

# New Perspectives in Efficient Large-Scale Modeling

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# Outline

- 1 Framework
- 2 All-Electron Full-Potential Methods
  - Fundamental Considerations
  - Full-Potential ASW Method
  - Proof of Concept: Results
- 3 Materials Science: Delafossites



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# Materials Design

## Computational Modeling and Data Driven Materials Discovery

- on-the-fly calculation  $\iff$  storage in databases
  - calculational approaches?
  - functional indicators?



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  - optimization strategies?
  - evolutionary approaches?



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- identifying functional building blocks
  - $\iff$  identifying common phenomena across materials
- knowledge  $\iff$  understanding
  - where does scientific experience/intuition enter?



# Materials Design

## Computational Modeling — A Zoo of Options

- pure *ab initio* approaches



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- mapping *ab initio* results to models



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## *ab initio* Approaches

- plane waves  $\iff$  spherical waves
  - efficiency? accuracy?



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- muffin-tin potential  $\iff$  full potential



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John C. Slater



*John C. Slater*

## Full Potential

$$v_{\sigma}(\mathbf{r}) : \begin{cases} \text{spherical symmetric near nuclei} \\ \text{flat outside the atomic cores} \end{cases}$$



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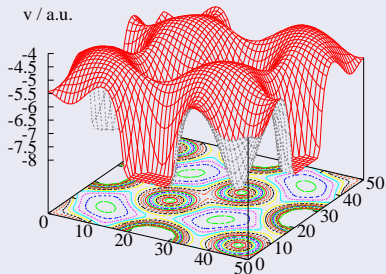
$$v_{\sigma}(\mathbf{r}) : \begin{cases} \text{spherical symmetric near nuclei} \\ \text{flat outside the atomic cores} \end{cases}$$

## Muffin-Tin Approximation

$$v_{\sigma}^{MT}(\mathbf{r}) = \begin{cases} \text{spherical symmetric in spheres} \\ \text{constant in interstitial region} \end{cases}$$



## Back in the 1930's ...

Full Potential (FeS<sub>2</sub>)

## Muffin-Tin Potential



# Back in the 1930's ...

## Partial Waves

- muffin-tin spheres
  - $v_{eff,\sigma}(\mathbf{r}) = v_{eff,\sigma}(|\mathbf{r}|)$
- interstitial region
  - $v_{eff,\sigma}(\mathbf{r}) = 0$

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  - „envelope functions“
    - plane waves
    - spherical waves

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## Basis Functions

- matched partial waves
  - augmented plane waves (APWs)
  - „muffin-tin orbitals“ (MTOs), augmented spherical waves (ASWs)



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## Wave Function

expand in basis functions

- expansion coefficients from variational principle

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## Core States

all-electron methods

- fully included
- orthogonal to partial waves

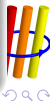
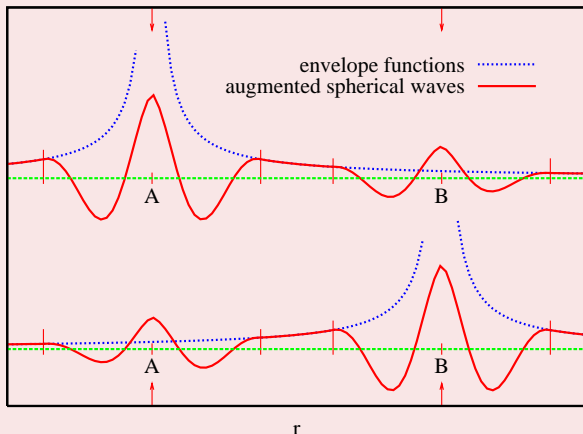
## Basis Functions

- matched partial waves
  - augmented plane waves (APWs)
  - „muffin-tin orbitals“ (MTOs), augmented spherical waves (ASWs)
- used to describe valence states



## Back in the 1930's ...

## Augmented Spherical Waves



# Back in the 1970's ...

Ole K. Andersen



## “Linear Methods in Band Theory”

- energy dependence of basis functions almost linear  $\rightarrow$  linearize  $(\varphi, \dot{\varphi})$ 
  - huge increase in computat. efficiency!





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## Linear Augmented Plane Wave (LAPW)

- muffin-tin approximation
- easy to implement good!
- full-potential at a low price
  - basis functions from muffin-tin potential
  - wave functions from full potential
  - example: Wien2k
- large basis set ( $\approx 100$  pw's/atom) bad!

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## Linear Muffin-Tin Orbital (LMTO)

- based on spherical waves
  - does not require crystalline periodicity
  - natural interpretation of results
- difficult to implement **bad!**
- **full-potential extension extremely difficult**
- muffin-tin approximation (?)
  - finite interstitial region
  - large basis set:  
two functions per  $s$ -,  $p$ -,  $d$ -state
  - **still inefficient** **bad!**

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  - **make spheres space-filling!**
    - **interstitial region formally removed**
    - **only numerical functions in spheres**
  - **minimal basis set ( $s$ ,  $p$ ,  $d$ )**
    - **very high computational efficiency**  
→  $\mathcal{O}(\text{ASA})$  speed!!!
  - makes potential more realistic
  - **systematic error in total energy** bad!

