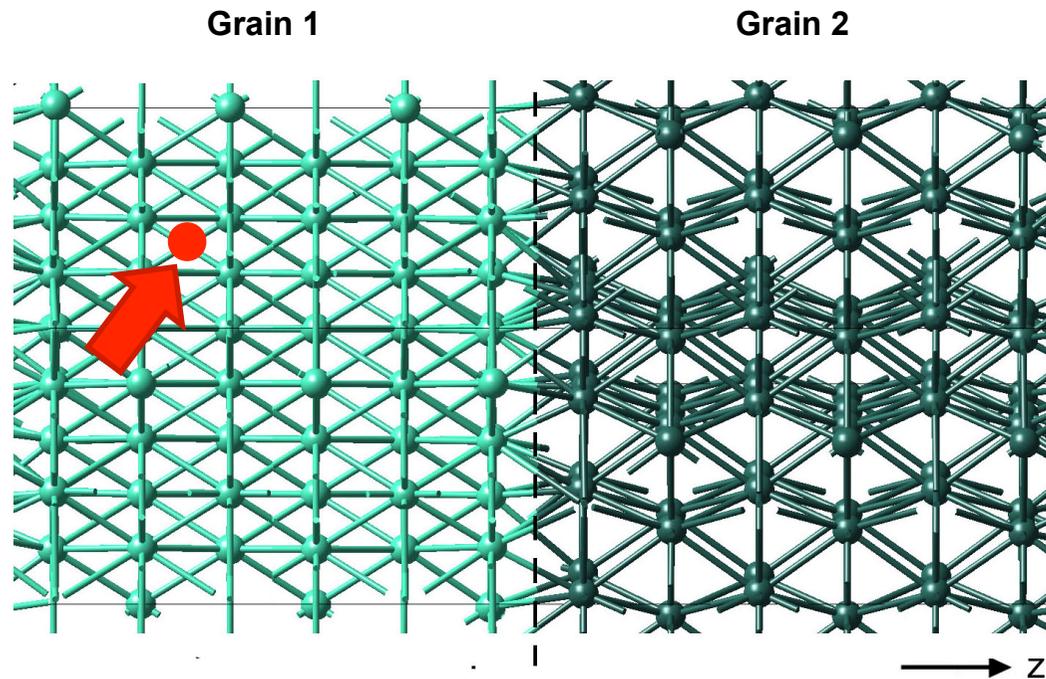
Grain boundary  
of hcp metal



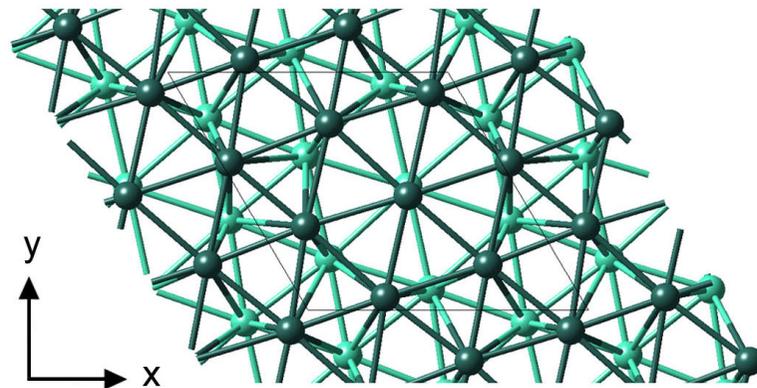


# Alloying Atoms at Interfaces

hcp - Zr



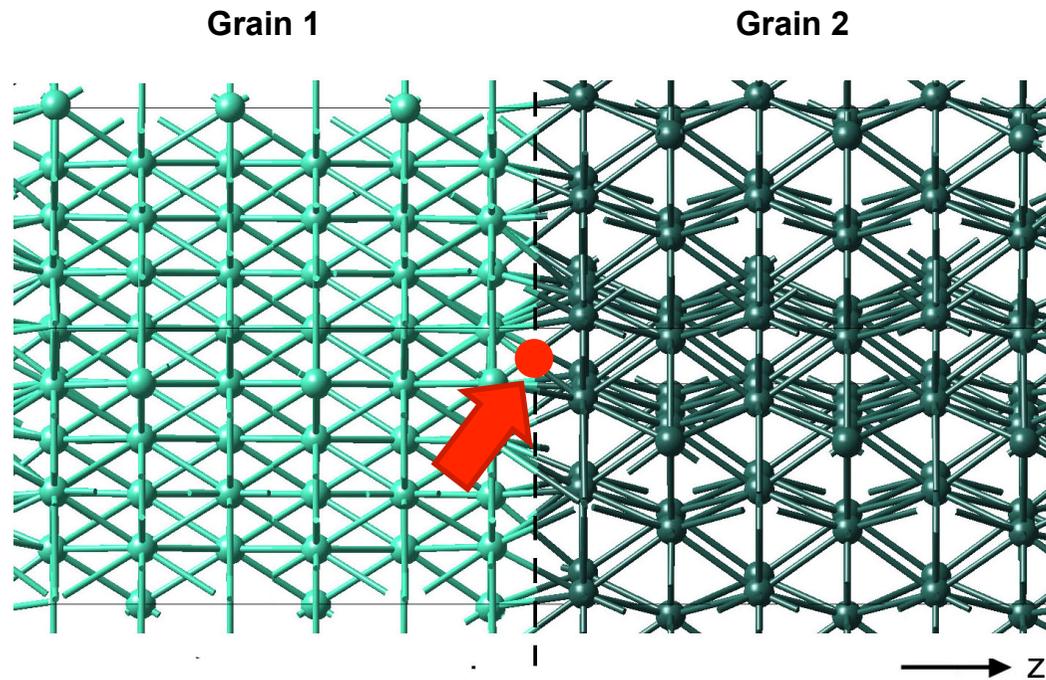
Zr(0001)/Zr(0001)  $\Sigma 7$   
twist grain boundary



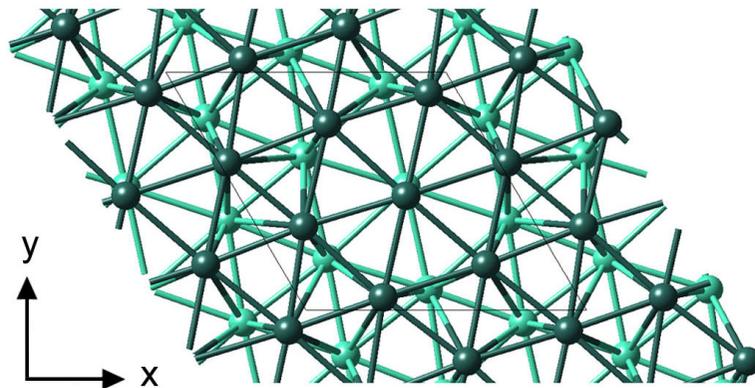


# Alloying Atoms at Interfaces

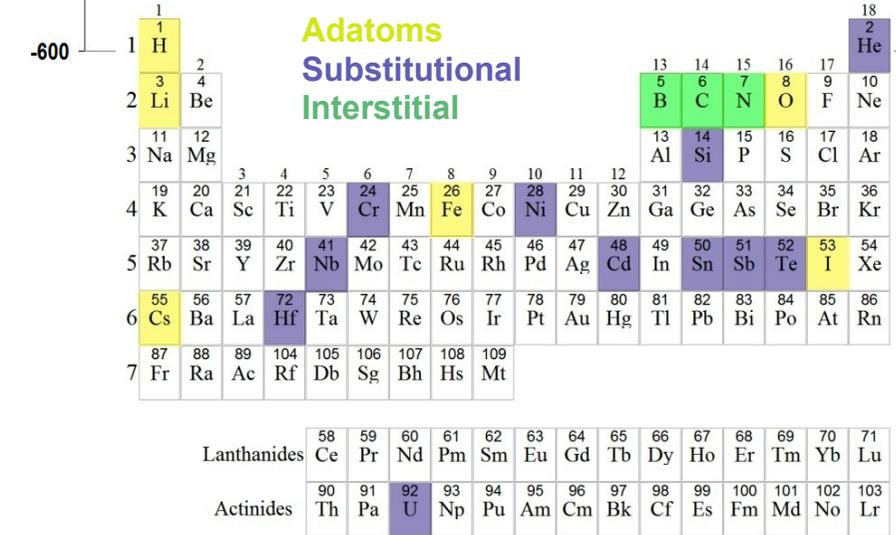
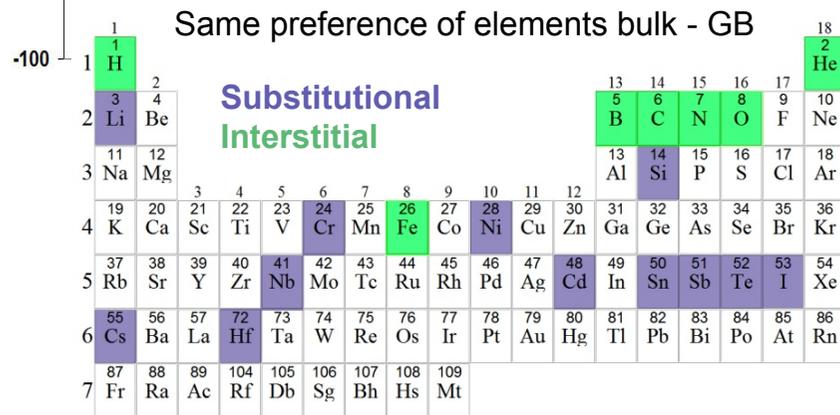
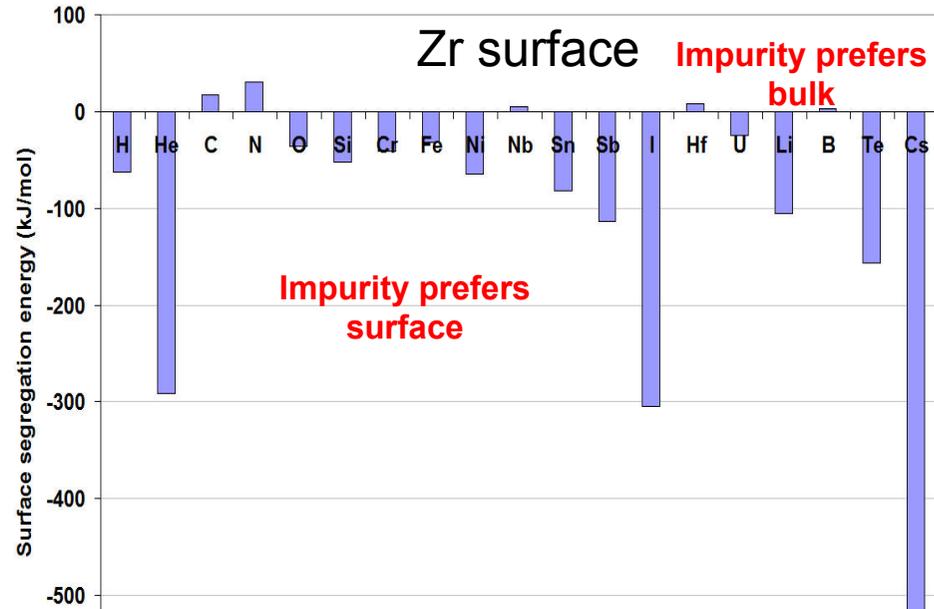
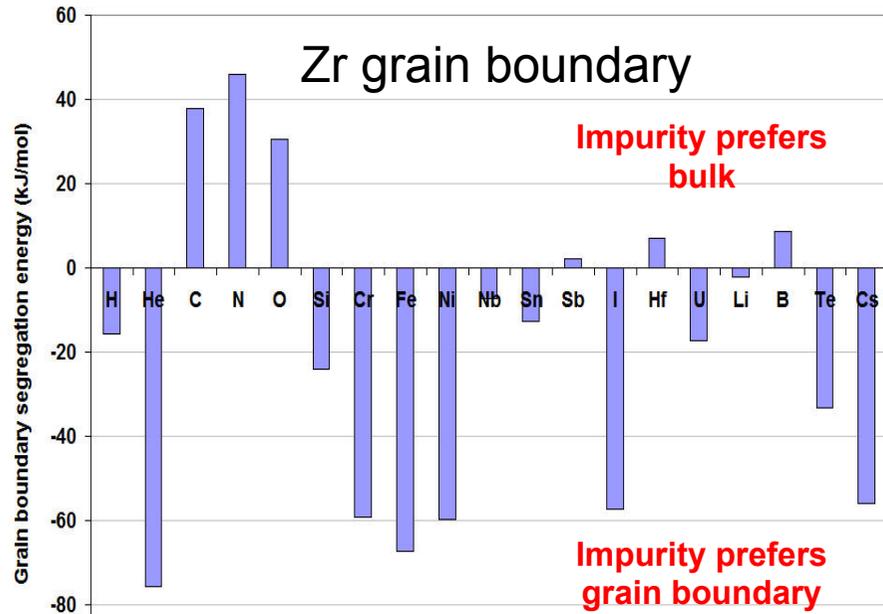
hcp - Zr



Zr(0001)/Zr(0001) s7  
twist grain boundary



# Zr GB and Surface Segregation

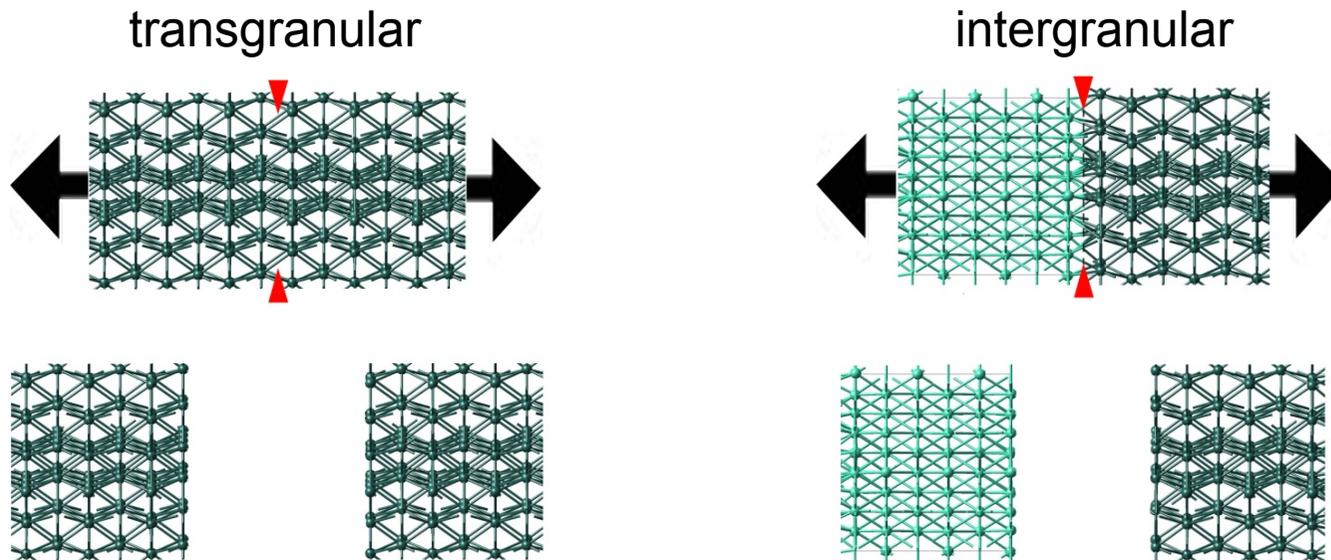


Lanthanides	58	59	60	61	62	63	64	65	66	67	68	69	70	71
	Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu
Actinides	90	91	92	93	94	95	96	97	98	99	100	101	102	103
	Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	No	Lr

Lanthanides	58	59	60	61	62	63	64	65	66	67	68	69	70	71
	Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu
Actinides	90	91	92	93	94	95	96	97	98	99	100	101	102	103
	Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	No	Lr



# Cleavage of Zr Grain Boundaries



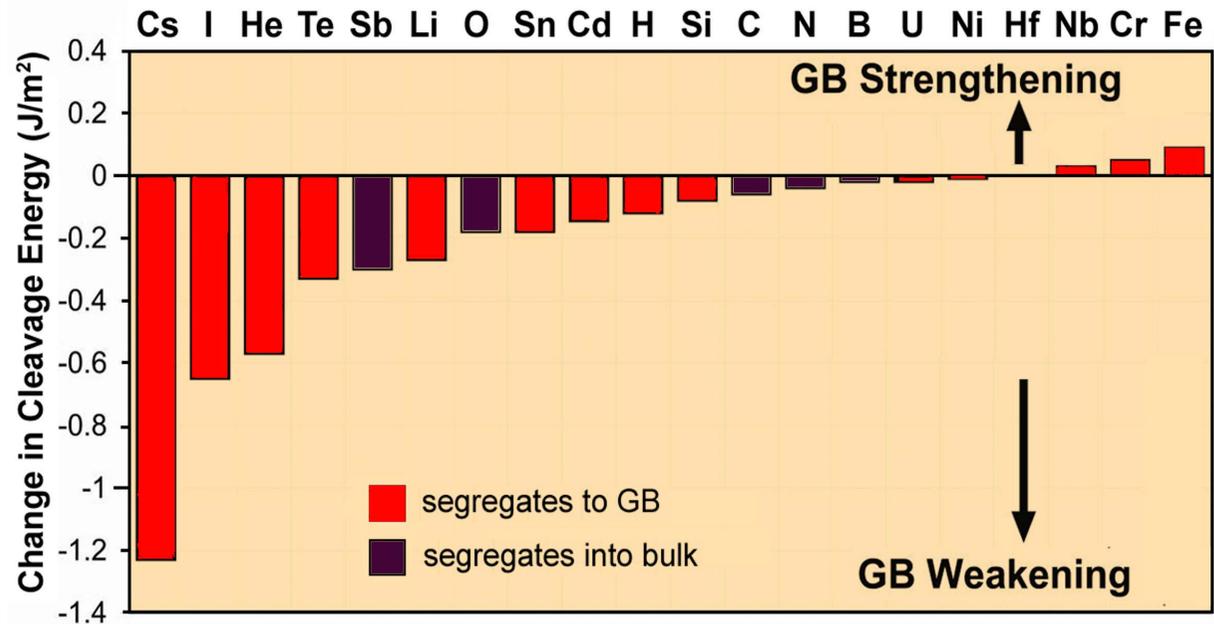
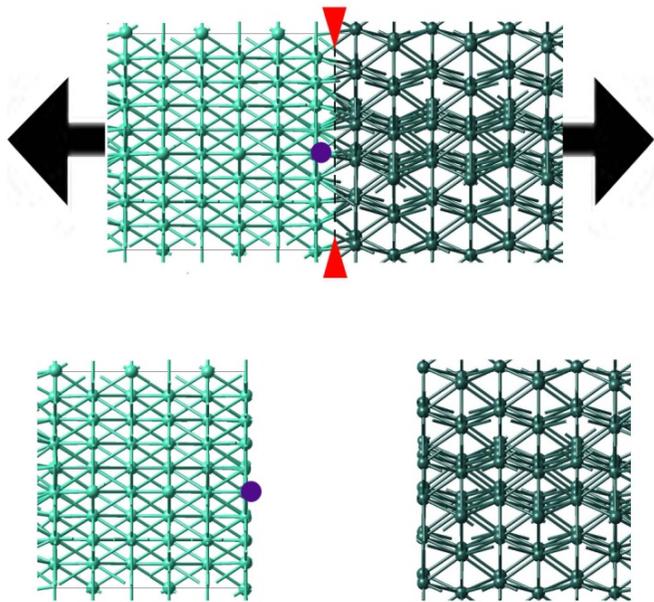
Computed work of separation

