

# PLURIHARMONIC MAPS INTO KÄHLER SYMMETRIC SPACES AND SYM'S FORMULA

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ABSTRACT. A construction due to Sym and Bobenko recovers constant mean curvature surfaces in euclidean 3-space from their harmonic Gauss maps. We generalize this construction to higher dimensions and codimensions replacing the surface by a complex manifold and the sphere (the target space of the Gauss map) by a Kähler symmetric space of compact type with its standard embedding into the Lie algebra  $\mathfrak{g}$  of its transvection group. Thus we obtain a new class of immersed Kähler submanifolds of  $\mathfrak{g}$  and we derive their properties.

## INTRODUCTION

An important notion for a surface in euclidean 3-space is the *Gauss map* which assigns to each point its normal vector in the sphere  $S^2 \subset \mathbb{R}^3$ . But can one revert this process and recover the original surface from its Gauss map? In general this is impossible; e.g. for *minimal surfaces* the Gauss map remains the same when we pass to the *associated surfaces*. However, there are surface classes where such a one-to-one correspondence exists. Among them are surfaces of prescribed nonzero *constant mean curvature* (cmc). By a theorem of Ruh and Vilms [18], an immersed surface  $f : M \rightarrow \mathbb{R}^3$  is cmc if and only if its Gauss map is harmonic. Vice versa, given a generic harmonic map  $h : M \rightarrow S$  into the 2-sphere  $S$ , there exists precisely one cmc surface  $f$  with Gauss map  $h$  and mean curvature  $H = \frac{1}{2}$  (say). It can be constructed from  $h$  and its associated family using a famous formula of Sym [20] and Bobenko [1].

The aim of our paper is to generalize this construction to higher dimensions and codimensions. We replace the 2-sphere  $S$  by an arbitrary Kähler symmetric space  $P$  of compact type and arrive at a new class of Kähler submanifolds of  $\mathbb{R}^n$ , which could be called “pluri-cmc”. To be more precise, we must look a little closer to the original Sym-Bobenko construction: Starting with a harmonic map  $h : M \rightarrow S$ , one obtains two weakly conformal maps  $f_{\pm} : M \rightarrow \mathbb{R}^3$  with  $h = \frac{1}{2}(f_+ - f_-)$ . Outside the branch points,  $f_+$  and  $f_-$  have Gauss map  $h$  and mean curvature  $H = -\frac{1}{2}$  and  $H = \frac{1}{2}$ , respectively. Now let  $P = G/K$  be an arbitrary Kähler symmetric space of compact type. It can be viewed as an adjoint orbit in its transvection Lie algebra  $\mathfrak{g}$  in the same way as  $S$  is an adjoint orbit in  $\mathbb{R}^3 = \mathfrak{so}_3$ . As before, there is a one-to-one correspondence between *pluriharmonic* maps  $h : M \rightarrow P$  from a complex manifold  $M$ , and pairs of maps  $f_+, f_- : M \rightarrow \mathfrak{g}$ , which are *quasi-holomorphic* (a notion generalizing “weakly conformal”) along the common normal vector  $h = \frac{1}{2}(f_+ - f_-)$  (Theorem 7.2). At regular points the Riemannian metrics on  $M$  induced by  $f_{\pm}$  are Kähler. Moreover, both immersions are ‘pluri-cmc’, i.e. when restricted to complex one-dimensional submanifolds of  $M$  they behave like

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cmc surfaces in a certain sense (cf. (35)); in particular they allow a very peculiar isometric deformation (associated family).

As it turned out, a modified and less explicit version of the Sym-Bobenko construction was already known to Bonnet ([2], see [12]), and, in fact, the viewpoint of Bonnet is an important tool for our generalization.