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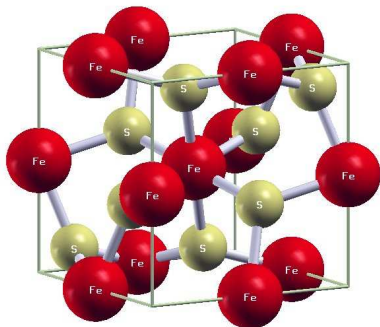
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# Iron Pyrite: $\text{FeS}_2$

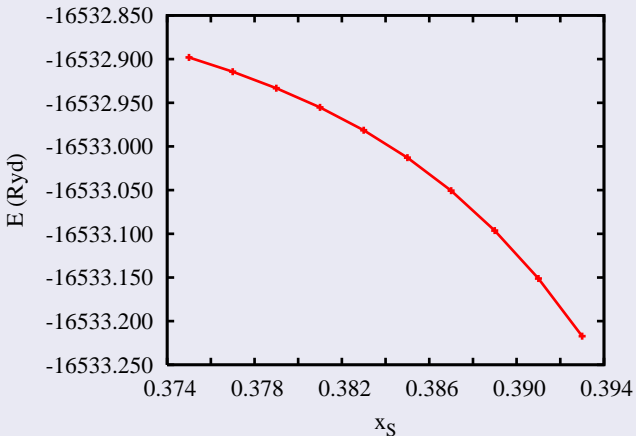


## Pyrite

- $Pa\bar{3} (T_h^6)$
- $a = 5.4160 \text{ \AA}$
- “NaCl structure” sublattices occupied by
  - iron atoms
  - sulfur pairs
- sulfur pairs  $\parallel \langle 111 \rangle$  axes
- $x_S = 0.38484$
- rotated  $\text{FeS}_6$  octahedra

# FeS<sub>2</sub>: Structure Optimization

ASA<sup>+</sup> Code





# Towards a Full-Potential Spherical-Wave Method

## Conclusions

- ASA (space-filling atomic spheres)
  - $\mathcal{O}(\text{ASA})$  speed
  - systematic error in total energy
- non-overlapping muffin-tin spheres
  - prerequisite for accurate total energies
  - larger basis set  $\rightarrow$  inefficient



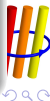
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## Requirements

- restore interstitial region
  - go to non-overlapping muffin-tin spheres
  - go beyond constant-potential approximation
- inside muffin-tin spheres
  - non-spherical contributions



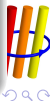
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## Guidelines

- interstitial quantities expanded in **plane waves**
  - straightforward to implement
  - inefficient
- interstitial quantities expanded in **spherical waves**
  - elegant, no periodicity required
  - efficient
  - difficult to implement



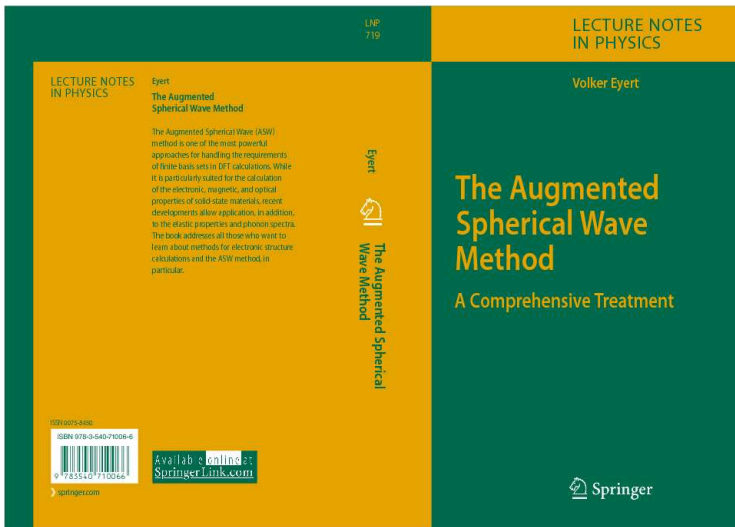
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# ASW Method: Further Reading



# Basic Principles

## Steps to be Taken

- **remove total energy error** due to overlap of atomic spheres
  - reintroduce non-overlapping muffin-tin spheres
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- find representation of **electron density and full potential**
  - inside muffin-tin spheres
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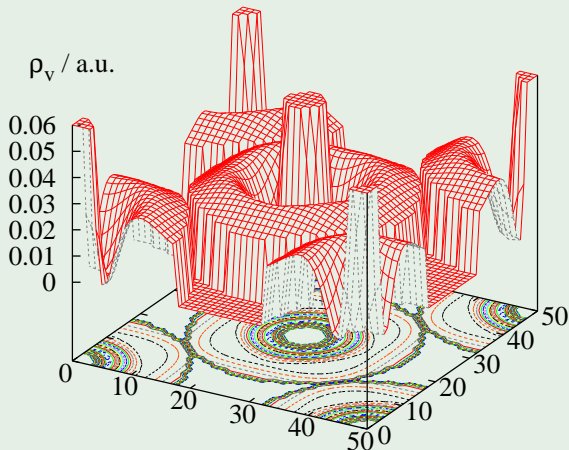
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  - inside muffin-tin spheres
    - **use spherical-harmonics expansions**
  - in the interstitial region
    - **no exact spherical-wave representation available!**



# From Wave Functions to Electron Density

## Density inside MT-Spheres

(A1)



# From Wave Functions to Electron Density

## Products of Basis Functions in Interstitial Region

$$\rho^l(\mathbf{r}) = \sum_n d_n F_n(\mathbf{r})$$

$$\int d^3\mathbf{r} F_{n'}^*(\mathbf{r}) \rho^l(\mathbf{r}) = \sum_n d_n \int d^3\mathbf{r} F_{n'}^*(\mathbf{r}) F_n(\mathbf{r})$$