Cleavage energy of pure Zr along (0001) plane	<b>3.15 J m<sup>-2</sup></b>
Cleavage energy of Zr along $\Sigma 7(0001)$ grain boundary	<b>2.86 J m<sup>-2</sup></b>



# Effect of Impurities

Change in work of separation of Zr grain boundary by impurities





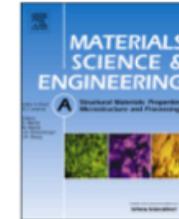
# Embrittlement in Cu Micro-Structures



Contents lists available at ScienceDirect

# Materials Science & Engineering A

journal homepage: [www.elsevier.com/locate/msea](http://www.elsevier.com/locate/msea)



## Temperature dependent transition of intragranular plastic to intergranular brittle failure in electrodeposited Cu micro-tensile samples



A. Wimmer<sup>a</sup>, M. Smolka<sup>b</sup>, W. Heinz<sup>a</sup>, T. Detzel<sup>c</sup>, W. Robl<sup>d</sup>, C. Motz<sup>e</sup>, V. Eyert<sup>f</sup>,  
E. Wimmer<sup>f</sup>, F. Jahnel<sup>g</sup>, R. Treichler<sup>g</sup>, G. Dehm<sup>h,\*</sup>

<sup>a</sup> Kompetenzzentrum Automobil- und Industrie-Elektronik GmbH, A-9524 Villach, Austria

<sup>b</sup> Institute of Sensor and Actuator Systems, Vienna University of Technology, A-1040 Vienna, Austria

<sup>c</sup> Infineon Technologies Austria AG, A-9500 Villach, Austria

<sup>d</sup> Infineon Technologies Germany AG, D-93049 Regensburg, Germany

<sup>e</sup> Chair Experimental Methods of Material Science, University of Saarland, D-66123 Saarbrücken, Germany

<sup>f</sup> Materials Design SARL, F-92120 Montrouge, France

<sup>g</sup> Siemens AG, Otto Hahn Ring 6, D-81739 München, Germany

<sup>h</sup> Max-Planck-Institut für Eisenforschung GmbH, D-40237 Düsseldorf, Germany

- improve strength and ductility of Cu microstructures
- introduce additives to reduce grain size (Hall-Petch effect) 😊
- additives cause embrittlement at elevated temperatures ☹️

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Microanalysis

Grain boundaries

Fracture

Plasticity

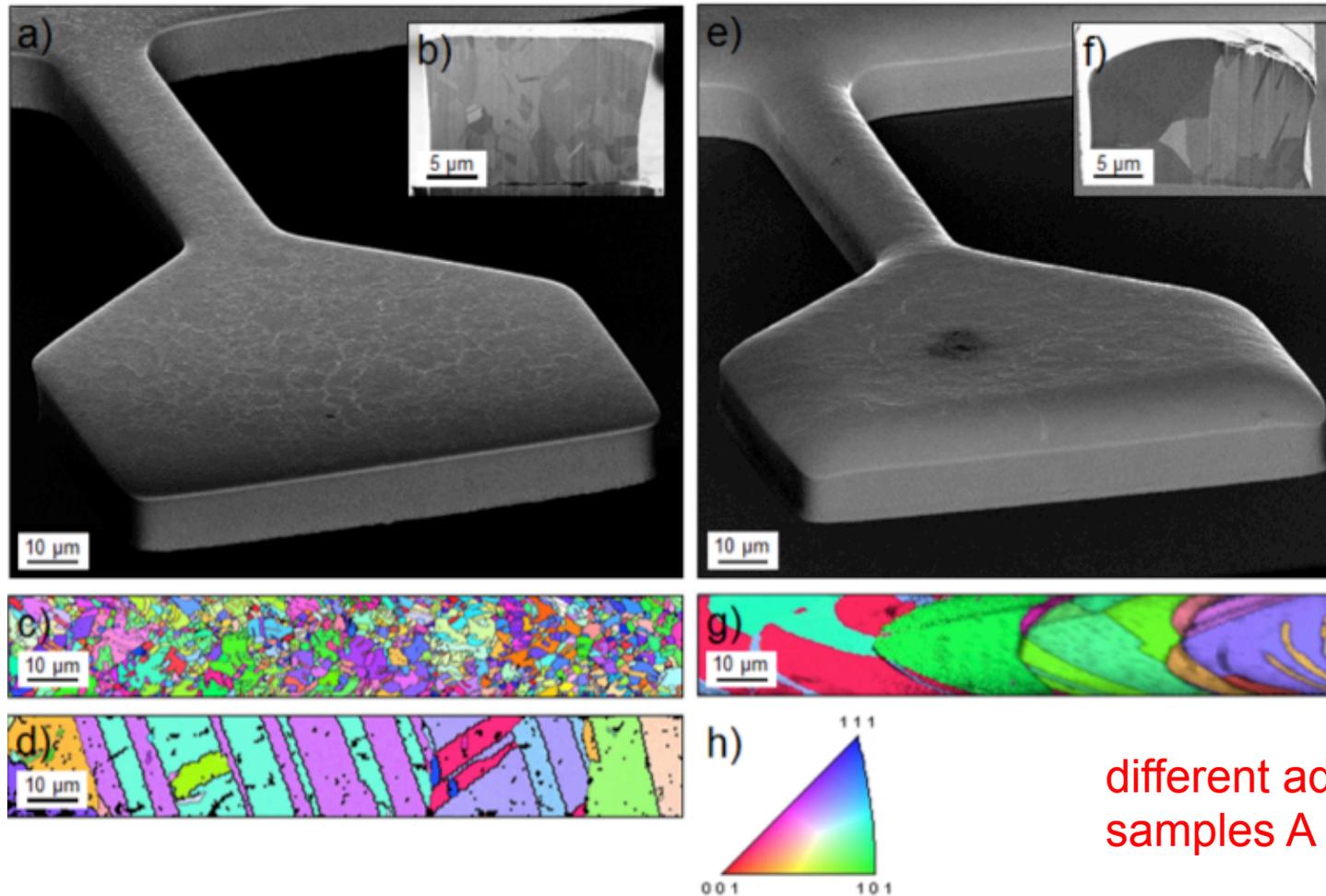
### ABSTRACT

Smaller grain sizes are known to improve the strength and ductility of metals by the Hall–Petch effect. Consequently, metallic thin films and structures which must sustain mechanical loads in service are deposited under processing conditions that lead to a fine grain size. In this study, we reveal that at temperatures as low as 473 K the failure mode of 99.99 at% pure electro-deposited Cu can change from ductile intragranular to brittle intergranular fracture. The embrittlement is accompanied by a decrease in strength and elongation to fracture. Chemical analyses indicate that the embrittlement is caused by impurities detected at grain boundaries. *In situ* micromechanical experiments in the scanning electron microscope and atomistic simulations are performed to study the underlying mechanisms.

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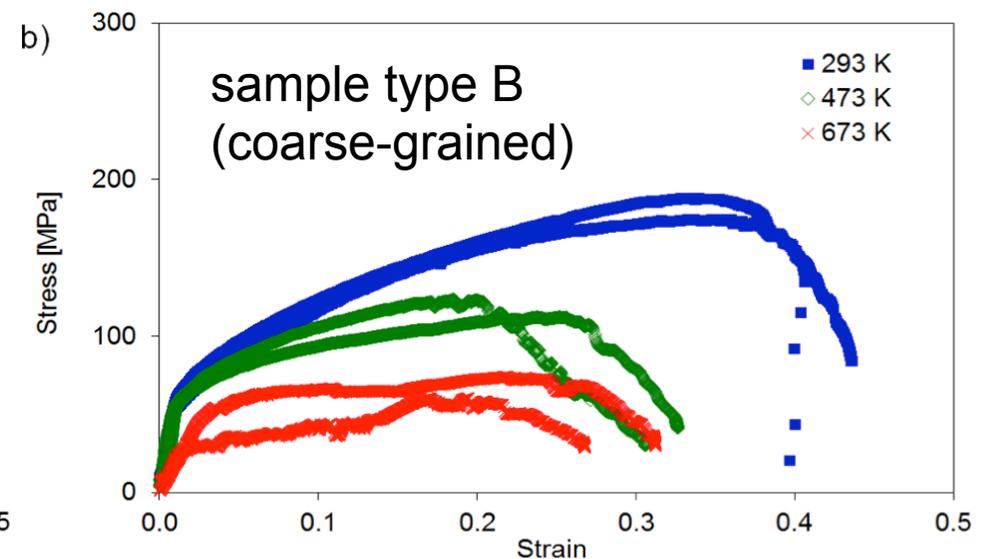
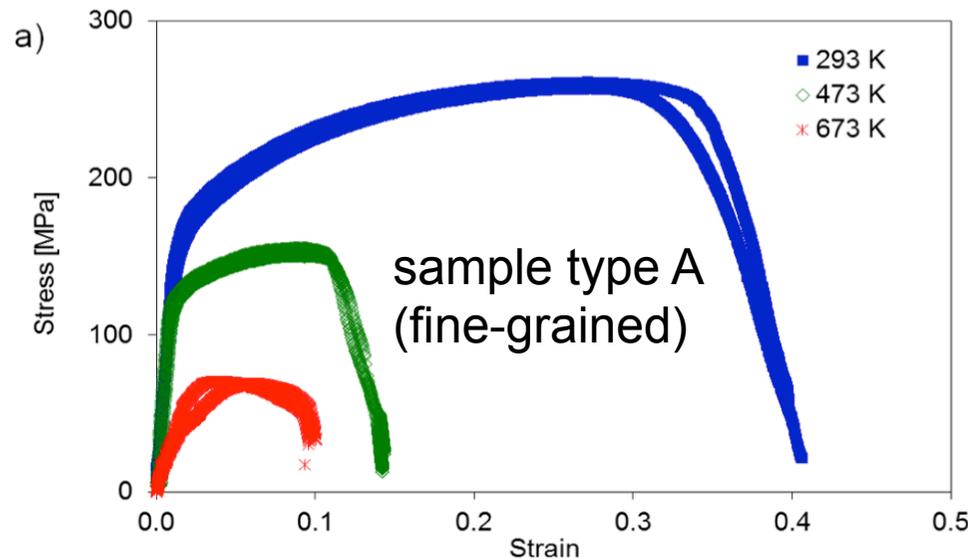
# Stress-Strain in Cu Microstructures



different additives in samples A and B!

- (a, b, c) sample type A (annealed at 673 K, medium grain size  $2.7 \pm 0.6 \mu\text{m}$ )
- (d) sample type A after additional annealing at 1073 K ( $15 \pm 5 \mu\text{m}$ )
- (e, f, g) sample type B ( $10.1 \pm 2.6 \mu\text{m}$ )

# Stress-Strain in Cu Microstructures



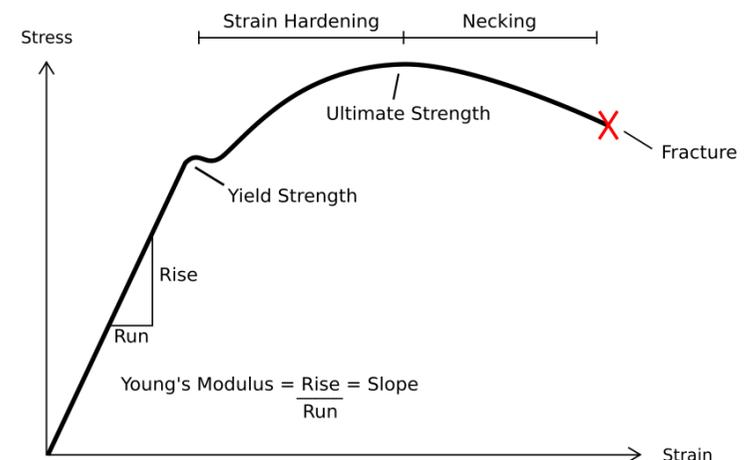
different additives in samples A and B!

293 K: coarse-grained → fine-grained

- yield stress and ultimate stress increase
- changes explained by Hall-Petch effect

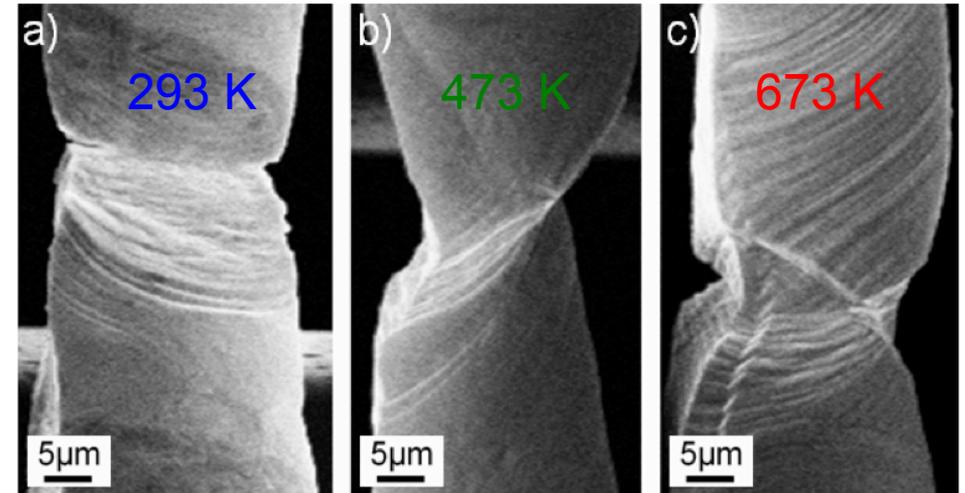
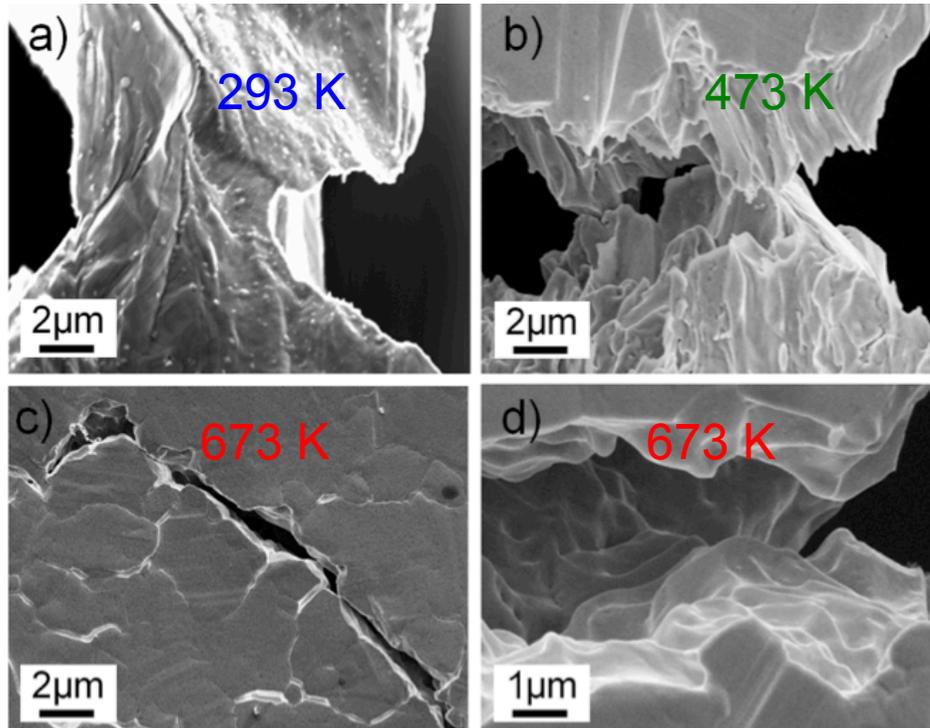
473 K and 673 K:

- drastic reduction of elongation to fracture for fine-grained samples
- scattering of results for coarse-grained structures due to small number of grains





# Stress-Strain in Cu Microstructures



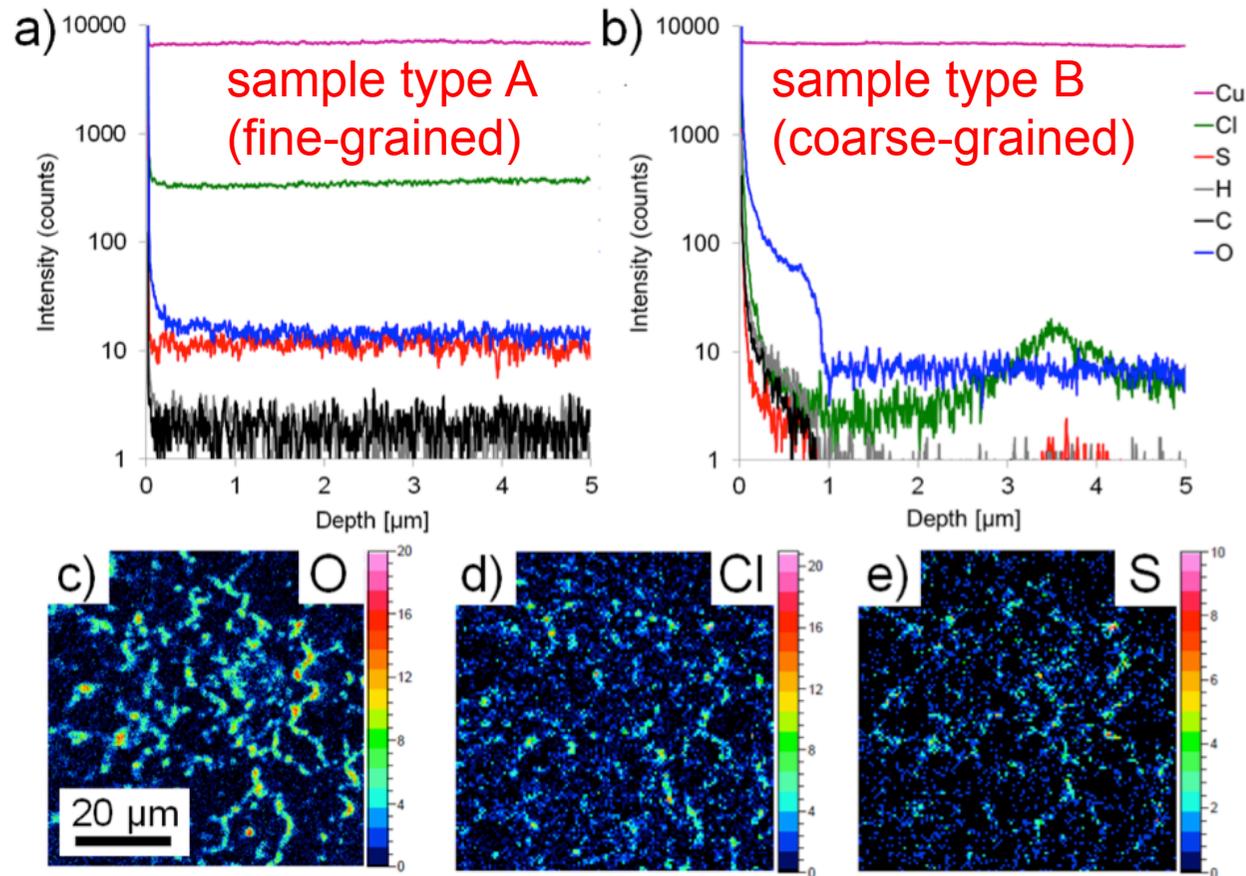
fine-grained samples A:

- strong plastic deformation
- grain-boundary embrittlement at elevated temperatures

coarse-grained samples B:

- glide steps across grains
- same morphology for all temperatures

# Stress-Strain in Cu Microstructures



## Atomistic Simulations

1. understand grain boundary and surface segregation; compute segregation energies
2. understand grain boundary weakening due to S and Cl
3. find elements which could compensate the detrimental effect of S and Cl but maintain the electronic and thermo-mechanical properties of Cu

- higher S, Cl content in fine-grained samples (additives)
- O, S, Cl enrichment at grain boundaries
- segregation of O, S, Cl to grain boundaries and surfaces

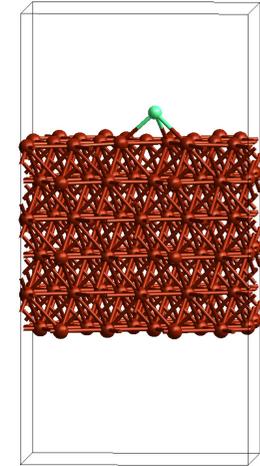
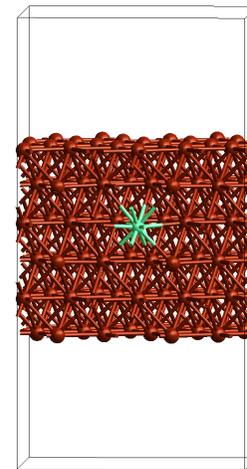
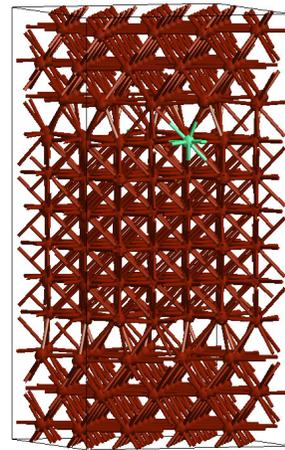
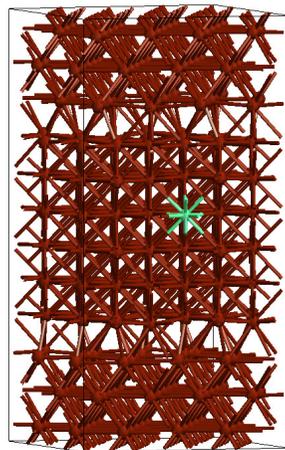


# Stress-Strain in Cu Microstructures

Calculated energy release during segregation  $\Delta E_{seg}$  of Cl and S in Cu.

**Cl and S have strong tendency to segregate from the bulk to the GB and, even more so, to the surface**

	$\Delta E_{seg}$ [kJ/mol]	
	Cl	S
GB segregation, $\Sigma 5$ (001)	-69.9	-54.3
surface segregation, (001)	-321.5	-145.3
GB segregation, $\Sigma 7$ (111)	-53.5	-56.3
surface segregation, (111)	-272.0	-129.9



grain boundary segregation

surface segregation



# Stress-Strain in Cu Microstructures

Calculated work of separation  $E_{sep}$  of  $\Sigma 5$  and  $\Sigma 7$  grain boundaries of pure Cu and Cu contaminated with Cl and S with planar impurity concentration  $c_{imp}$  in atoms per  $\text{nm}^2$ .

	$c_{imp}$ [ $1/\text{nm}^2$ ]	$E_{sep}$ [ $\text{J}/\text{m}^2$ ]		
		Pure Cu	Cl	S
$\Sigma 5$ (001)	0.77	1.08	0.82	1.01
$\Sigma 7$ (111)	0.62	1.13	0.89	1.04

- **Cl, more than S, has a pronounced weakening effect on grain boundary**
- **Formation of chlorides, more than sulfides, at the grain boundary may weaken the microstructure**
- **Objective: Find alloying elements, which already at low concentration**
  - **can be inserted into grain boundaries**
  - **compensate the detrimental role of Cl and S**
  - **maintain electronic and thermo-mechanical properties of Cu**



# Microelectronics: Gate Stacks

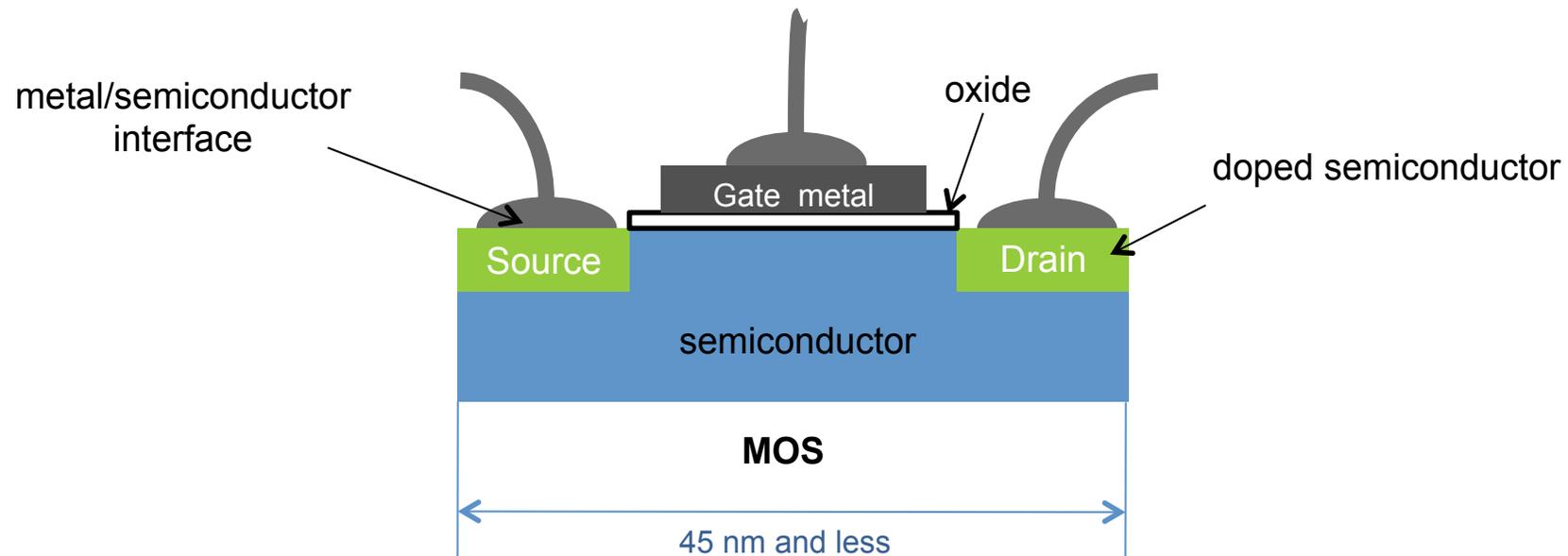


# Problem

## Semiconductor devices

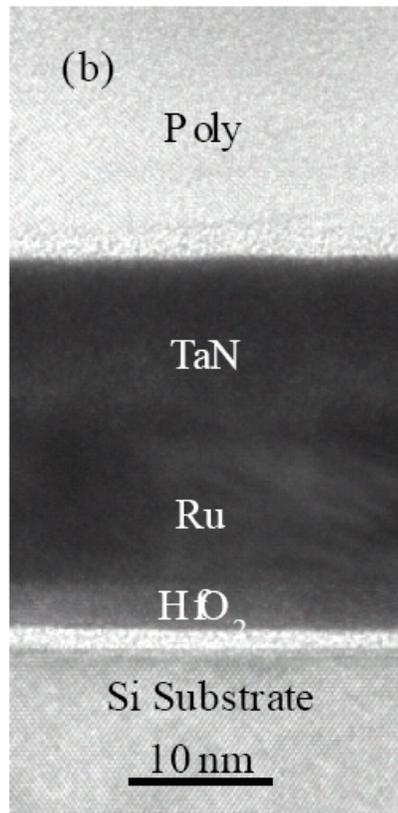
- ▶ How can one reduce the power consumption?

### Complementary Metal Oxide Semiconductor





# Next-Generation CMOS Devices



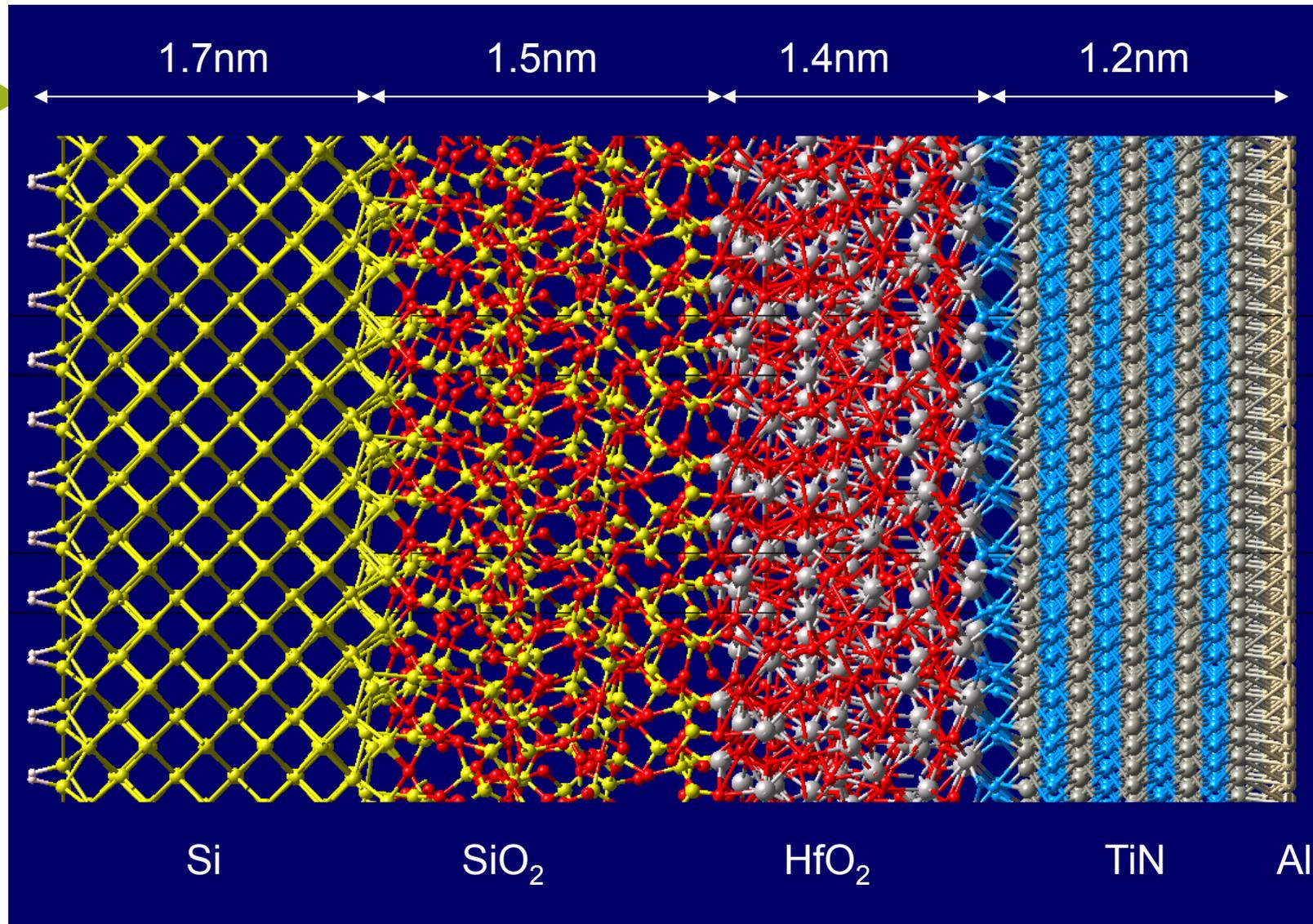
- ▶  $\text{SiO}_2$  cannot be used at thicknesses less than 15 Å (leakage current is too high)
- ▶  $\text{HfO}_2$  is replacement for  $\text{SiO}_2$  as a dielectric
- ▶ **A critical design parameter is the work function of the metal – which metallic material should be used so that it can be tuned for PMOS and CMOS devices?**

$\text{HfO}_2/\text{Ru}/\text{TaN}$  gate stack

ADVANCED FABRICATION PROCESSES FOR SUB-50 nm CMOS  
Muhammad Mustafa Hussain  
<http://www.lib.utexas.edu/etd/d/2005/hussainm51214/hussainm51214.pdf>



# Complete Gate Stack Model

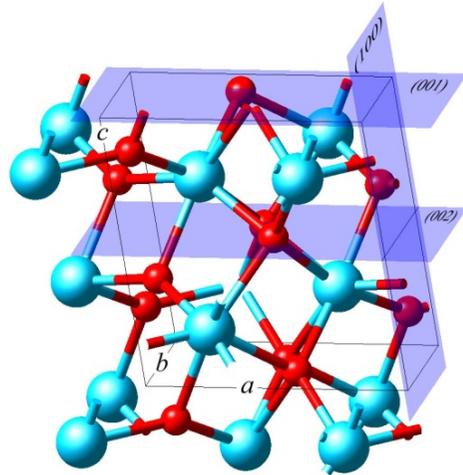




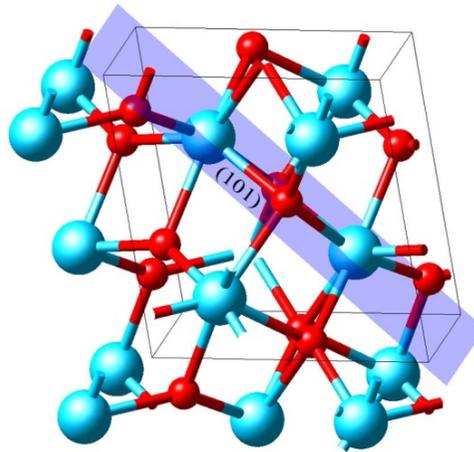
# Modeling the TiN/HfO<sub>2</sub> Interface



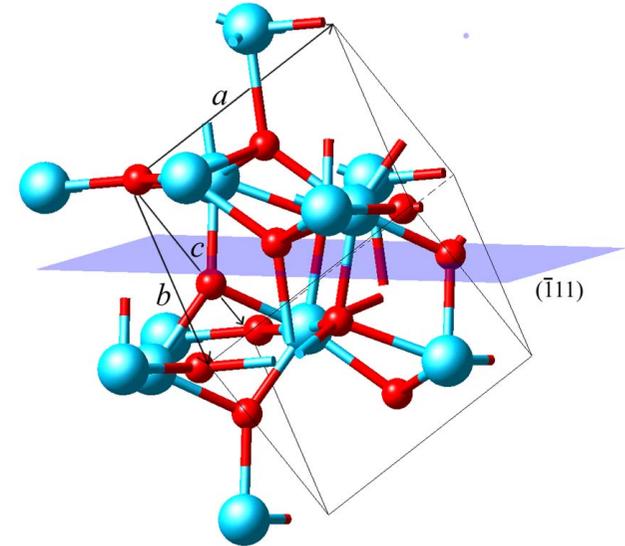
# Construction of Surface Models



(100), (010), (001)



(110), (101), (101)



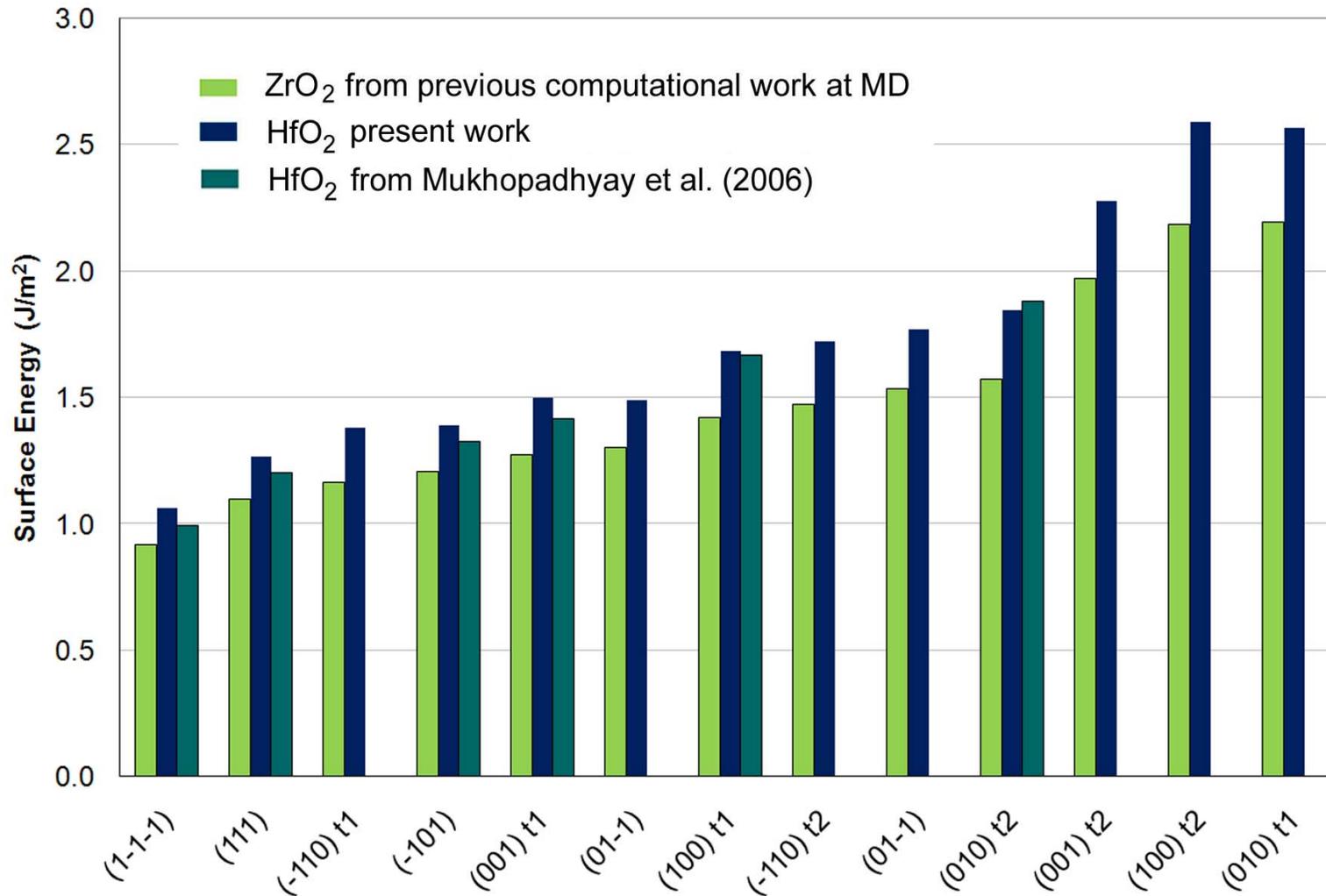
(111), (-111), (-1-11)

