

# The Augmented Spherical Wave Method

## Introduction

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Electronic Structure in a Nutshell

# Outline

- 1 History
  - Fundamentals
  - Generations
- 2 Package
  - General
  - Software

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## Meaning: Three Levels of Consciousness

- **A**ugmented **S**pherical **W**ave  
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("extrasensory perception", for the experienced)
- **A**lways **S**lightly **W**rong  
(for experts)

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# Generations

## 0th Generation, IBM Yorktown Heights, 1970s

- A. R. Williams, J. Kübler, C. D. Gelatt jr.
- original version
- built on the “renormalized atom” concept
- related to O. K. Andersen’s LMTO

## 0th Generation, Darmstadt, 1980s

- J. Kübler, J. Sticht, V. E., L. Sandratskii
- optimization, vectorization
- non-collinear magnetism
- relativistics, spin-orbit coupling
- full potential code

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## 0th Generation, Nijmegen, 1980s

- R. A. deGroot, H. van Leuken
- “tight-binding”-like ASW

## 0th Generation, San Diego, 1990s

- Biosym → MSI → Accelrys
- Electronic Structure Of Crystalline Solids)
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# Generations

## 1st Generation, Europe, 1990s

- new implementation from scratch
- “clean programming”
- new algorithms → accuracy, numerical stability
- improved file handling
- extended flexible basis set
- sphere packing, improved symmetry check
- convergence acceleration schemes
- all LDA-parametrizations, many GGA-schemes
- new interpretative tools

# Generations

## 1st Generation, Angel Fire/Le Mans, 1990s

- Materials Design
- **E**lectronic Structure and **A**nalysis
- commercial version of the 1st generation code

## 2nd Generation, Europe, 2000s

- linear tetrahedron method
- optical properties
- fast full potential code
- elastic properties, phonon spectra, EFGs

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# Features I: The ASW method ...

## Foundation

- is based on
  - Born-Oppenheimer approximation
  - density functional theory (DFT)
- uses
  - local density approximation (LDA)  
(all known parametrizations implemented)
  - generalized gradient approximation (GGA)  
(Perdew-Wang 1986, Perdew-Wang 1991, Engel-Vosko 1993, Perdew-Burke-Ernzerhof 1996, Zhang-Yang 1998, Wu-Cohen 2005)
  - exact exchange (future)

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# Features II: The ASW method ...

## Characteristics

- is an all-electron method
  - core electrons fully relaxed
  - full coverage of periodic table
  - applicable to metal, semiconductors, and insulators
- uses a minimal basis set
  - atomic-like ( $s$ ,  $p$ ,  $d$ ,  $f$ ) basis functions
  - high computational efficiency
  - intuitive interpretation of results
- allows for
  - non-relativistic and scalar-relativistic calculations
  - spin-restricted and spin-polarized calculations

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# Features III: The ASW method ...

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- is a linearized method
  - high computational speed
- is fully self-consistent
  - speedup due to efficient convergence acceleration
- performs BZ integrations on Monkhorst-Pack mesh using
  - simple sampling method
  - high-precision sampling method (Methfessel/Paxton)
  - linear tetrahedron method (including Blöchl's correction)
- is suited for closed-packed and open crystal structures
  - automated placement of interstitial basis functions
  - automated determination of augmentation radii

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# Properties I

## Electronic

- electronic dispersions  $E(\mathbf{k})$  (“band structure”)
- electronic wave functions
  - projected band structures
- total/partial (site/state projected) densities of states (DOS)
- Fermi surfaces
- optical spectra
- electron densities
- electric field gradients
- electron densities at nuclei → isomer shifts
- core level spectra

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# Properties II

## Cohesive and Elastic

- cohesive energies
- bulk moduli
- elastic constants
- phonon spectra

## Chemical

- Laplacians of the electron density
- bonding indicators
  - total/partial crystal orbital overlap populations (COOP)
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  - total/partial covalence energies ( $E_{COV}$ )

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  - General
  - **Software**

# The Code

## Programming

- written in Fortran 95
- checked with *ftnchek* and *forcheck*
- 6 main programs for background calculations
- 6 main programs for plotting
- $\approx 300$  ASW subroutines
- 46 BLAS routines (level 1, 2, 3)
- 77 LAPACK routines
- $\approx 110000$  lines of source code
  - $\approx 25\%$ : BLAS/LAPACK
  - $\approx 25\%$ : comments
  - $\approx 25\%$ : user guidance
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# External Software

## Linear Algebra Routine Tasks

... using public-domain standard math. libraries:

- LAPACK - Linear Algebra PACKage
- BLAS - Basic Linear Algebra Subroutines
- ATLAS - Automatically Tuned Linear Algebra Software
- GotoBLAS - K. Goto's fast implementation of the BLAS

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... using public-domain software:

- RasMol
  - crystal structure
- XCrysDen
  - crystal structure
  - (electron density, Laplacian, ELF, potential)
  - Fermi surface
- Gnuplot
  - (weighted) bands
  - (partial) DOS
  - (partial) COOP, COHP,  $E_{\text{cov}}$
  - optical spectra
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# Portability

## Platforms and Compilers

- IBM
- HP/Compaq
- CRAY/SGI
- PC's running Linux
  - Lahey/Fujitsu f95
  - PGI f90
  - Absoft f95
  - NAG f95
  - Intel f95
- All platforms: g95, GNU Fortran 95
- SNI
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# Portability

## Job Queuing Systems

... accessible by the shell scripts

- IBM's LoadLeveler
- Network Queuing System - NQS
- Portable Batch System - PBS
- Distributed Queuing System - DQS
- Sun Grid Engine - SGE

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- allows for the calculation of many materials properties
- allows for an intuitive, atomic-like interpretation of results
- is easy to use in practice

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*Cohesive properties of metallic Compounds:  
Augmented-spherical-wave calculations*  
Phys. Rev. B **19**, 6094 (1979)



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*Basic notions and applications of the augmented spherical  
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Int. J. Quantum Chem. **77**, 1007 (2000)

## Further Reading II



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V. Eyert

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## Further Reading III



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*The electronic structure of  $V_2O_5$ : Role of octahedral deformations*

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