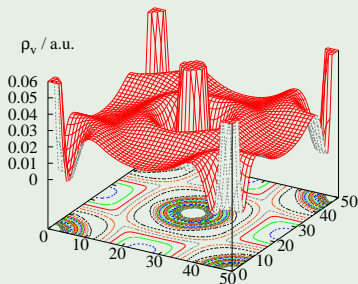
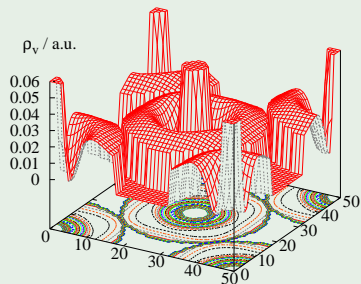
- $F_n(\mathbf{r})$ : **spherical waves**
  - would be efficient
  - integrals not known analytically
  - Springborg/Andersen 1987, Methfessel 1988, VE 2002, VE 2006
  - Methfessel 1988:  
match values and slopes at MT-sphere surfaces



# From Wave Functions to Electron Density

## Density from Value/Slope Matching at MT-Radii (A)

(A)



# From Electron Density to Full Potential

## Inside Muffin-Tin Spheres

- density, Hartree-potential and xc-potential numerically

## Interstitial Region

- density from value/slope matching
- Hartree-potential analytically
- xc-potential from value/slope matching





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# From Full Potential to Basis Functions

## Previous Approaches

- project full potential to **muffin-tin potential**
- construct basis functions from **muffin-tin potential**
- **no minimal basis set!** (large basis set!)

## Present Approach

- project full potential to **ASA potential**
- construct basis functions from **ASA potential**
- **minimal basis set!**



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- ASA geometry used for basis functions  
→ minimal basis set good!
- ASA geometry used for density and potential  
→ error in total energy bad!



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- MT geometry used for density and potential  
→ **accurate total energy** good!
- MT geometry used for basis functions  
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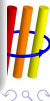
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- ASA geometry used for basis functions  
→ minimal basis set →  $\mathcal{O}(\text{ASA})$  speed great!
- MT geometry used for density and potential  
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# Implementation

## 2nd Generation ASW (VE, 2000s)

- based on 1st generation code
- full-potential ASW method at  $\mathcal{O}(ASA)$  speed!
  - electron densities, spin densities
  - electric field gradients
  - elastic properties, phonon spectra
- optical properties
  - based on linear-response theory
  - direct calculation of  $\Re\sigma$  and  $\Im\sigma$
  - no Kramers-Kronig relations needed
- transport properties, thermoelectrics
- LDA+U method
  - all „flavours“ for double-counting terms (AMF, FLL, DFT)



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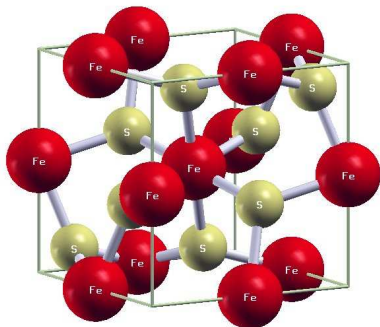
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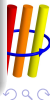
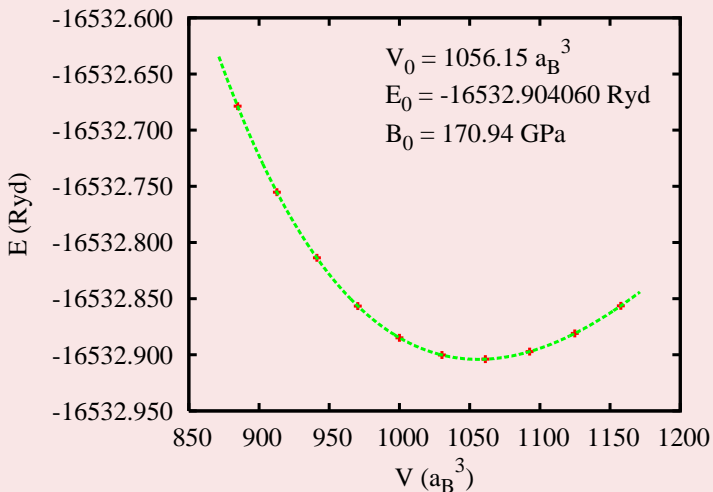
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# FeS<sub>2</sub>: Equilibrium Volume and Bulk Modulus



# FeS<sub>2</sub>: Equilibrium Volume and Bulk Modulus

## Lattice Constant

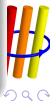
10.28	NCPP	Zeng and Holzwarth '94
10.02	FPLO	Opahle <i>et al.</i> '99
10.17	CRYSTAL98	Muscat <i>et al.</i> '02
9.92	CASTEP	Muscat <i>et al.</i> '02
10.18	FPASW	present work
10.23	exp.	Finklea <i>et al.</i> '76
10.22	exp.	Will <i>et al.</i> '84
10.23	exp.	Stevens <i>et al.</i> '91