1. Define low-index Miller planes
2. Cut crystal with various surface terminations
3. Create slab models containing multiples of  $(\text{HfO}_2)$  units with identical upper and lower surfaces



# Finding Stable Surfaces of $\text{HfO}_2$

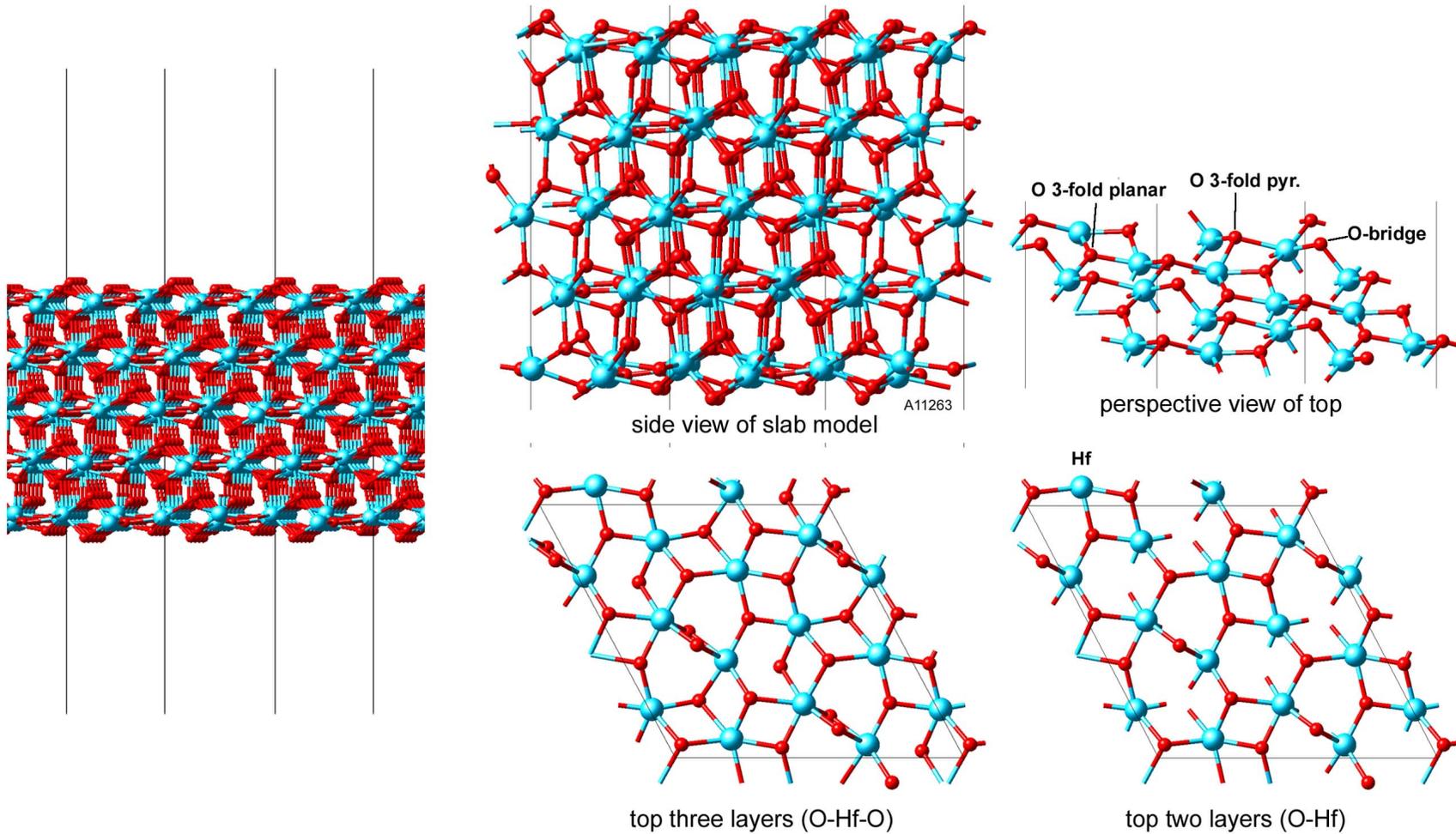
## Surface Energy of monoclinic $\text{ZrO}_2$ and $\text{HfO}_2$





# Stable Surface of $\text{HfO}_2$

Relaxed  $\text{HfO}_2$  ( $\bar{1}11$ ) surface





# Computation of Interface Structure

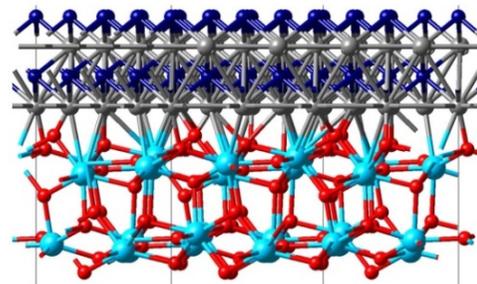
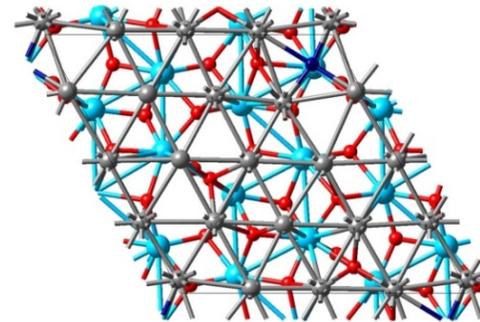
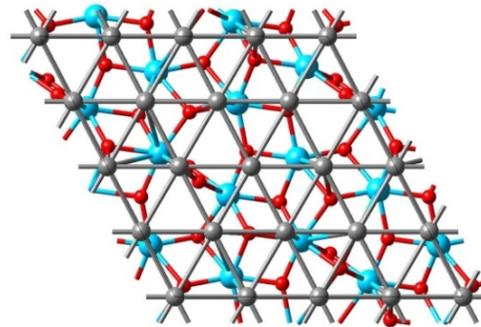
## HfO<sub>2</sub>(-111)/TiN(111) Interface

1. Find supercell and orientation with best match of HfO<sub>2</sub>(-111) and TiN(111) surfaces using MedeA-Interface builder

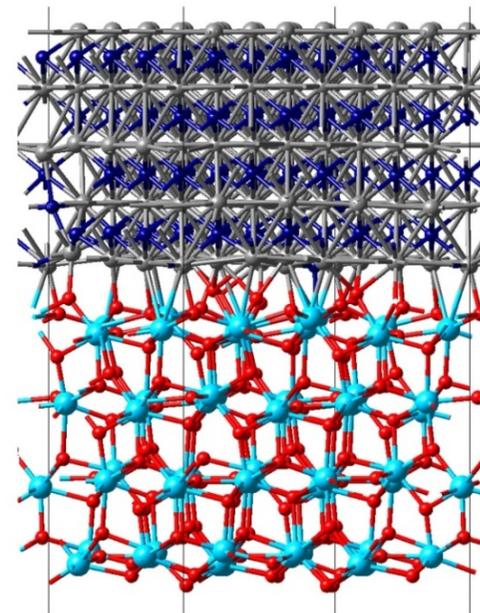
2. Create thin slab of HfO<sub>2</sub> surface

3. Deposit thin layer of TiN

4. Perform simulated annealing keeping bottom layers of oxide frozen



unrelaxed



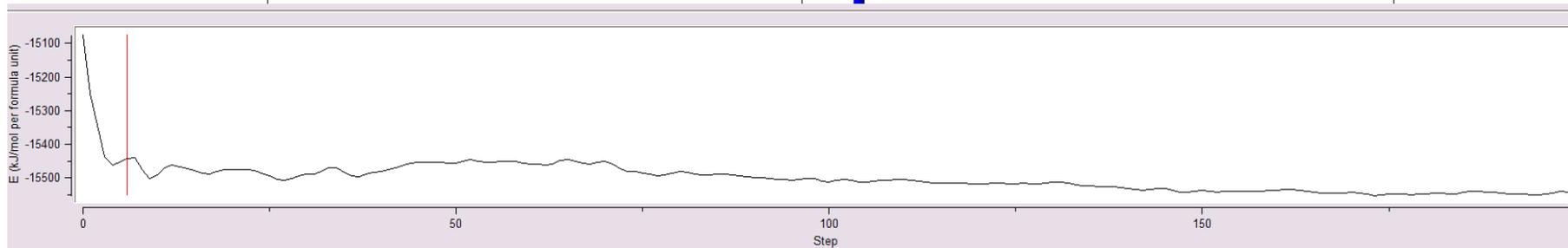
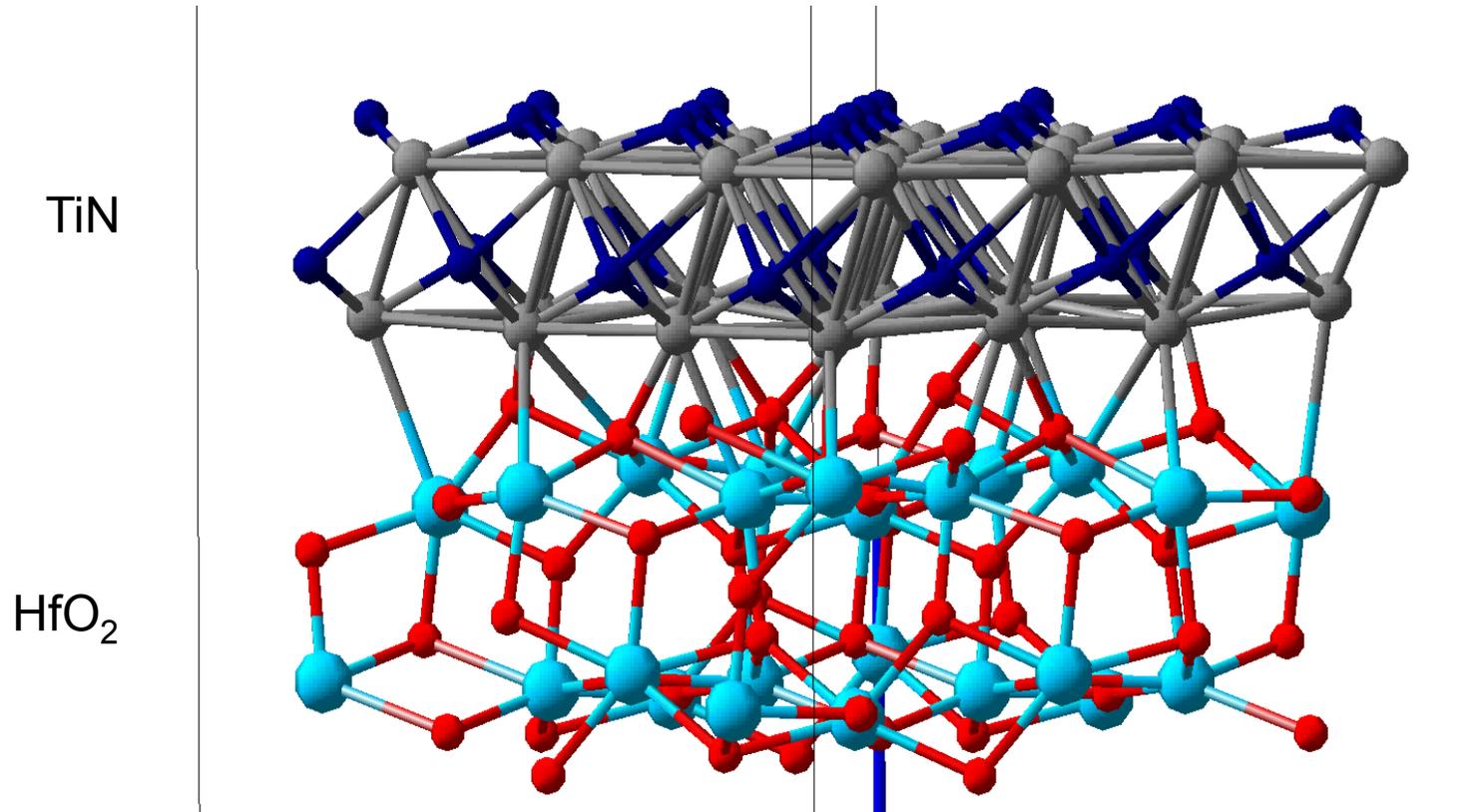
annealed and relaxed