## 1. PARALLEL SURFACES

Let us recall some elementary facts for surfaces in 3-space. Consider an immersion  $f : M \rightarrow \mathbb{R}^3$  of a 2-dimensional manifold  $M$  ('surface'). Suppose that  $M$  is oriented and that  $\nu : M \rightarrow S$  is the Gauss map of  $f$ , where  $S \subset \mathbb{R}^3$  denotes the unit sphere. The surface  $f$  gives rise to a family of *parallel surfaces*  $f_t = f + t\nu$  for all  $t \in \mathbb{R}$  (we always exclude the points where  $f_t$  is not regular, i.e. not an immersion). The surfaces  $f$  and  $f_t$  have the same principal curvature vectors on  $M$ , but the principal curvatures  $\kappa_1, \kappa_2$  change from  $\kappa_j = 1/r_j$  to  $\kappa_{j,t} = 1/(r_j - t)$ .

Suppose now that  $f$  has constant Gaussian curvature 1, i.e.  $r_1 r_2 = 1$ . Then the parallel surfaces  $f_{\mp 1}$  have constant mean curvature  $H = \pm \frac{1}{2}$  at their regular points:

$$2H = \frac{1}{r_1 \pm 1} + \frac{1}{r_2 \pm 1} = \frac{r_1 + r_2 \pm 2}{r_1 r_2 \pm (r_1 + r_2) + 1} = \pm 1. \quad (1)$$

Further, the metrics on  $M$  induced by  $f_1$  and  $f_{-1}$  are conformal to each other. In fact, if  $v_j \in T_u M$  (for some  $u \in M$ ) is a principal curvature vector for  $\kappa_j$  with  $|df.v_j| = |r_j|$ , then  $|df_t.v_j| = |r_j - t|$ . Consequently, the length ratio of the perpendicular vectors  $df_t.v_1$  and  $df_t.v_2$  is the same for  $t = 1$  and  $t = -1$  (which proves conformality): Using  $r_1 r_2 = 1$ , we have

$$\frac{r_1 - 1}{r_2 - 1} : \frac{r_1 + 1}{r_2 + 1} = \frac{r_1 r_2 + r_1 - r_2 - 1}{r_1 r_2 - r_1 + r_2 - 1} = -1. \quad (2)$$

Vice versa, starting with a surface  $\tilde{f} : M \rightarrow \mathbb{R}^3$  of constant *mean* curvature  $H = \frac{1}{2}$ , its parallel surfaces  $\tilde{f}_1$  and  $\tilde{f}_2$  have constant Gaussian curvature 1 and constant mean curvature  $-\frac{1}{2}$ , respectively. Moreover, the metrics on  $M$  induced by  $\tilde{f}$  and  $\tilde{f}_2$  are conformal.

## 2. THE GAUSS MAP OF CMC SURFACES

By a theorem of Ruh and Vilms [18], surfaces of constant mean curvature are characterized by the harmonicity of their Gauss maps:

**Theorem 2.1** (Ruh–Vilms). *Let  $M$  be a Riemann surface and  $f : M \rightarrow \mathbb{R}^3$  a conformal immersion. Then  $f$  has constant mean curvature if and only if its Gauss map  $\nu : M \rightarrow S$  is harmonic.*

*Proof.* Let  $H$  be the mean curvature of an immersion  $f : M \rightarrow \mathbb{R}^3$ . For each  $u \in M$  and  $v \in T_u M$  we have

$$2\partial_v H = -\partial_v \text{trace } d\nu = -\text{trace } \nabla_v d\nu \stackrel{*}{=} -\text{trace } \langle \nabla d\nu, df.v \rangle = \langle \Delta \nu, df.v \rangle.$$

Here,  $\nabla$  denotes the Levi-Civita connection and  $\Delta$  the Laplacian for the induced metric on  $M$ . For " $\stackrel{*}{=}$ ", we use the symmetry of  $\langle \nabla d\nu, df \rangle$  in all three arguments (Codazzi). Thus  $\partial_v H = 0$  for all  $v$  if and only if the tangent part of  $\Delta \nu$  vanishes (note that  $df(T_u M) = T_{\nu(u)} S$ ), which is the definition of  $\nu : M \rightarrow S$  being harmonic.  $\square$

Now let us consider the inverse problem: Given any harmonic map  $h : M \rightarrow S$  on a Riemann surface  $M$ , can we construct a cmc surface  $f : M \rightarrow \mathbb{R}^3$  with  $H = \pm \frac{1}{2}$  and Gauss map  $\nu = h$ ? This question has already been solved by Bonnet in 1853