# FeS<sub>2</sub>: Equilibrium Volume and Bulk Modulus

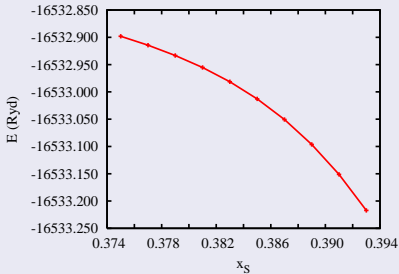
## Bulk Modulus

187	LMTO	Nguyen-Manh <i>et al.</i> '98
185	FPLO	Opahle <i>et al.</i> '99
209	CRYSTAL98	Muscat <i>et al.</i> '02
208	CASTEP	Muscat <i>et al.</i> '02
171	FPASW	present work
148	exp.	Drickamer <i>et al.</i> '66
118	exp.	Will <i>et al.</i> '84
215	exp.	Chattopadhyay and von Schnering '85
157	exp.	Fujii <i>et al.</i> '86
143	exp.	Jephcoat and Olson '87
162	exp.	Ahrens and Jeanloz '87
145	exp.	Blachnik <i>et al.</i> '98



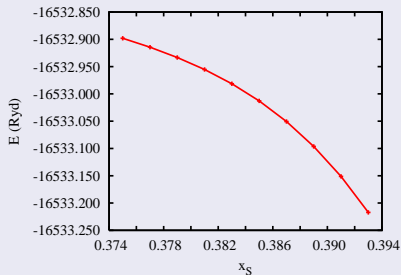
# FeS<sub>2</sub>: Structure Optimization

## ASA+ Code

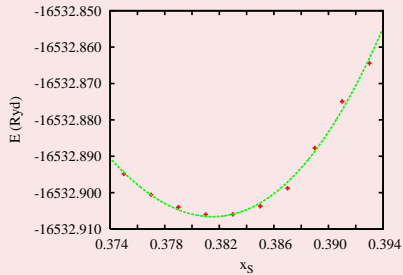


# FeS<sub>2</sub>: Structure Optimization

## ASA<sup>+</sup> Code



## Full-Potential Code





# FeS<sub>2</sub>: Structure Optimization

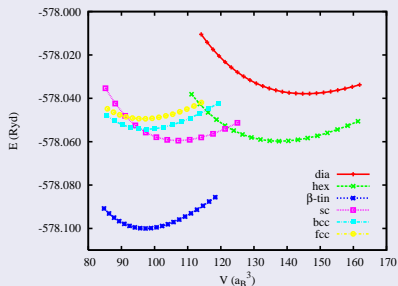
## Sulfur Position

0.378	NCPP	Zeng and Holzwarth '94
0.377	FPLO	Opahle <i>et al.</i> '99
0.378	CRYSTAL98	Muscat <i>et al.</i> '02
0.382	CASTEP	Muscat <i>et al.</i> '02
<b>0.382</b>	<b>FPASW</b>	<b>present work</b>
0.386	exp.	Finklea <i>et al.</i> '76
0.386	exp.	Will <i>et al.</i> '84
0.385	exp.	Stevens <i>et al.</i> '91



# Phase Stability in Silicon

## ASA+ Code



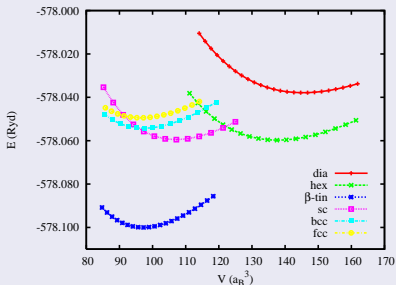
## Bad

- $\beta$ -tin structure most stable # nature (diamond structure)

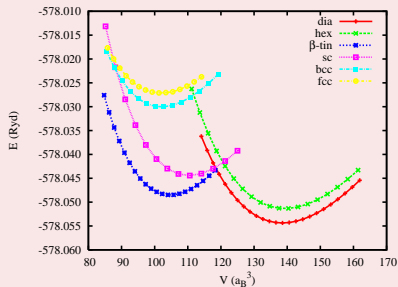


# Phase Stability in Silicon

## ASA<sup>+</sup> Code



## Full-Potential Code



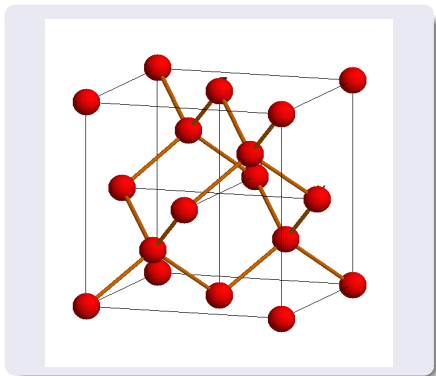
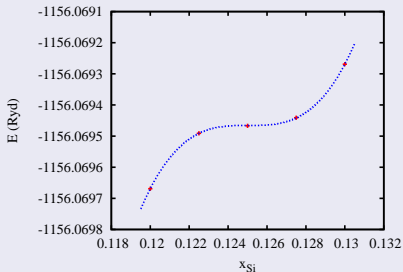
## New!

- diamond structure most stable
- pressure induced phase transition to  $\beta$ -tin structure



# LTO( $\Gamma$ )-Phonon in Silicon

## ASA+ Code



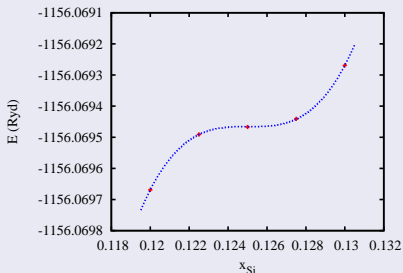
## Bad

- no stable Si position # nature

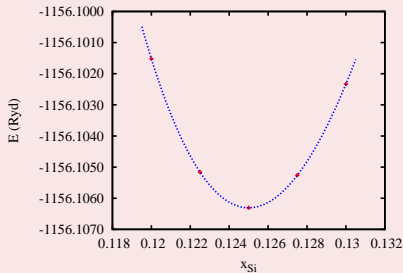


# LTO( $\Gamma$ )-Phonon in Silicon

## ASA<sup>+</sup> Code



## Full-Potential Code



New!