5. Add more layers of HfO<sub>2</sub> and TiN and relax all atoms in the system

Interface remains abrupt with some relaxation of O towards Ti and N towards Hf

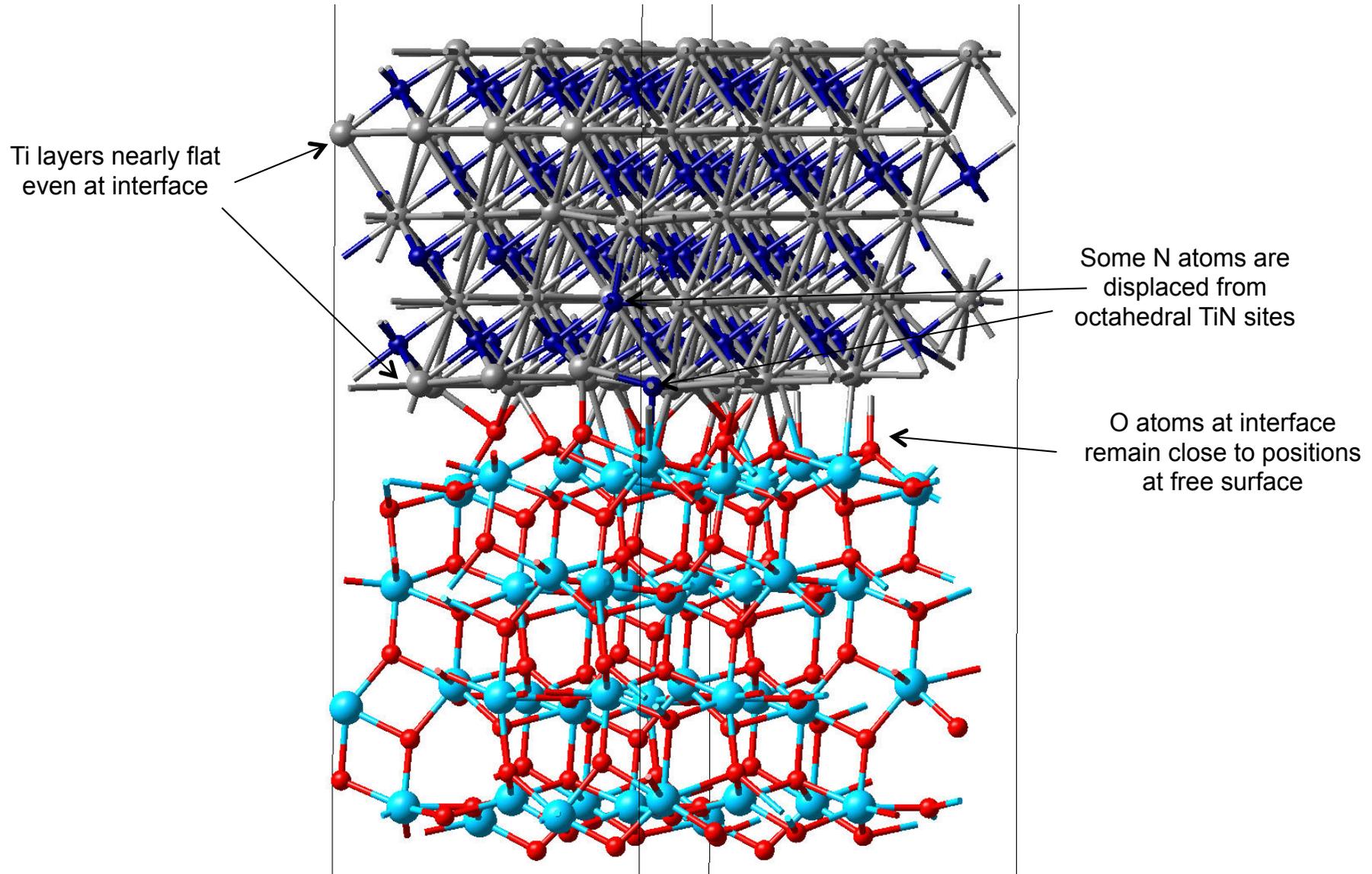


# Annealing of TiN Film on HfO<sub>2</sub> Surface



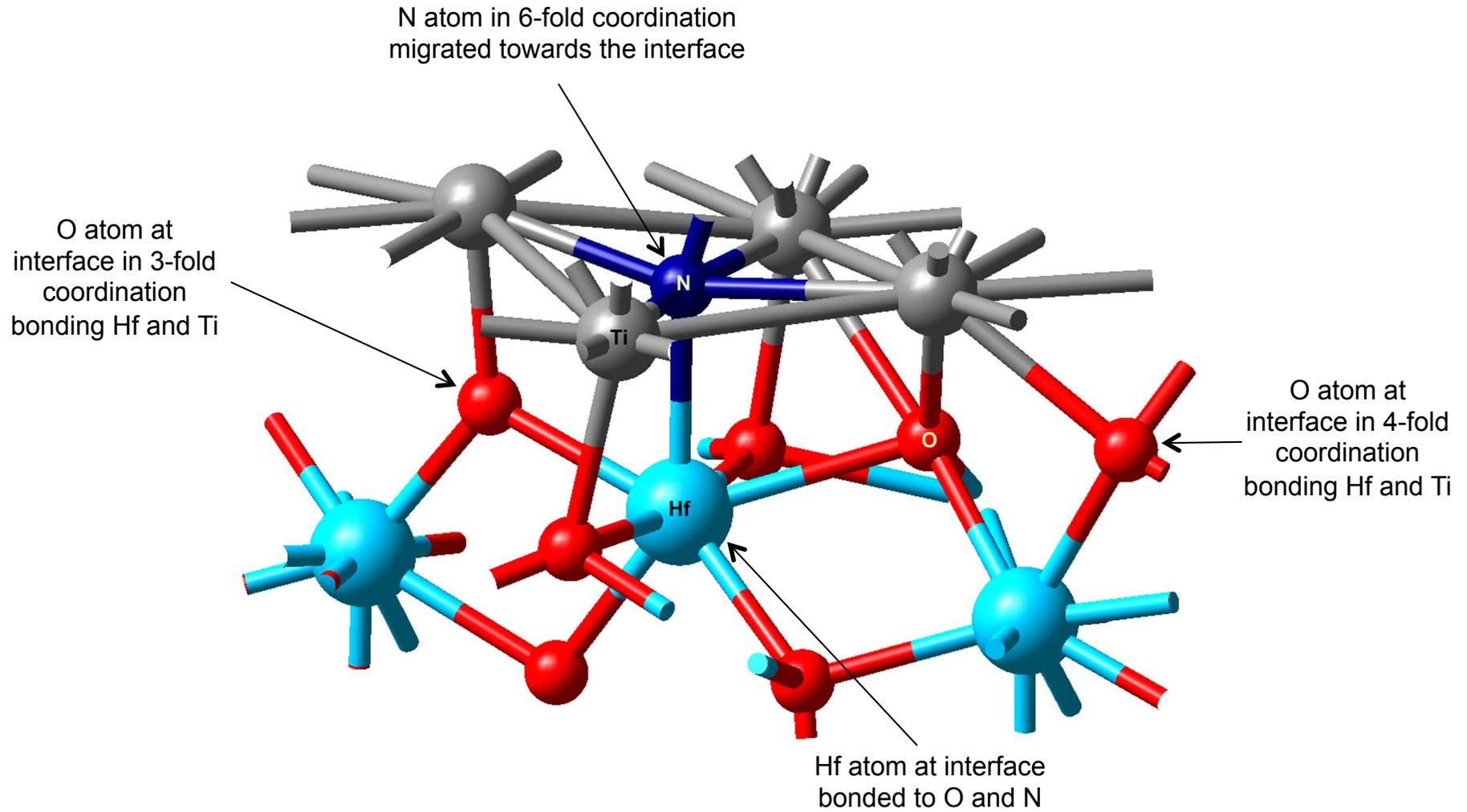


# Structure of Interface

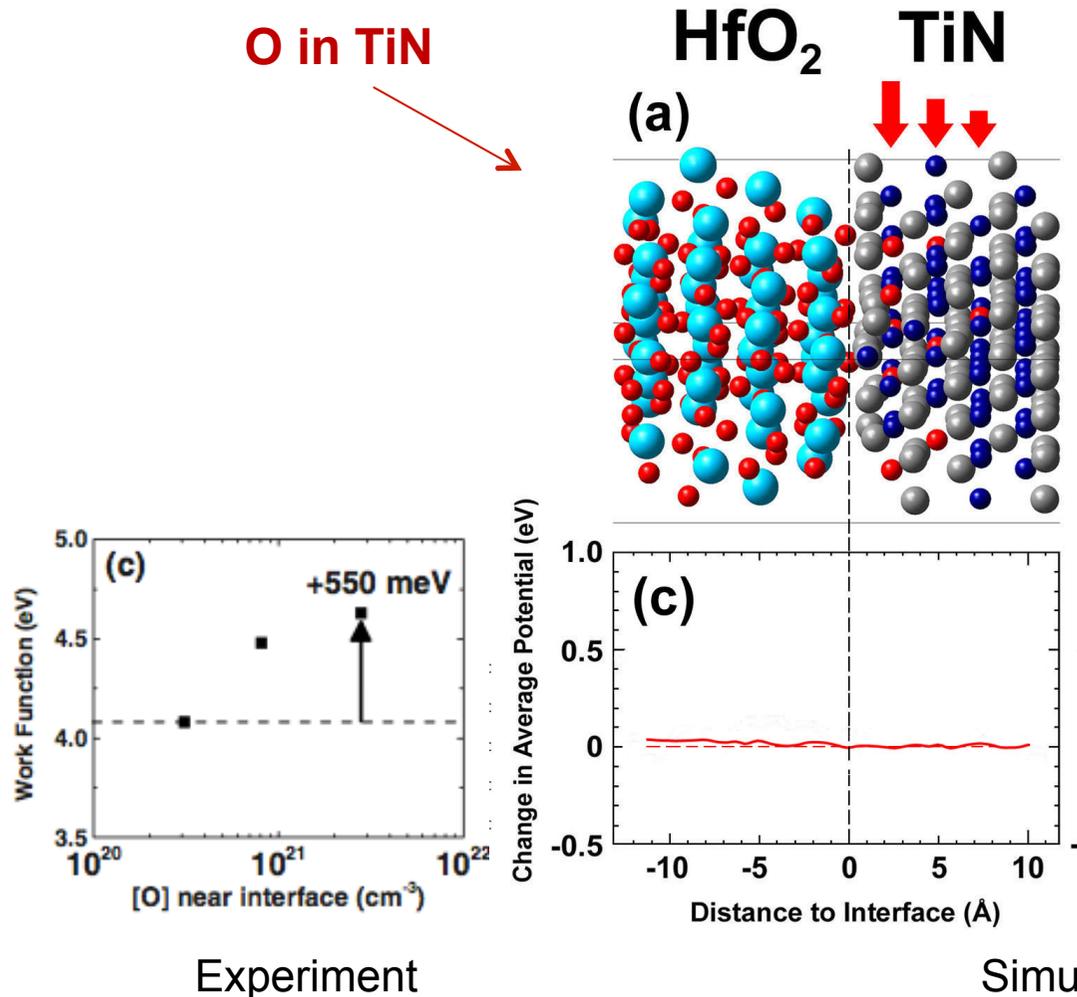




# Local Structure at Interface



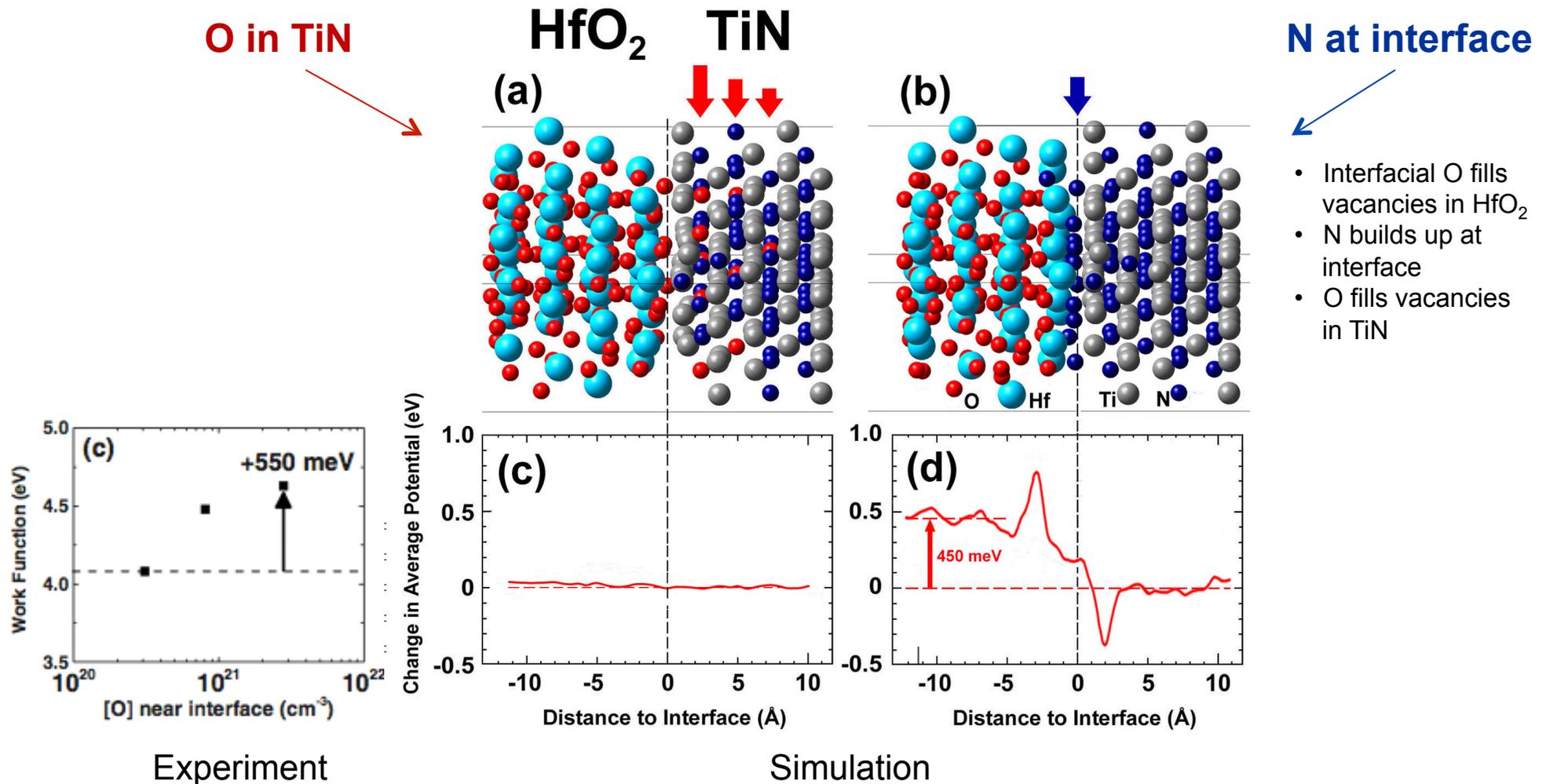
# HfO<sub>2</sub>/TiN Effective Work Function



- ▶ Experiments showed increase of EWF after annealing of stack under oxygen atmosphere
- ▶ Interpretation: oxygen inside TiN increase the work function

Reference: Hinkle *et al.*, Appl. Phys. Lett. **96**, 103502 (2010), cooperation TI & MD

# HfO<sub>2</sub>/TiN Effective Work Function



► Nitrogen replacing oxygen at the interface plays the key role

Reference: Hinkle *et al.*, Appl. Phys. Lett. **96**, 103502 (2010), cooperation TI & MD



# Interface HfO<sub>2</sub>/TiN

APPLIED PHYSICS LETTERS **96**, 103502 (2010)

## Interfacial oxygen and nitrogen induced dipole formation and vacancy passivation for increased effective work functions in TiN/HfO<sub>2</sub> gate stacks

C. L. Hinkle,<sup>1,2,a)</sup> R. V. Galatage,<sup>2</sup> R. A. Chapman,<sup>2</sup> E. M. Vogel,<sup>1,2</sup> H. N. Alshareef,<sup>3</sup>  
C. Freeman,<sup>4</sup> E. Wimmer,<sup>4</sup> H. Niimi,<sup>5</sup> A. Li-Fatou,<sup>5</sup> J. B. Shaw,<sup>5</sup> and J. J. Chambers<sup>5</sup>

<sup>1</sup>*Department of Materials Science and Engineering, The University of Texas at Dallas, Richardson, Texas 75080, USA*

<sup>2</sup>*Department of Electrical Engineering, The University of Texas at Dallas, Richardson, Texas 75080, USA*

<sup>3</sup>*King Abdullah University of Science & Technology, Thuwal 23955-6900, Saudi Arabia*

<sup>4</sup>*Materials Design, Incorporated, Angel Fire, New Mexico 87710, USA*

<sup>5</sup>*Advanced CMOS, Texas Instruments Incorporated, Dallas, Texas 75243, USA*

(Received 20 November 2009; accepted 14 February 2010; published online 9 March 2010)

Effective work function (EWF) changes of TiN/HfO<sub>2</sub> annealed at low temperatures in different ambient environments are correlated with the atomic concentration of oxygen in the TiN near the metal/dielectric interface. EWF increases of 550 meV are achieved with anneals that incorporate oxygen throughout the TiN with  $[O]=2.8 \times 10^{21} \text{ cm}^{-3}$  near the TiN/HfO<sub>2</sub> interface. However, further increasing the oxygen concentration via more aggressive anneals results in a relative decrease of the EWF and increase in electrical thickness. First-principles calculations indicate the exchange of O and N atoms near the TiN/HfO<sub>2</sub> interface cause the formation of dipoles that increase the EWF. © 2010 American Institute of Physics. [doi:10.1063/1.3353993]

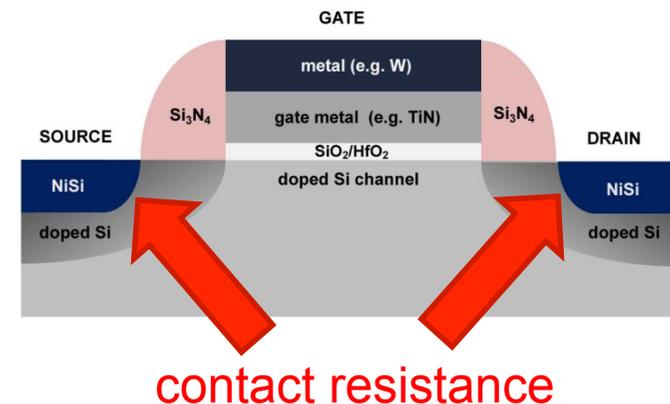


# Schottky-Barriers



# Importance

- ▶ Reduction of power consumption of electronic devices is a global imperative.
- ▶ In complementary metal oxide semiconductor (CMOS) devices some parts of the resistance are reduced by scaling to smaller sizes, but not the contact resistance at the source and drain. This contact resistance is becoming a critical bottleneck.

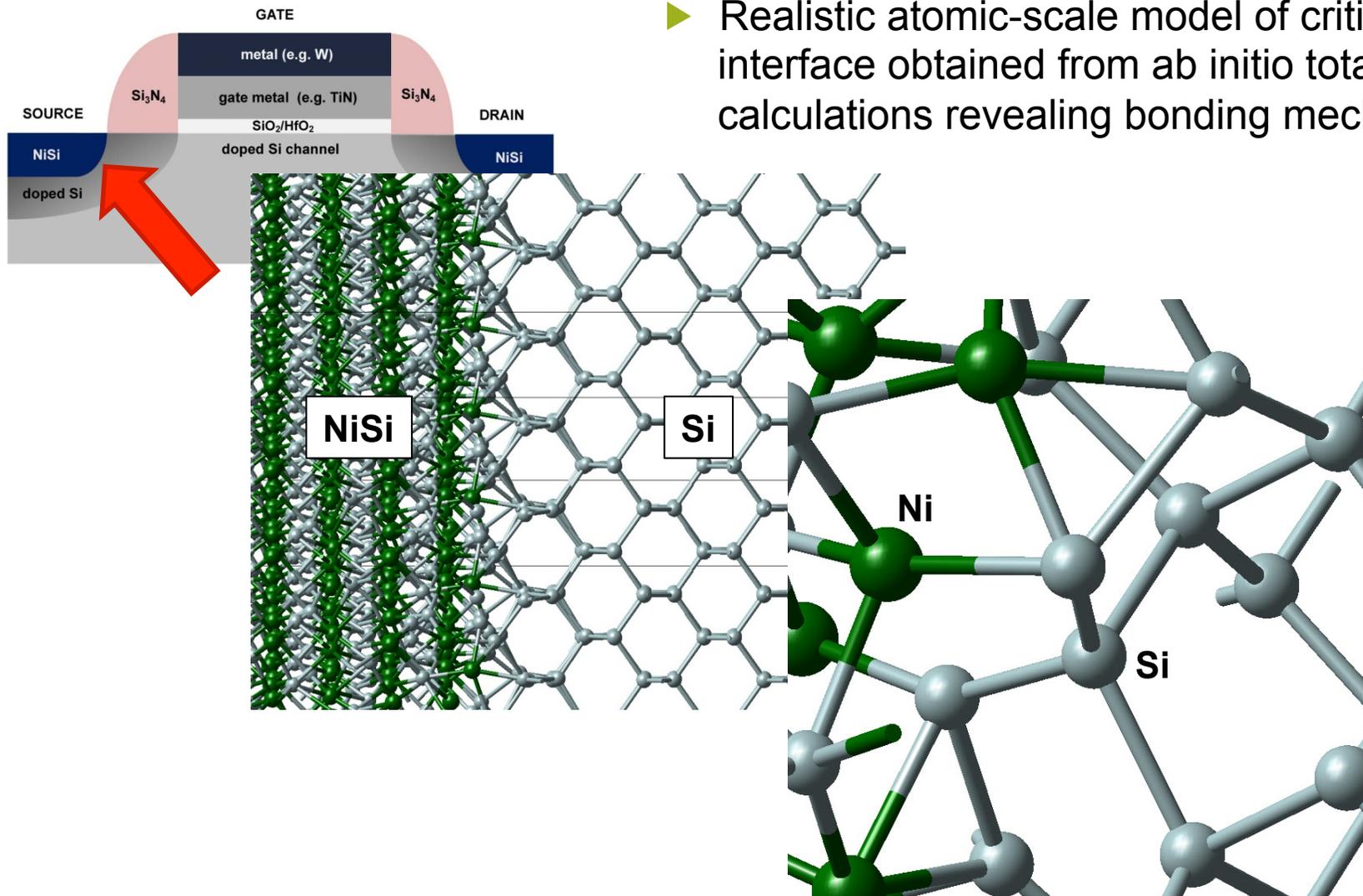


- ▶ The contact resistance is controlled by the Schottky barrier (SB) at the interface between the metallic (NiSi) and semiconducting (doped Si) regions.
- ▶ The critical interface can be simulated on the atomistic scale providing detailed understanding and guidance to innovative solutions.