([2], [12]) as follows: Using the results of the previous section, we know that such surfaces always come in pairs

$$f_{\pm} = g \pm h, \quad (3)$$

where  $g : M \rightarrow \mathbb{R}^3$  has constant Gaussian curvature 1. Thus the task is to find  $g$  from  $h$ . By harmonicity, the vector  $\Delta h$  is normal to  $S$ , i.e. it points into the direction of  $h$ . This means  $h \times \Delta h = 0$  where  $\times$  denotes the vector product on  $\mathbb{R}^3$ . Using conformal coordinates  $(x, y)$  on  $M$  we have

$$0 = h \times (h_{xx} + h_{yy}) = (h \times h_x)_x + (h \times h_y)_y,$$

where subscripts mean partial derivatives. In other words, the  $\mathbb{R}^3$ -valued 1-form

$$\gamma = (h \times h_y)dx - (h \times h_x)dy \quad (4)$$

is closed<sup>1</sup>,  $d\gamma = 0$ . Hence it can be integrated,  $\gamma = dg$  for some  $g : M \rightarrow \mathbb{R}^3$ , provided that  $M$  is simply connected. In fact,  $g$  has the desired properties (cf. [12]) as we will see below (Section 5, Remark 2).

Using the almost complex structures  $j$  on  $M$  and  $J$  on  $S$  (the vector product with the position vector), we may rewrite (4) as

$$\gamma = h \times dh j = J dh j. \quad (5)$$

Hence from (3) we obtain

$$df_{\pm} = dh \pm J dh j. \quad (6)$$

**Theorem 2.2** (Bonnet). *Let  $M$  be a Riemann surface and  $h : M \rightarrow S$  a harmonic map, then the 1-form  $\gamma = J dh j$  is closed. Further, if  $M$  is simply connected, there is (up to translations) precisely one pair of weakly conformal maps  $f_{\pm} : M \rightarrow \mathbb{R}^3$  with constant mean curvature  $H = \mp \frac{1}{2}$  and Gauss map  $h$  at the regular points, and  $f_{\pm}$  is obtained by integrating  $df_{\pm} = dh \pm \gamma$ .  $\square$*

**Remark.** Equation (6) looks as if  $f_{-}$  and  $f_{+}$  were holomorphic and antiholomorphic, respectively:

$$J df_{\pm} j = J dh j \pm dh = \pm df_{\pm}. \quad (7)$$

But remember that  $J$  is the almost complex structure on  $S$  while  $f_{\pm}$  does not take values in  $S$ ; only the tangent spaces are the same:

$$df_{\pm}(T_u M) \subset T_{h(u)} S \quad (8)$$

(in fact we have equality). Mappings  $f_{\pm}$  satisfying (7) and (8) will be called *quasi-holomorphic* along  $h$  (see Section 7). In the present context this simply means weak conformality.

The Bonnet construction involves integrating the 1-form  $\gamma = dg$ . More recently it was observed by Sym [20] and Bobenko [1]<sup>2</sup> that  $g$  has a direct geometric meaning in terms of the *associated family* and the *extended solution* of the harmonic map  $h$ . We will discuss this construction in a more general setting, using that  $(\mathbb{R}^3, \times)$  is a Lie algebra (corresponding to the Lie group  $SO_3$ ) and  $S$  a particular adjoint orbit which is a *Kähler symmetric space* of compact type. In fact, *any* such space allows this kind of embedding (Section 3 below). We will also generalize the domain  $M$  to a complex manifold of arbitrary dimension (Section 4).

<sup>1</sup>Since harmonic maps are critical for a variational principle (the variation of the energy) which is invariant under the isometry group of  $S$ , this formula can also be obtained as a conservation law from the Noether theorem, see [17],[12].

<sup>2</sup>Sym studied surfaces  $g$  with Gaussian curvature  $K = -1$  which have no parallel cmc surfaces. Bobenko transferred this idea to the case  $K = +1$  and to cmc surfaces.