- phonon frequency:  $f_{calc} = 15.34$  THz ( $f_{exp} = 15.53$  THz)



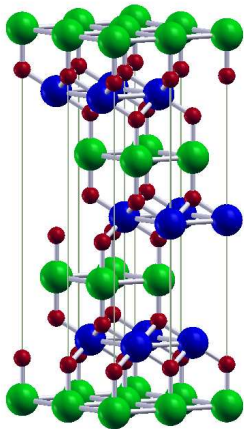
# Outline

- 1 Framework
- 2 All-Electron Full-Potential Methods
  - Fundamental Considerations
  - Full-Potential ASW Method
  - Proof of Concept: Results
- 3 Materials Science: Delafossites



# ABO<sub>2</sub>

## Delafossite Structure



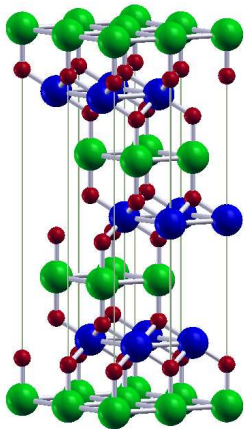
## Building Blocks

- rhombohedral lattice
- triangular A-atom layers
- BO<sub>2</sub> sandwich layers
- B-atoms octahedrally coordinated
- linear O-A-O bonds



ABO<sub>2</sub>

## Delafossite Structure



## Building Blocks

- rhombohedral lattice
- triangular A-atom layers
- BO<sub>2</sub> sandwich layers
- B-atoms octahedrally coordinated
- linear O-A-O bonds

## Issues

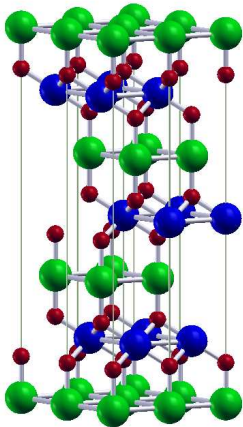
- dimensionality
- geometric frustration
- play chemistry





# ABO<sub>2</sub>

## Delafossite Structure



## Prototype Materials

- CuFeO<sub>2</sub>, CuCrO<sub>2</sub>
- CuCoO<sub>2</sub>, CuRhO<sub>2</sub>
- CuAlO<sub>2</sub>, CuGaO<sub>2</sub>, CuInO<sub>2</sub>, ...
- PdCrO<sub>2</sub>, PdCoO<sub>2</sub>, PdRhO<sub>2</sub>, PtCoO<sub>2</sub>

## Properties

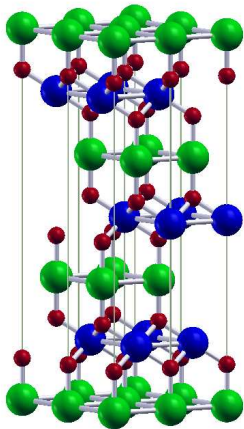
- semiconductors, AF interactions, (distorted) triangular
- non-mag. semicond., high TEP
- wide-gap semicond., p-type TCO
- very good metals, high anisotropy





# PdCoO<sub>2</sub> and PtCoO<sub>2</sub>

## Delafossite Structure



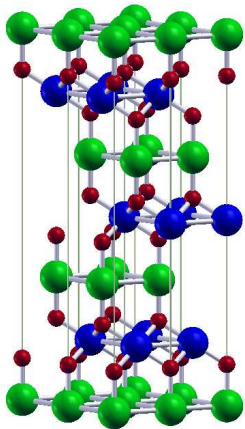
## Experimental Results

- very low resistivity
- anisotropy ratio  $\approx 200$
- PES: only Pd 4d states at  $E_F$
- PES/IPES:  $E_F$  in shallow DOS minimum
  - high TEP on doping?



# PdCoO<sub>2</sub> and PtCoO<sub>2</sub>

## Delafossite Structure



## Experimental Results

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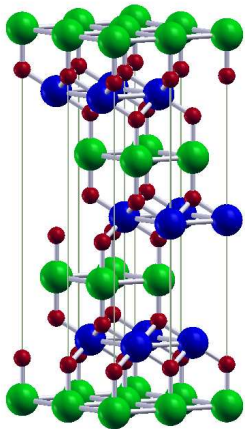
## Open Issues

role of Pd 4*d*, Co 3*d*, and O 2*p* orbitals?



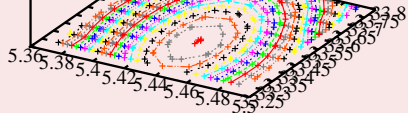
# Structure Optimization in PdCoO<sub>2</sub>

## Delafossite Structure



## Total energy surface

-13.179778  
-13.179778  
-13.179778  
-13.179779  
-13.179779  
-13.179779  
-13.179779  
-13.179779