# Zooming in with MedeA<sup>®</sup>

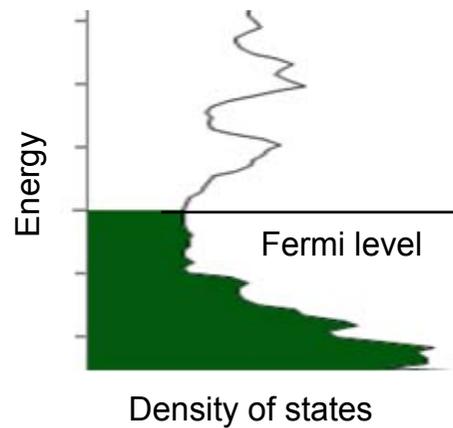
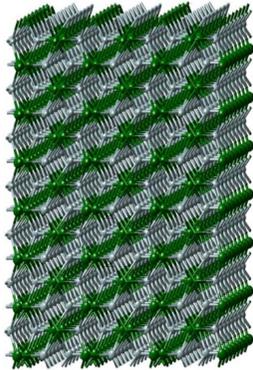
- Realistic atomic-scale model of critical interface obtained from ab initio total energy calculations revealing bonding mechanisms



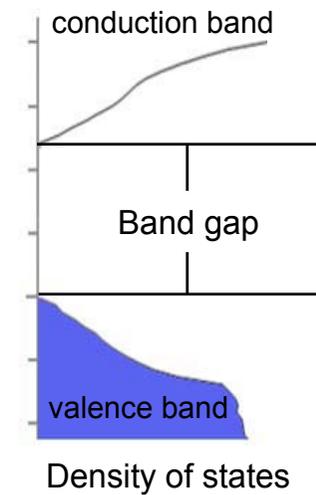
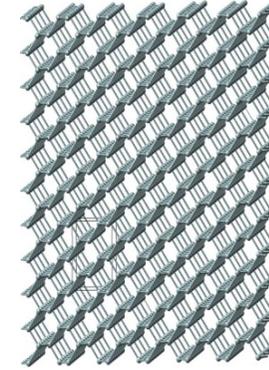


# Simulating Formation of SB

Metal (NiSi)



Semiconductor (Si)



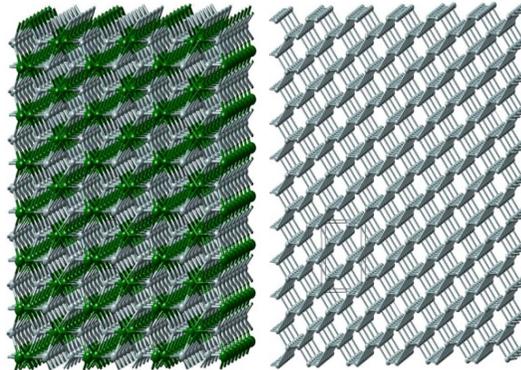


# Simulating Formation of SB

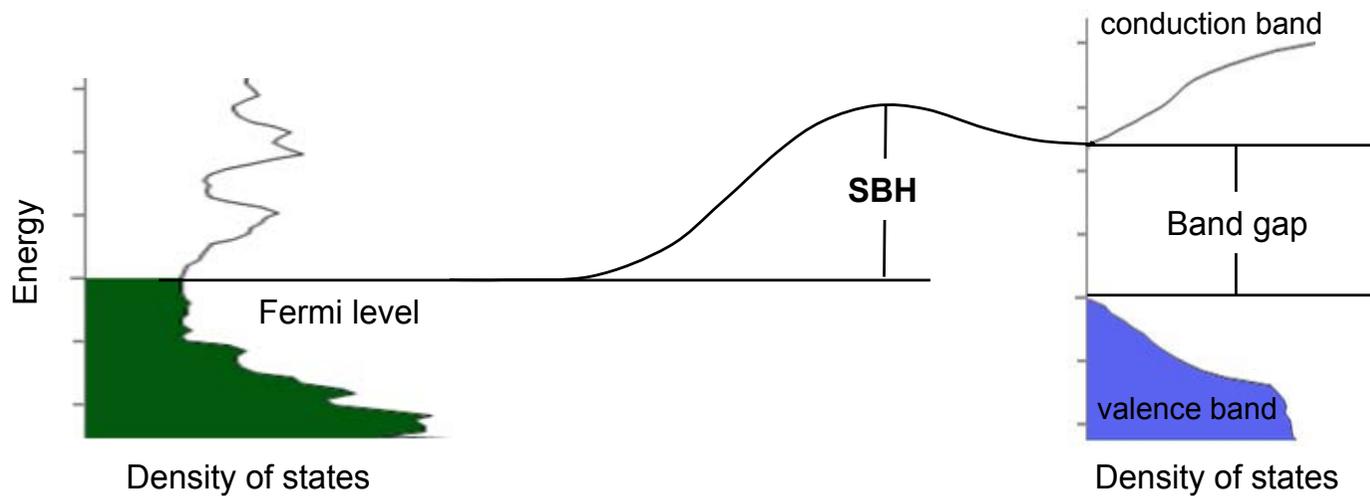
Metal (NiSi)

Semiconductor (Si)

Schottky barrier height (SBH)



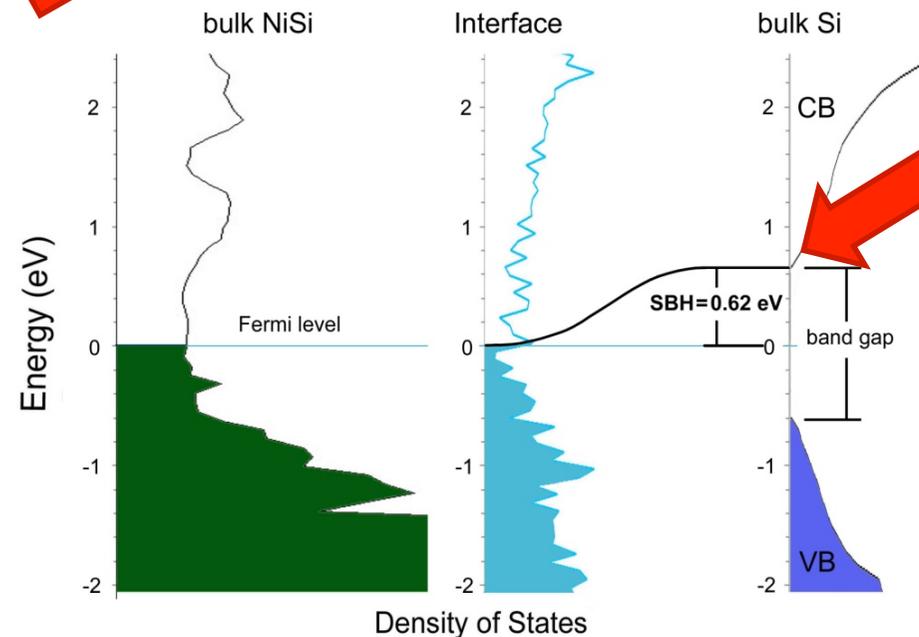
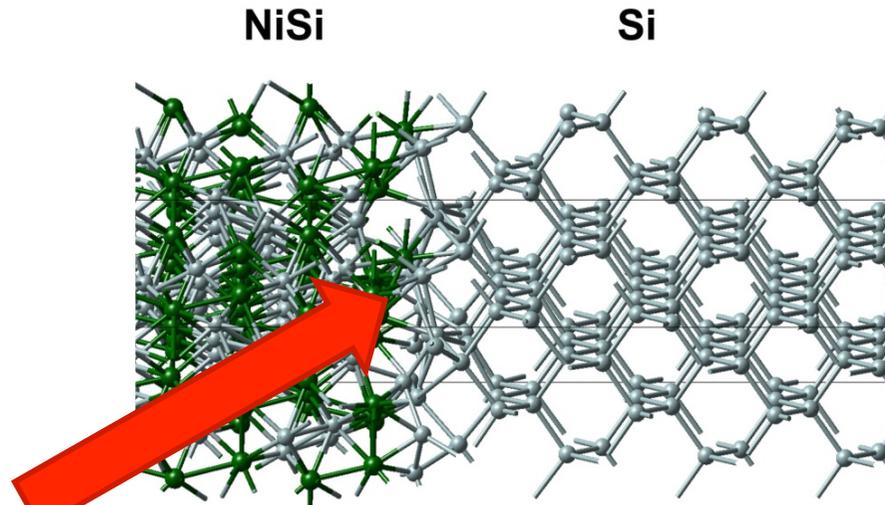
- ▶ What is the structure of the interface?
- ▶ What is the value of the SBH?
- ▶ What is the influence of dopants?





# MedeA<sup>®</sup>-VASP Provides the Answers

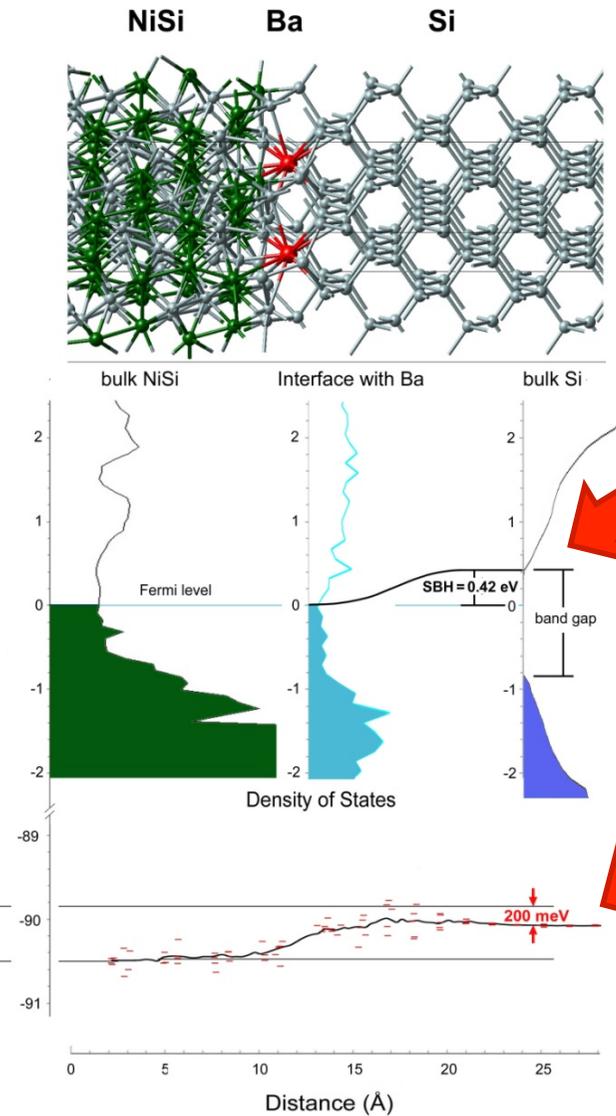
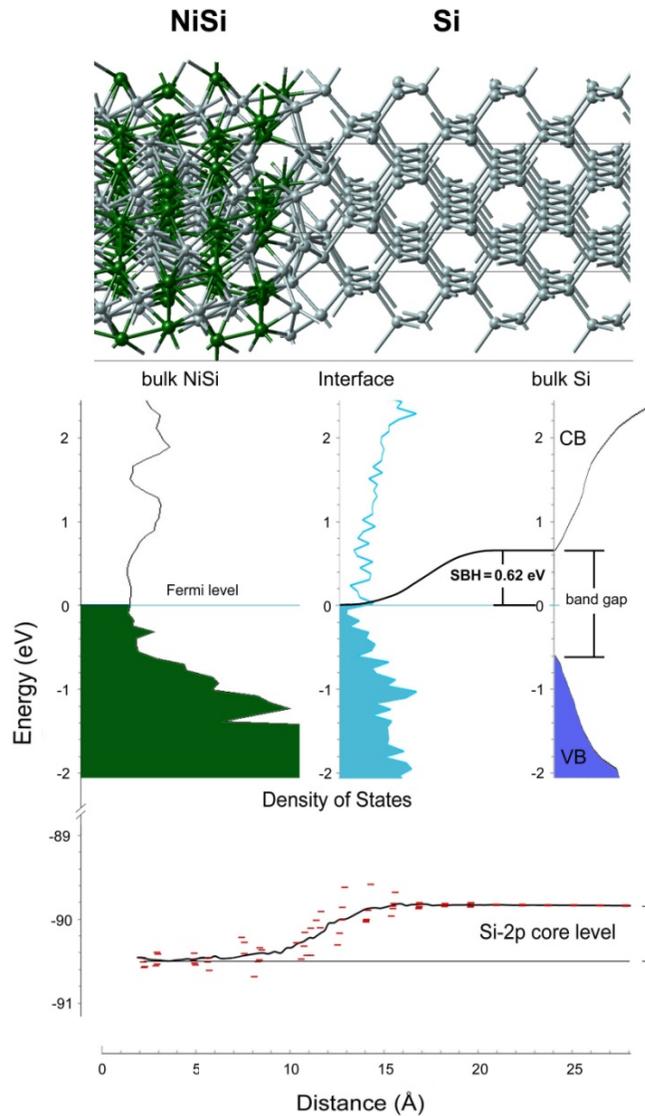
- ▶ MedeA<sup>®</sup>-VASP provides detailed and reliable information on the structure and bonding of the interface



- ▶ MedeA<sup>®</sup>-VASP predicts the value of the SBH



# MedeA<sup>®</sup>-VASP Provides the Answers



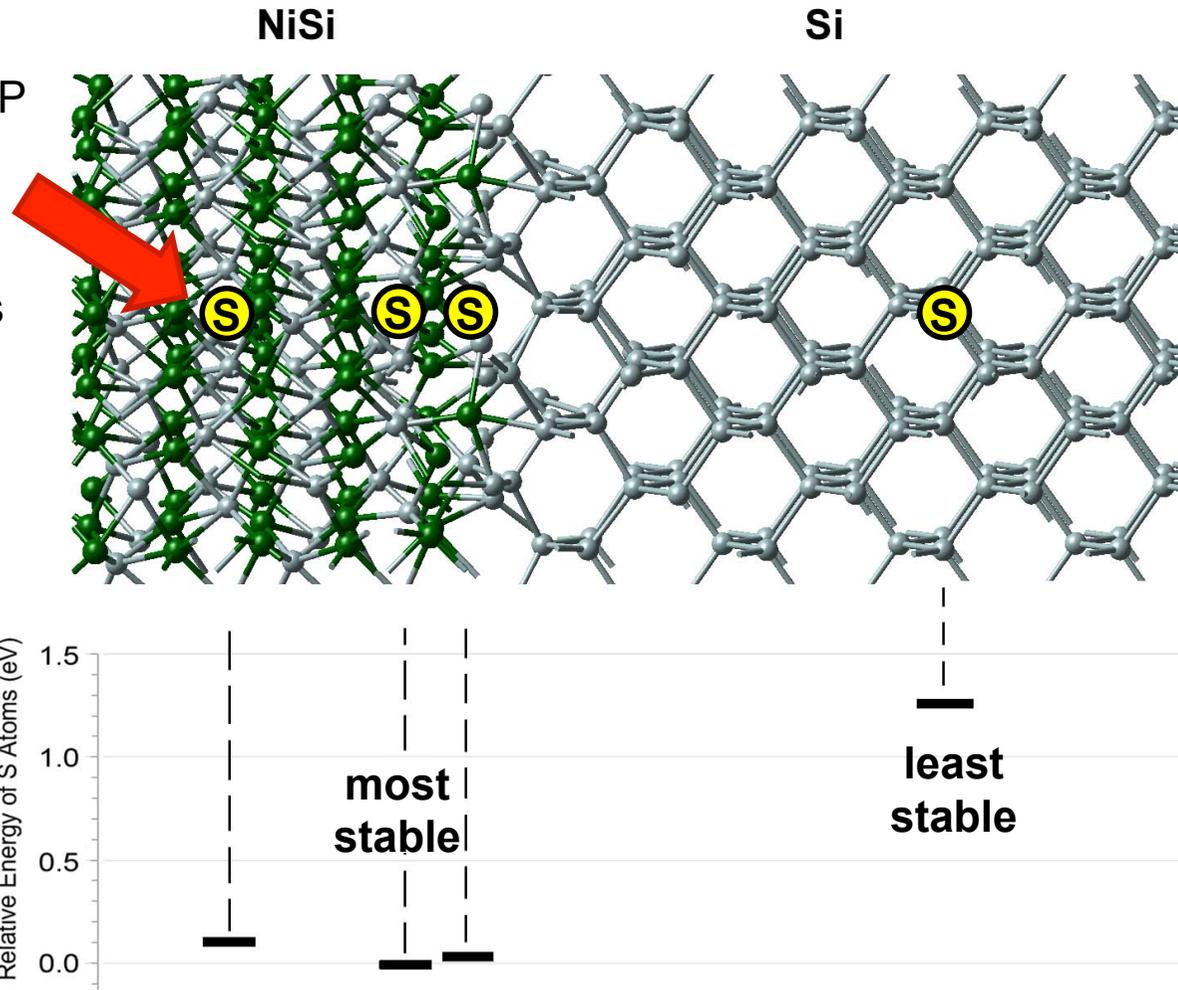
► MedeA<sup>®</sup>-VASP predicts the effect of dopants on the SBH

► MedeA<sup>®</sup>-VASP predicts core level shifts



# Preferred Location of Dopants

- Medea<sup>®</sup>-VASP predicts the preferred locations of dopant atoms





# Tuning of SBH

APPLIED PHYSICS LETTERS 86, 062108 (2005)

## Tuning of NiSi/Si Schottky barrier heights by sulfur segregation during Ni silicidation

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(Received 27 September 2004; accepted 14 December 2004; published online 2 February 2005)

The Schottky barrier height (SBH) of NiSi on Si(100) was tuned in a controlled manner by the segregation of sulfur (S) to the silicide/silicon interface. S was implanted into silicon prior to silicidation. During subsequent Ni silicidation, the segregation of S at the NiSi/Si interface leads to the change of the SBH. The SBH of NiSi decreased gradually on *n*-Si(100) from 0.65 eV to 0.07 eV and increased correspondingly on *p*-Si(100). © 2005 American Institute of Physics. [DOI: 10.1063/1.1863442]

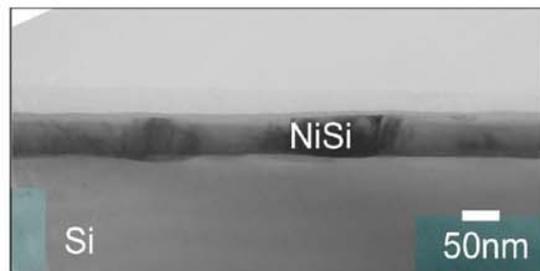


FIG. 1. XTEM image of a NiSi layer formed at 550 °C for 1 min on the *n*-Si(100) substrate implanted with 15 keV,  $2 \times 10^{14}$  S<sup>+</sup>/cm<sup>2</sup> prior to Ni deposition.

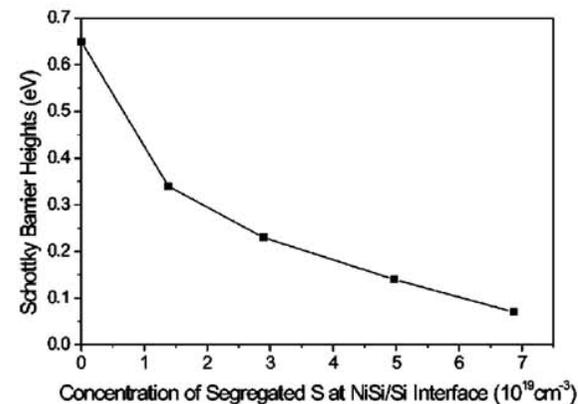


FIG. 6. SBH values of NiSi on *n*-Si(100) as a function of the concentration of the S atoms segregated at the NiSi/*n*-Si(100) interface.



# Business Relevance



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(19) **United States**

(12) **Patent Application Publication**  
**YAMAUCHI et al.**

(10) **Pub. No.: US 2009/0134388 A1**

(43) **Pub. Date: May 28, 2009**

(54) **SEMICONDUCTOR DEVICE AND FABRICATION METHOD OF SAME**

(75) Inventors: **Takashi YAMAUCHI**, Kanagawa (JP); **Yoshifumi Nishi**, Kanagawa (JP); **Yoshinori Tsuchiya**, Kanagawa (JP); **Junji Koga**, Kanagawa (JP); **Koichi Kato**, Kanagawa (JP)

Correspondence Address:

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(73) Assignee: **KABUSHIKI KAISHA TOSHIBA**, Tokyo (JP)

(21) Appl. No.: **12/203,409**

(22) Filed: **Sep. 3, 2008**

(30) **Foreign Application Priority Data**

Nov. 26, 2007 (JP) ..... 2007-304572

### Publication Classification

(51) **Int. Cl.**  
**H01L 29/08** (2006.01)  
**H01L 21/06** (2006.01)

(52) **U.S. Cl.** ..... **257/42; 438/102; 257/E21.068; 257/E29.029**

### (57) ABSTRACT

A semiconductor device having a metal insulator semiconductor field effect transistor (MISFET) with interface resistance-reduced source/drain electrodes is disclosed. This device includes a p-type MISFET formed on a semiconductor substrate. The p-MISFET has a channel region in the substrate, a gate insulating film on the channel region, a gate electrode on the gate insulating film, and a pair of laterally spaced-apart source and drain electrodes on both sides of the channel region. These source/drain electrodes are each formed of a nickel (Ni)-containing silicide layer. The p-MISFET further includes an interface layer which is formed on the substrate side of an interface between the substrate and each source/drain electrode. This interface layer contains magnesium (Mg), calcium (Ca) or barium (Ba) therein. A fabrication method of the semiconductor device is also disclosed.

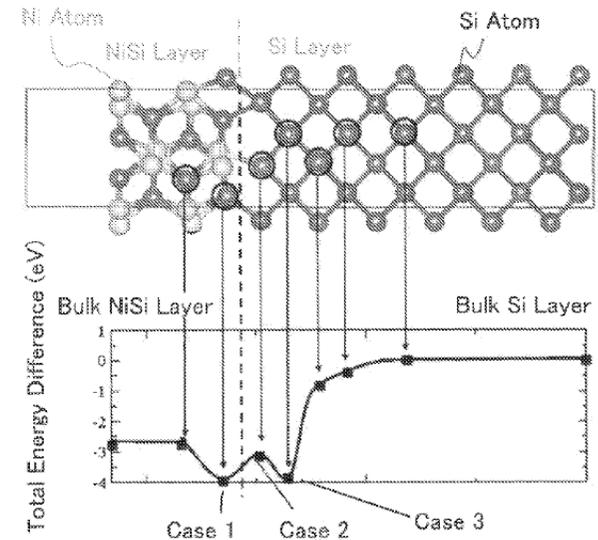
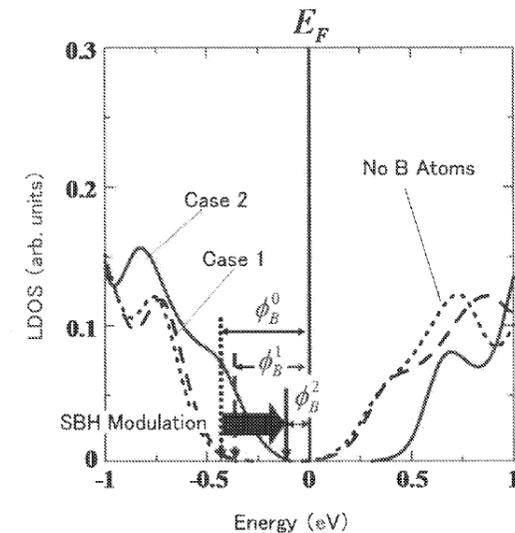


FIG.47

RELATED ART

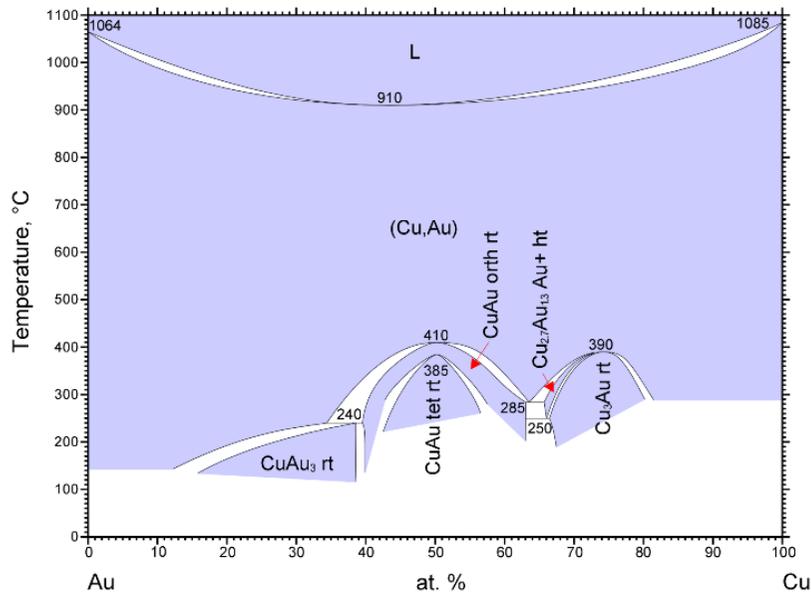


[0018] FIG. 47 is a graph showing SBH calculation results. Its lateral axis indicates the energy of an electron whereas the longitudinal axis indicates the local density of states (LDOS). For comparison purposes, calculation values in the case of an impurity segregation layer being absent are also shown in this graph. As apparent from FIG. 47, in case a B atom entered to Case 2, SBH is lowered by 0.3 eV. This is ascertainable by measurement of the current-voltage characteristics of a NiSi/Si Schottky junction, which was formed for calculation of the values shown in FIG. 47.



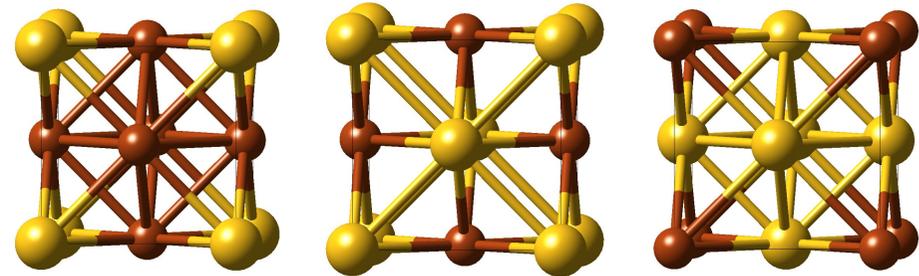
# Electrical Conductivity in Disordered Materials

# $\text{Cu}_{1-x}\text{Au}_x$ : Intermetallic Phases

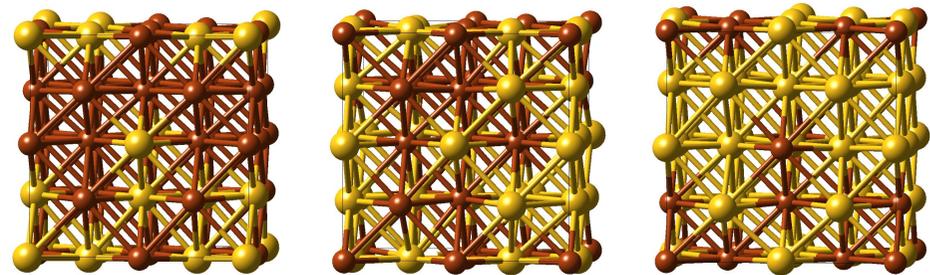


- wide range of solubility
- ordered and disordered phases

ordered cubic phases (InfoMaticA):



disordered cubic phases (SQS):



$\text{Cu}_3\text{Au}$

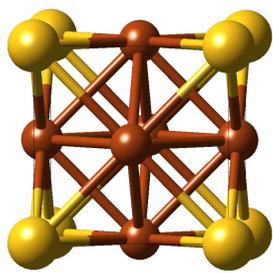
$\text{CuAu}$

$\text{CuAu}_3$

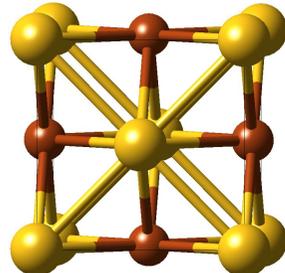
- ordered phases from literature (InfomaticA) and cluster expansion (CE)
- disordered phases modeled as special quasi-random structure (SQS)
  - mimic first few correlation functions of perfectly random structures

# $\text{Cu}_{1-x}\text{Au}_x$ : Ordered Structures

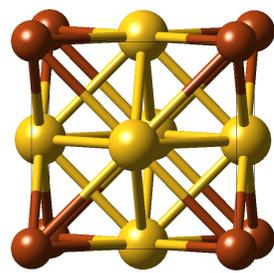
ordered cubic phases (InfoMaticA):



$\text{Cu}_3\text{Au}$

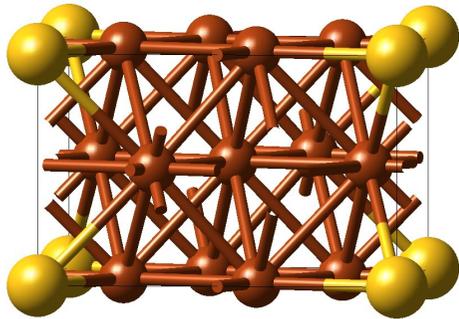


$\text{CuAu}$

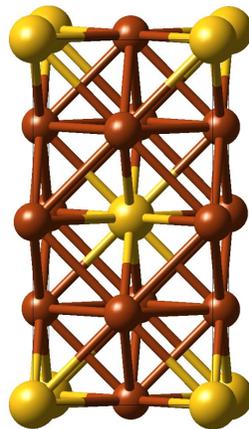


$\text{CuAu}_3$

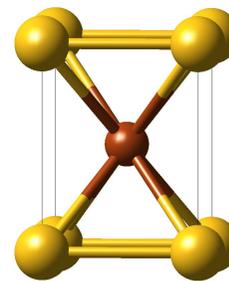
ordered tetragonal phases from cluster expansion (CE):



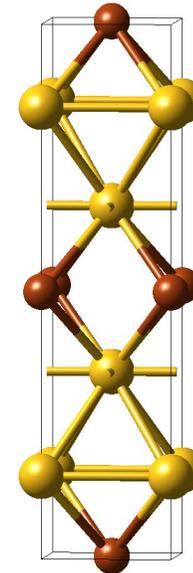
$\text{Cu}_9\text{Au}$



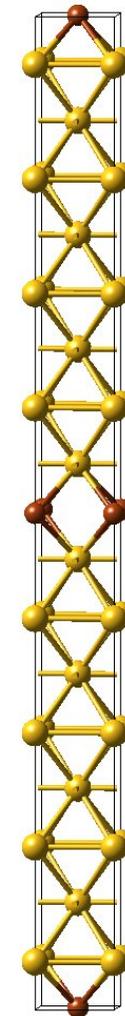
$\text{Cu}_3\text{Au}$



$\text{CuAu}$

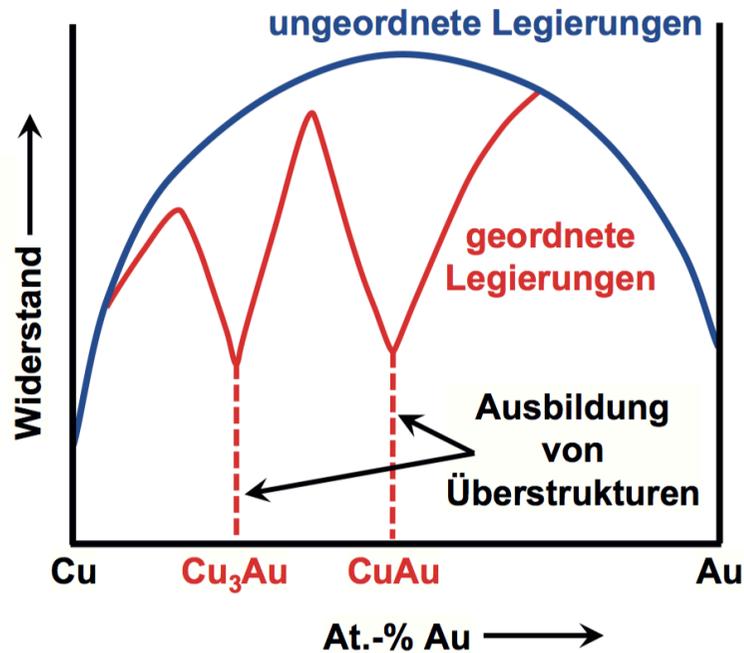


$\text{CuAu}_2$

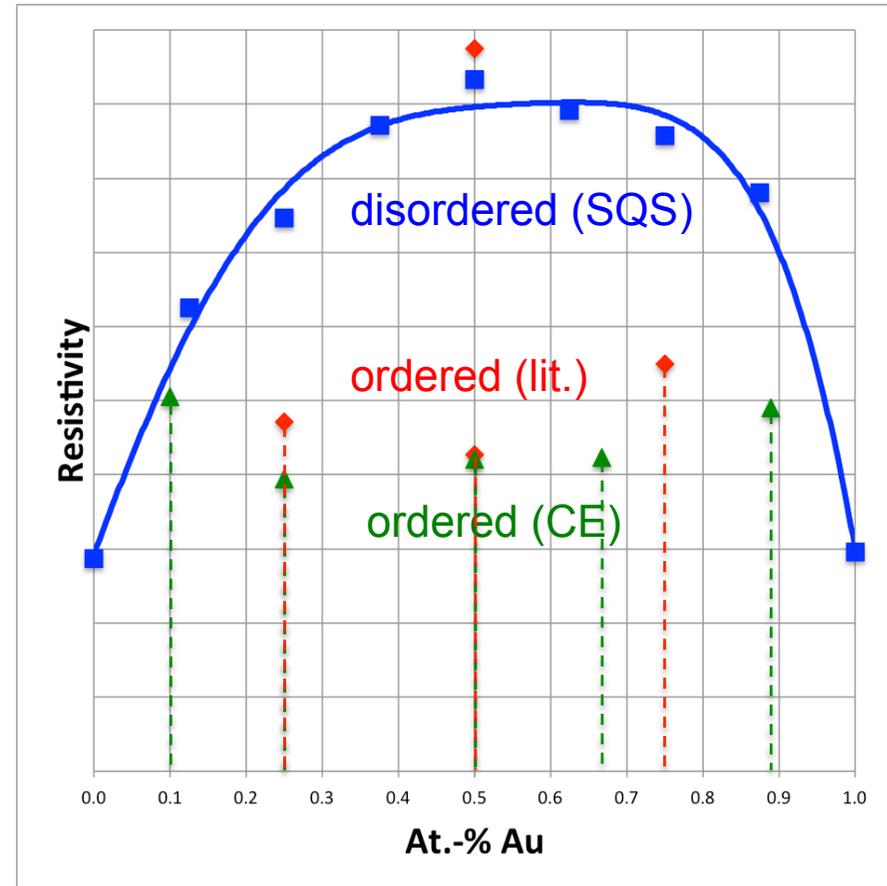


$\text{CuAu}_8$

# Cu<sub>1-x</sub>Au<sub>x</sub>: Electrical Resistivities



Pecher, Haarmann, *Nachr. Chem.* **61**, 1017 (2013);  
 Riedel, Janiak, *Anorg. Chem.* (de Gruyter, Berlin 2007)



- ordered phases show systematically reduced electrical resistivities as compared to disordered alloys
- very good agreement of calculated data with experimental findings



# Low-Strain Cathode Materials



# Low-Strain Cathode Materials

## Computational Design and Experimental Verification of Zero- and Low-strain Cathode Materials for Solid-State Li-ion batteries

Fabio Rosciano<sup>1</sup>, Mikael Christensen<sup>2</sup>, Volker Eyert<sup>2</sup>,  
Alexander Mavromaras<sup>2</sup>, Erich Wimmer<sup>2</sup>

<sup>1</sup>Toyota Motor Europe, Advanced Technology 1, Hoge Wei 33, Zaventem, Belgium

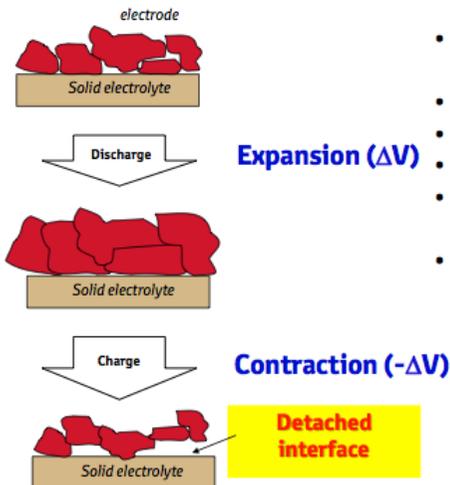
<sup>2</sup>Materials Design S.A.R.L., Montrouge, France