# Structure Optimization in PdCoO<sub>2</sub>

## Structural Data

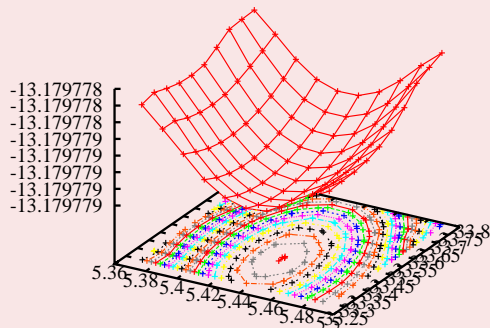
experiment

- $a = 2.83 \text{ \AA}$
- $c = 17.743 \text{ \AA}$
- $z_0 = 0.1112$

theory

- $a = 2.8767 \text{ \AA}$
- $c = 17.7019 \text{ \AA}$
- $z_0 = 0.1100$

## Total energy surface

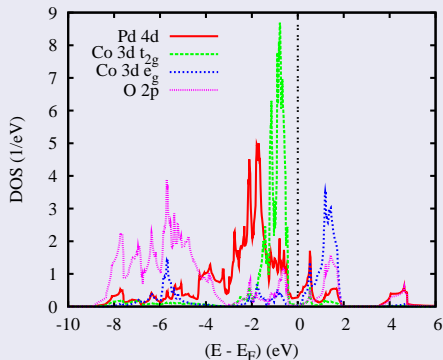


VE, R. Frésard, A. Maignan, Chem. Mat. **20**, 2370 (2008)



# Electronic Properties of PdCoO<sub>2</sub>

## Partial Densities of States



## Results

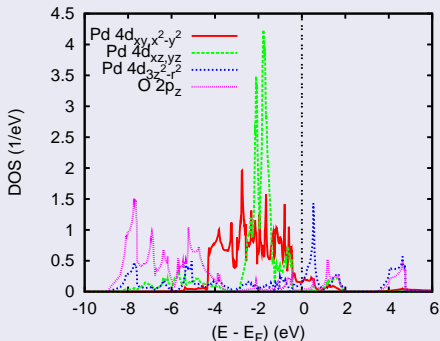
- Co 3d-O 2p hybridization
- CoO<sub>6</sub> octahedra:  
Co 3d  $\Rightarrow$   $t_{2g}$  and  $e_g$
- Co 3d<sup>6</sup> (Co<sup>3+</sup>) LS
- Pd 4d<sup>9</sup> (Pd<sup>1+</sup>)
- Co 3d, O 2p: very small DOS at E<sub>F</sub>

VE, R. Frésard, A. Maignan, Chem. Mat. **20**, 2370 (2008)



# Electronic Properties of PdCoO<sub>2</sub>

## Partial Densities of States



## Results

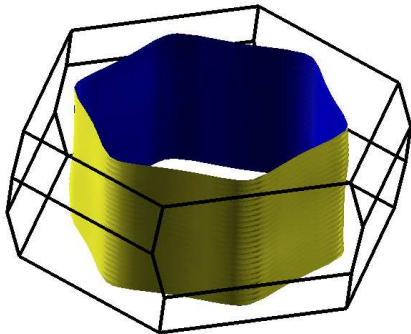
- broad Pd  $d_{xy, x^2-y^2}$  bands
  - short in-plane Pd-Pd distance
- non-bonding Pd  $d_{xz, yz}$  bands
- strong Pd  $4d_{3z^2-r^2}$ -O  $2p$  hybridization
- states at  $E_F$ :  
Pd  $d_{xy, x^2-y^2}$ ,  $d_{3z^2-r^2}$

VE, R. Frésard, A. Maignan, Chem. Mat. **20**, 2370 (2008)



# Electronic Properties of PdCoO<sub>2</sub>

## Fermi Surface



## Results

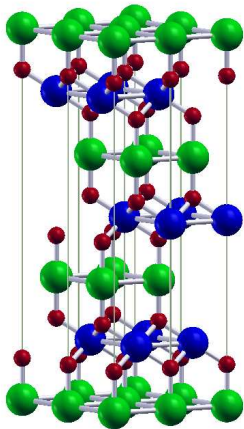
- quasi-2D
- single band crossing  $E_F$
- but: bands below  $E_F$  disperse along  $\Gamma$ -A

VE, R. Frésard, A. Maignan, Chem. Mat. **20**, 2370 (2008)



# CuFeO<sub>2</sub>

## Delafossite Structure



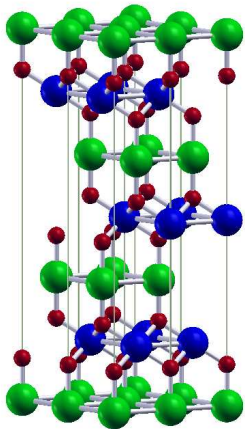
## Basics

- semiconductor
- AF interactions
- triangular lattice



# CuFeO<sub>2</sub>

## Delafossite Structure



## Basics

- semiconductor
- AF interactions
- triangular lattice

## Open Issues

- frustration vs. long-range order
- role of Cu 3*d* orbitals?
- role of Fe 3*d* and O 2*p* orbitals?