ALWAYS A  
BETTER WAY



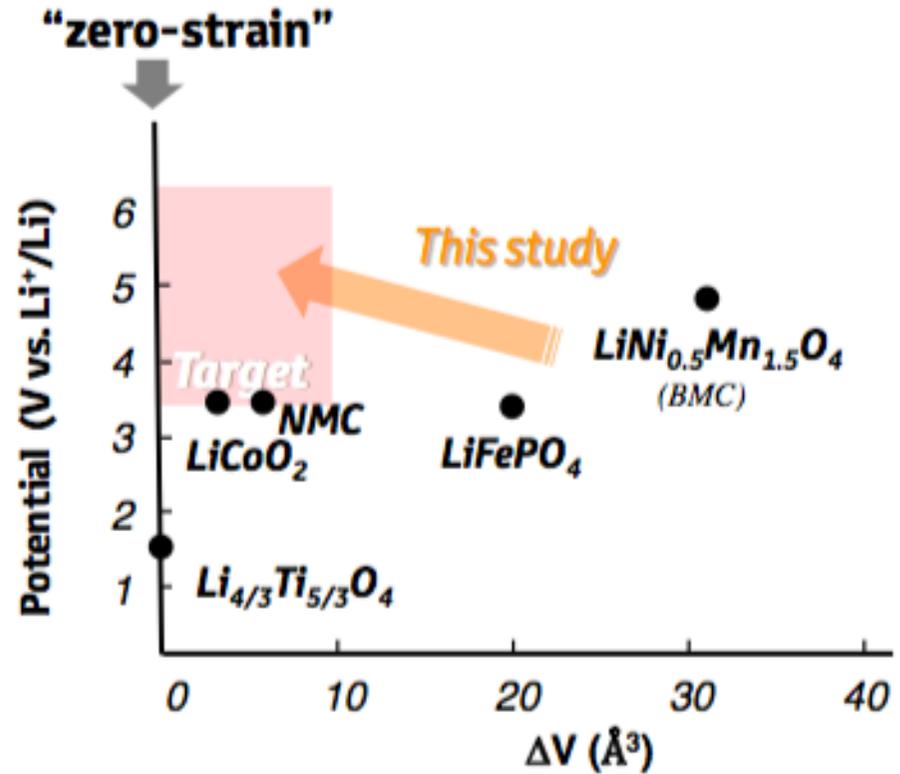
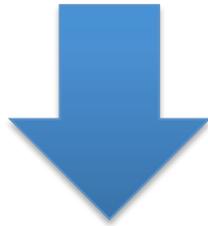
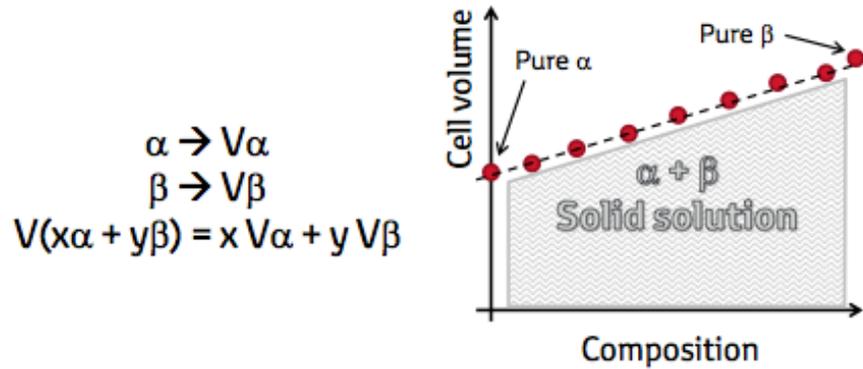
materials design



- ▶ Volume change of electrodes on charge/discharge major cause of degradation of Li-ion batteries
  - stress generated at grain interfaces leads to destruction of solid-state batteries
- ▶ Use atomistic simulations to find high-voltage zero-strain cathode materials
  - focus on compounds with spinel structure, start from  $\text{LiMn}_2\text{O}_4$

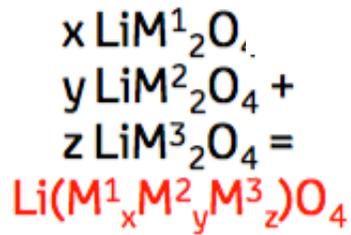
presented at: International Battery Association, Brisbane March 2014

# Optimization Strategy

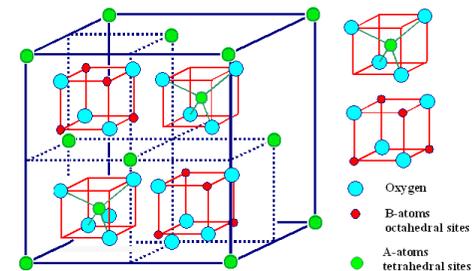


PERIODIC TABLE OF THE ELEMENTS

Table of Radioactive Isotopes



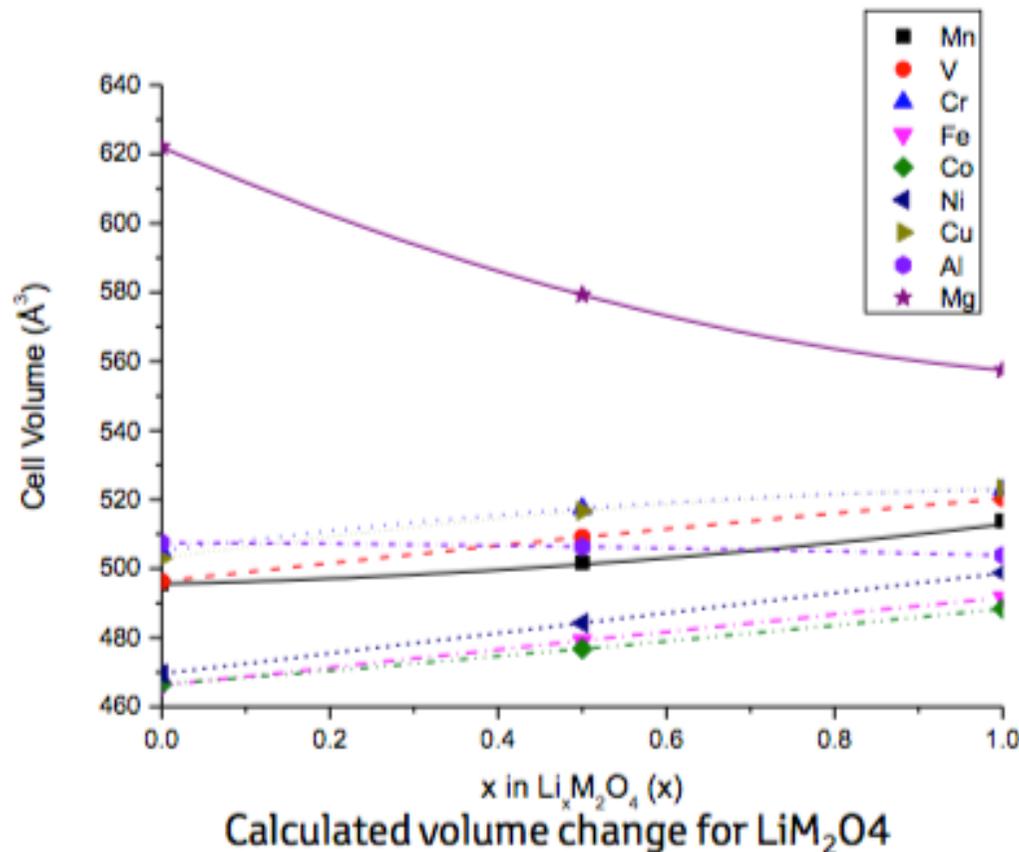
M = Mg, V, Cr, Mn, Fe, Co, Ni, Cu, Al



AB<sub>2</sub>O<sub>4</sub> spinel The red cubes are also contained in the back half of the unit cell



# Low-Strain Cathode Materials

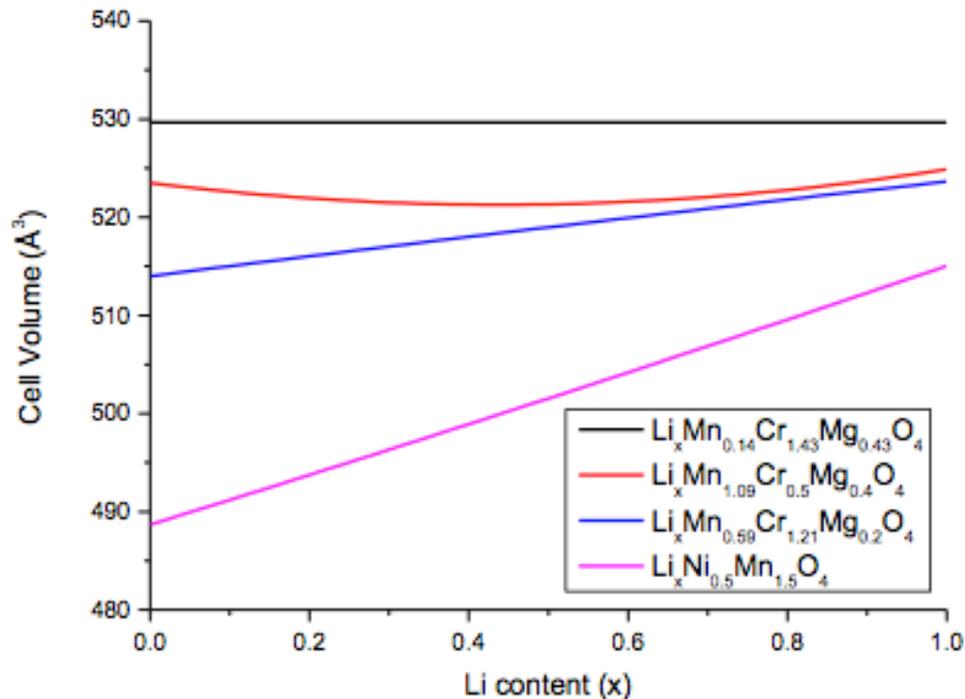


- ▶ Calculate volume for  $\text{Li}_x\text{M}_2\text{O}_4$  with  $x=0,0.5,1$  (M=Mg, Al, V, Cr, Mn, Fe, Co, Ni, Cu)
- ▶ Interpolate using 2<sup>nd</sup> degree polynomial
- ▶ Only Mg would allow for efficient volume change compensation
- ▶ Choose three components
  - Mn to provide structural stability
  - Mg to reduce volume change
  - Cr to compensate for electrochemical inactivity of Mg

F. Rosciano, M. Christensen, V. Eyert, A. Mavromaras, E. Wimmer, IBA 2014



# Low-Strain Cathode Materials



Calculated volume change for the optimized compositions

## ► Result

- $\text{LiMn}_{0.14}\text{Cr}_{1.43}\text{Mg}_{0.43}\text{O}_4$  with projected  $\Delta V = 0\text{\AA}^3$
- $\text{LiMn}_{1.1}\text{Cr}_{0.5}\text{Mg}_{0.4}\text{O}_4$  with projected  $\Delta V = 3\text{\AA}^3$
- $\text{LiMn}_{0.59}\text{Cr}_{1.21}\text{Mg}_{0.2}\text{O}_4$  with projected  $\Delta V = 8\text{\AA}^3$
- Benchmark:  $\text{LiMn}_{1.5}\text{Ni}_{0.5}\text{O}_4$  with  $\Delta V = 30\text{\AA}^3$

## ► Choose three components

- Mn to provide structural stability
- Mg to reduce volume change
- Cr to compensate for electro-chemical inactivity of Mg

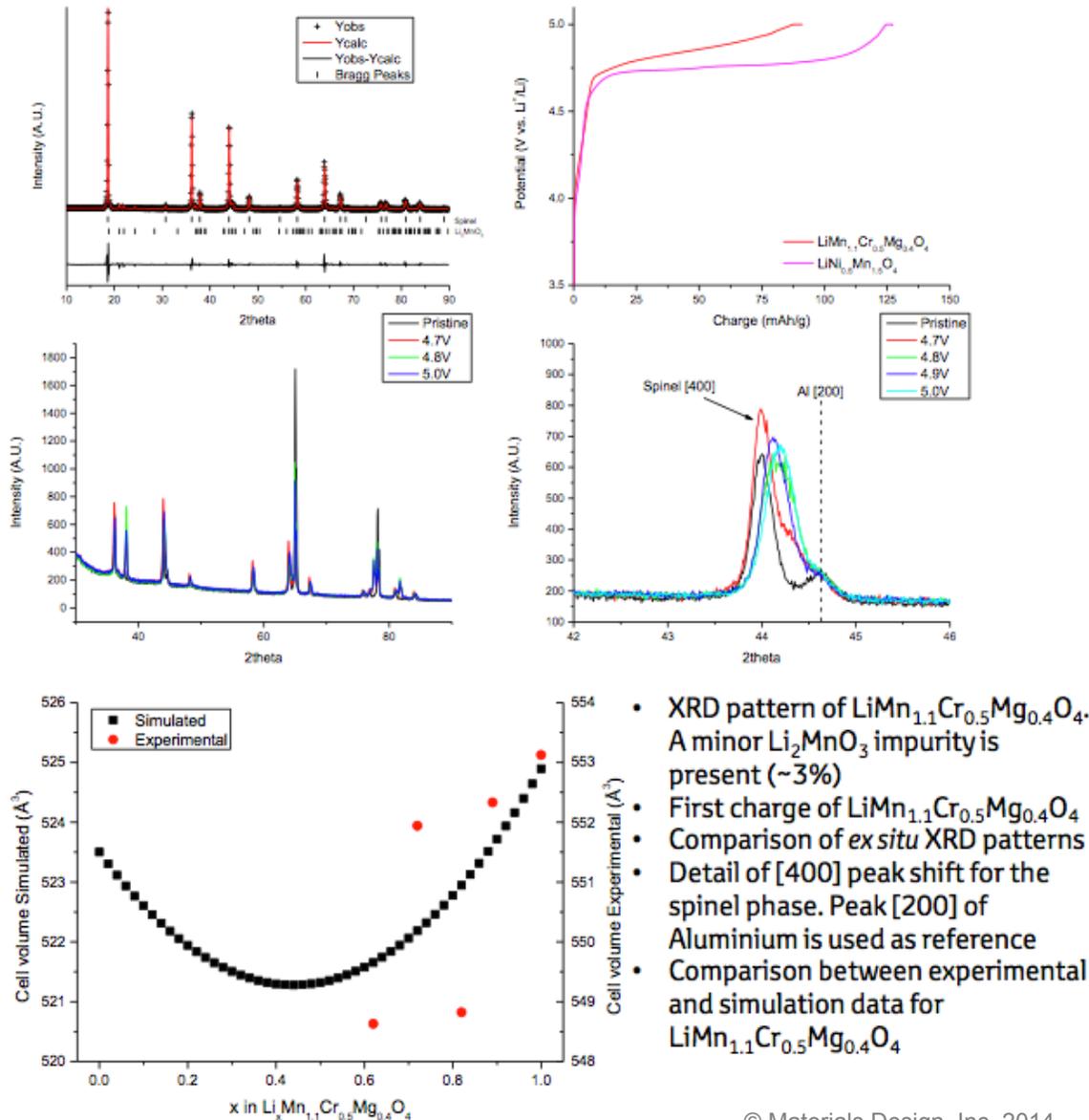
## ► Mix according to three different principles

- free optimization to obtain true zero-strain material
- optimization constraining Mn content  $\geq 1$
- optimization constraining Mg content  $\leq 0.2$

F. Rosciano et al., IBA 2014



# Low-Strain Cathode Materials



- ▶  $\text{LiMn}_{1.1}\text{Cr}_{0.5}\text{Mg}_{0.4}\text{O}_4$  could be synthesized in mostly pure form
- ▶  $\text{LiMn}_{1.1}\text{Cr}_{0.5}\text{Mg}_{0.4}\text{O}_4$  used to build electrochemical cells to study volume change on delithiation
- ▶ Measured volume change on lithiation/delithiation of  $\text{LiMn}_{1.1}\text{Cr}_{0.5}\text{Mg}_{0.4}\text{O}_4$  in the range of  $4\text{\AA}^3$

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# Summary and Perspectives



# Summary

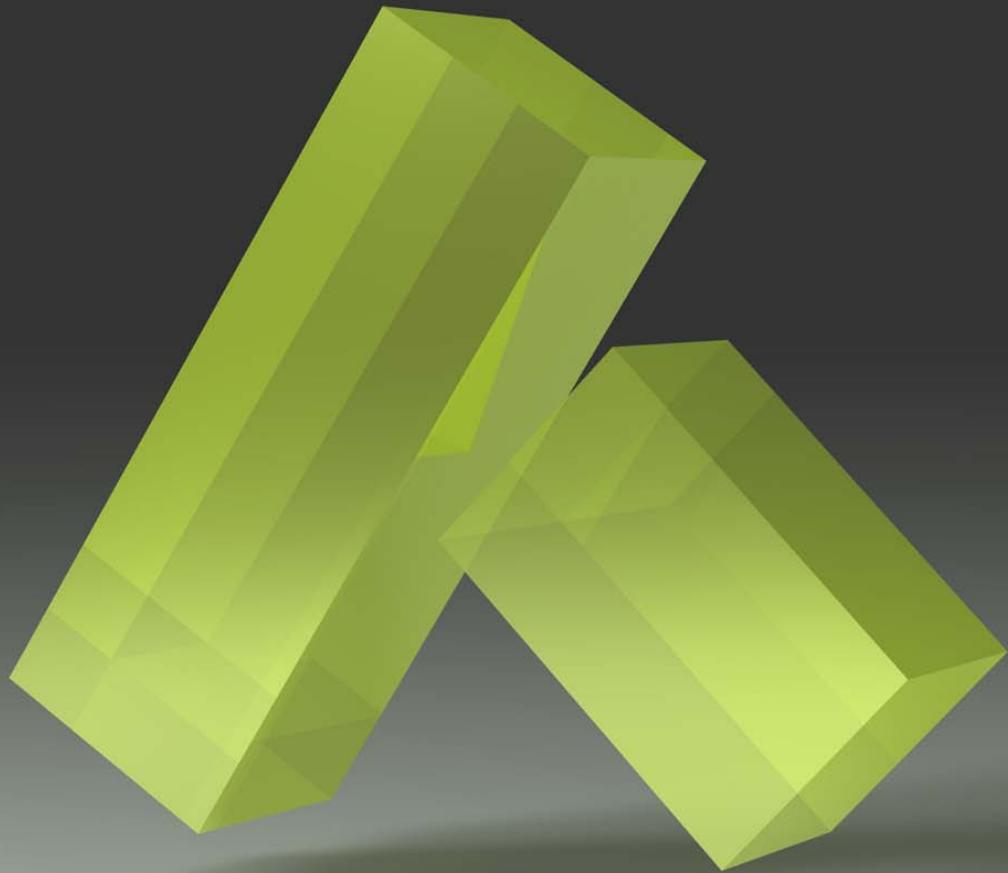
- ▶ DFT has become a standard in materials research
- ▶ A vast variety of properties can be calculated including
  - structural, mechanical, thermodynamic, kinetic, electronic, optical, and magnetic properties
- ▶ Illustrative examples including
  - H storage and diffusion in Ni
  - Fracture at Zr grain boundaries
  - Embrittlement of Cu microstructures
  - Effective work function at the TiN/HfO<sub>2</sub> interface
  - Schottky barriers (with impurities)
  - Electrical conductivity of disordered intermetallics
  - Low-strain cathode materials



# Perspectives

- ▶ **Current development efforts**
  - Simulation of alloys, e.g. ordering effects in  $\text{Cu}_{1-x}\text{Au}_x$ ,  $\text{In}_{1-x}\text{Ga}_x\text{As}$
  - Simulation of electronic and thermal conductivity
- ▶ **Combined quantum mechanical and forcefield simulations**
  - Automated forcefields from quantum mechanical calculations
  - Modeling kinetics
  - Diffusion and segregation during processing and during operation
- ▶ **Efficient use of large-scale computers**
  - Automation of simulation protocols (Flowcharts)
  - Automated analysis of computed results
  - BIG DATA, Materials Genome Initiative
- ▶ **Combination with other engineering simulation software**

**Better materials with  
better simulations**



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