# CuFeO<sub>2</sub>

## Previous Neutron Data

- $T_{N_1} = 16 \text{ K}$ ,  $T_{N_2} = 11 \text{ K}$
- $\Theta_{CW} = -90 \text{ K}$
- magnetic supercells
- no structural distortion
- $m_{\text{Fe}^{3+}} = 4.4 \mu_B$



# CuFeO<sub>2</sub>

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## Band Calculations

- rhombohedral structure
- $m_{\text{Fe}} = 0.9 \mu_B$ ,  $m_{\text{Fe}} = 3.8 \mu_B$
- $E_g = 0$  in LDA, GGA
- # PES, XES





# CuFeO<sub>2</sub>

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- magnetic supercells
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## New Neutron Data

- magnetic supercells
- monoclinic structure below 4 K

## Band Calculations

- rhombohedral structure
- $m_{\text{Fe}} = 0.9 \mu_B$ ,  $m_{\text{Fe}} = 3.8 \mu_B$
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- $\#$  PES, XES



# CuFeO<sub>2</sub>

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- $\#$  PES, XES

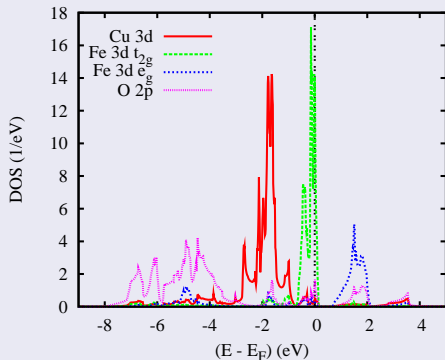
## Open Issues

- spin-state of Fe?
- influence of monoc. structure?



# Electronic Properties of $\text{CuFeO}_2$

## Partial Densities of States



## Results

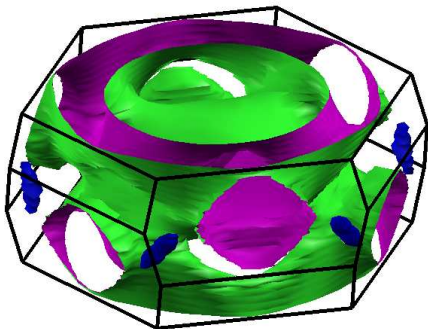
- Fe 3d-O 2p hybridization
- $\text{FeO}_6$  octahedra:  
Fe 3d  $\Rightarrow t_{2g}$  and  $e_g$
- Cu 4d<sup>10</sup> ( $\text{Cu}^{1+}$ )
- Fe 3d  $t_{2g}$ 
  - sharp peak at  $E_F$

VE, R. Frésard, A. Maignan, Phys. Rev. B **78**, 052402 (2008)



# Electronic Properties of $\text{CuFeO}_2$

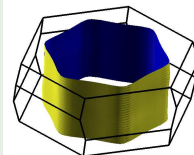
## Fermi Surface



## Results

- strongly 3D

## FS $\text{PdCoO}_2$



VE, R. Frésard, A. Maignan, Phys. Rev. B **78**, 052402 (2008)



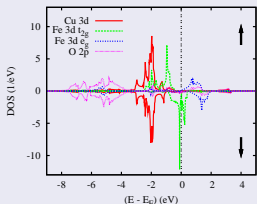
Magnetic Properties of  $\text{CuFeO}_2$ Total Energies (mRyd/f.u.), Magn. Moms. ( $\mu_B$ ), Band Gaps (eV)

structure	magn. order	$\Delta E$	$m_{\text{Fe}}$	$m_{\text{O}}$	$E_g$
rhomb.	spin-deg.	0.0			-
rhomb.	ferro (LS)	-16.7	1.03	-0.02	-
rhomb.	ferro (IS)	-12.0	2.02	-0.02	-
rhomb.	ferro (HS)	-19.2	3.73	0.21	-

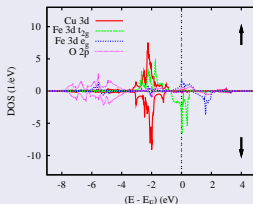
VE, R. Frésard, A. Maignan, Phys. Rev. B **78**, 052402 (2008)

# Magnetic Properties of $\text{CuFeO}_2$

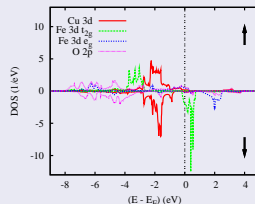
## LS Ferromagnet



## IS Ferromagnet



## HS Ferromagnet



## Results

- LS, IS, HS in rhombohedral structure
- HS: O 2p polarization via Fe 3d  $e_g$

VE, R. Frésard, A. Maignan, Phys. Rev. B **78**, 052402 (2008)



# Magnetic Properties of $\text{CuFeO}_2$

Total Energies (mRyd/f.u.), Magn. Moms. ( $\mu_B$ ), Band Gaps (eV)

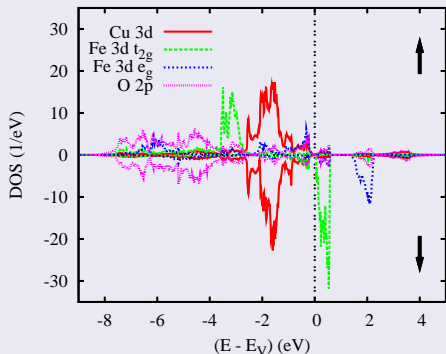
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rhomb.	ferro (IS)	-12.0	2.02	-0.02	-
rhomb.	ferro (HS)	-19.2	3.73	0.21	-
monoc.	spin-deg.	-6.0			-
monoc.	ferro (LS)	-21.5	1.04	-0.02	-
monoc.	ferro (IS)	-19.0	2.08	-0.02	-
monoc.	ferro (HS)	-32.0	3.62	0.19	-

VE, R. Frésard, A. Maignan, Phys. Rev. B **78**, 052402 (2008)



# Magnetic Properties of $\text{CuFeO}_2$

## Antiferromagnet



## Results

- monoc. structure
- $\text{Fe}^{3+}$  HS
- O 2p polarization via Fe 3d  $e_g$
- $E_g > 0$  in GGA

VE, R. Frésard, A. Maignan, Phys. Rev. B **78**, 052402 (2008)





Magnetic Properties of  $\text{CuFeO}_2$ Total Energies (mRyd/f.u.), Magn. Moms. ( $\mu_B$ ), Band Gaps (eV)

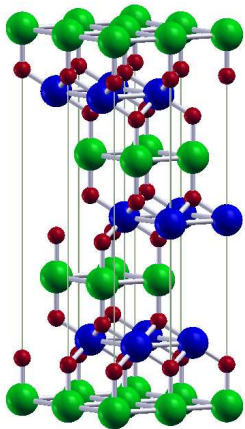
structure	magn. order	$\Delta E$	$m_{\text{Fe}}$	$m_{\text{O}}$	$E_g$
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rhomb.	ferro (HS)	-19.2	3.73	0.21	-
monoc.	spin-deg.	-6.0			-
monoc.	ferro (LS)	-21.5	1.04	-0.02	-
monoc.	ferro (IS)	-19.0	2.08	-0.02	-
monoc.	ferro (HS)	-32.0	3.62	0.19	-
monoc.	antiferro	-46.0	$\pm 3.72$	$\pm 0.08$	0.05

VE, R. Frésard, A. Maignan, Phys. Rev. B **78**, 052402 (2008)



# CuRhO<sub>2</sub>

## Delafossite Structure



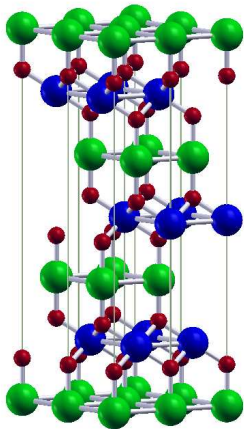
## Experimental Findings

- semiconductor
- high TEP on hole doping
  - $\text{Rh}^{3+} \rightarrow \text{Mg}^{2+}$  up to 12%
- high  $T$ -independent PF



# CuRhO<sub>2</sub>

## Delafossite Structure



## Experimental Findings

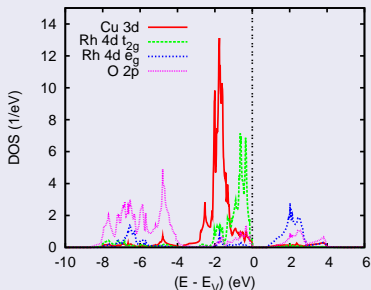
- semiconductor
- high TEP on hole doping
  - Rh<sup>3+</sup> → Mg<sup>2+</sup> up to 12%
- high *T*-independent PF

## Open Issues

- origin of high TEP
- role of Cu 3*d* orbitals?
- role of Rh 4*d* and O 2*p* orbitals?

# Electronic Properties of $\text{CuRhO}_2$

## Partial Densities of States



## Results

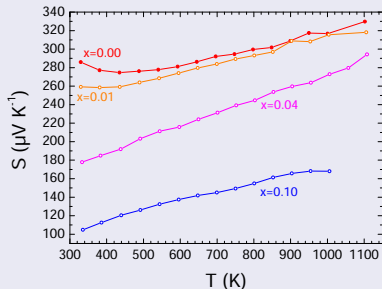
- Rh 4d-O 2p hybridization
- $\text{RhO}_6$  octahedra:  
Rh 4d  $\Rightarrow$   $t_{2g}$  and  $e_g$
- $E_g \approx 0.75$  eV
- Cu 4d<sup>10</sup> ( $\text{Cu}^{1+}$ )
- electronic structure:  
strongly 3D

A. Maignan, VE, *et al.*, Phys. Rev. B **80**, 115103 (2009)

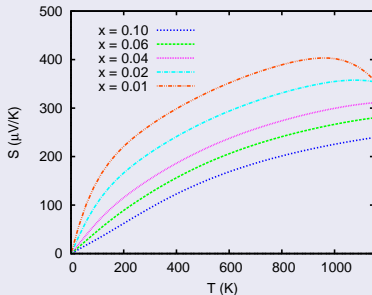


# Thermoelectric Power of $\text{CuRhO}_2$

## Experiment



## Theory: $S_{xx}$



A. Maignan, VE, *et al.*, Phys. Rev. B **80**, 115103 (2009)



# Summary

## Computational Modeling ...

## Full-Potential ASW Method

- Highly Accurate Total Energies
- $\mathcal{O}(\text{ASA})$  Speed!
- Optical and Transport Properties Available



# Summary

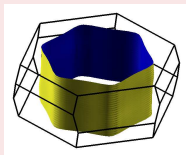
## Computational Modeling ...

## Full-Potential ASW Method

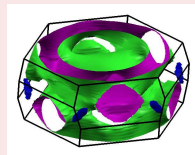
- Highly Accurate Total Energies
- $\mathcal{O}(\text{ASA})$  Speed!
- Optical and Transport Properties Available

## ... and Materials Discovery

## Delafossites



- high conductivity
- high TEP
- magnetism
- p-type TCOs



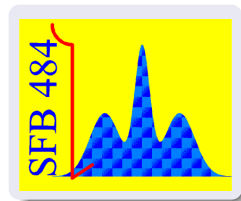
# Acknowledgments

## Caen

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## Darmstadt/Jülich

P. C. Schmidt, M. Stephan  
J. Sticht †



## Augsburg

K.-H. Höck, T. Kopp, J. Mannhart

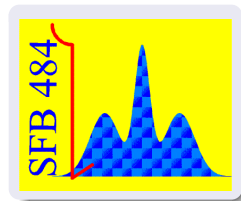




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Thank You for Your Attention!