## 3. KÄHLER SYMMETRIC SPACES

A Riemannian manifold  $P$  is *Kähler* if it carries a parallel isometric almost complex structure  $J$ . From  $\nabla_X(JZ) = J\nabla_X Z$  we have  $R(X, Y)JZ = JR(X, Y)Z$  for all tangent vectors  $X, Y, Z$  where  $R$  denotes the curvature tensor of  $P$ . Consequently  $\langle R(X, Y)JZ, JW \rangle = \langle R(X, Y)Z, W \rangle$ , and from the block symmetry of  $R$  we see

$$R(X, Y) = R(JX, JY). \quad (9)$$

Thus  $R(JX, Y) = R(JJX, JY) = -R(X, JY)$ , and therefore  $J$  is a *derivation* of  $R$  at any point  $p$ :

$$R(JX, Y)Z + R(X, JY)Z + R(X, Y)JZ = JR(X, Y)Z.$$

Now let  $P = G/K$  be *Kähler symmetric* (*hermitian symmetric*) of compact type, i.e.  $P$  is Kähler and symmetric of compact type and all the *point symmetries* (geodesic symmetries)  $s_p$  are holomorphic. Then at any point  $p \in P$  the curvature tensor  $R$  is a *Lie triple product* on  $T_p P$  and  $J_p$  a derivation of  $R$ . We may assume  $p = eK$ . Let

$$\mathfrak{g} = \mathfrak{k} + \mathfrak{p} \quad (10)$$

be the corresponding Cartan decomposition (eigenspace decomposition of  $\text{Ad}(s_p)$ ). Then we may identify  $T_p P = \mathfrak{p}$ . We extend  $J_p : \mathfrak{p} \rightarrow \mathfrak{p}$  to a derivation  $\hat{J}_p$  of the Lie algebra  $\mathfrak{g}$  by putting  $\hat{J}_p = 0$  on  $\mathfrak{k}$ . Since  $\mathfrak{g}$  is semisimple, each derivation is inner. Hence we may view  $\hat{J}_p \in \mathfrak{g}$  (acting on  $\mathfrak{g}$  by  $\text{ad}(\hat{J}_p)$ ). The map

$$\hat{J} : P \rightarrow \mathfrak{g}, \quad p \mapsto \hat{J}_p \quad (11)$$

is called the *standard embedding* of  $P$  (see [10]). Its image  $\tilde{P} = \hat{J}(P) \subset \mathfrak{g}$  is an adjoint orbit: Since  $J$  is parallel,  $J_p$  and  $J_q$  are conjugate for an arbitrary  $q \in P$  under the transvection  $g$  along a geodesic joining  $p = eK$  to  $q$ . Hence  $\hat{J}_q = \text{Ad}(g)\hat{J}_p$ . By holomorphicity each  $k \in K = G_p$  preserves  $J_p$ , thus  $\hat{J}_p$  centralizes  $K$  and the map  $\hat{J} : P \rightarrow \text{Ad}(G)\hat{J}_p$  is an equivariant covering (note that the stabilizer Lie algebra of  $\hat{J}_p$  is  $\mathfrak{k}$ ). But in fact it is injective. To see this, note that the orbit  $\tilde{P} = \text{Ad}(G)\hat{J}_p \subset \mathfrak{g}$  is itself an (extrinsic) hermitian symmetric space with (extrinsic) symmetry  $s_{\tilde{p}} = \text{Ad}(\exp \pi \hat{J}_p)$  and almost complex structure  $\text{ad}(\hat{J}_p)|_{T_{\tilde{p}}\tilde{P}}$  where  $\tilde{p} = \hat{J}_p$ . Since any semisimple hermitian symmetric space is simply connected [13, p. 376], the map  $\hat{J}$  is one-to-one. The Riemannian metric on  $\tilde{P}$  induced by any  $\text{Ad}(G)$ -invariant inner product on  $\mathfrak{g}$  coincides up to a constant with the initial Riemannian metric on each de Rham factor. The tangent and normal spaces of  $\tilde{P}$  at  $\tilde{p} = \hat{J}_p$  are

$$T_{\tilde{p}}\tilde{P} = \text{ad}(\mathfrak{g})\hat{J}_p = [\mathfrak{p}, \hat{J}_p] = -J_p(\mathfrak{p}) = \mathfrak{p}, \quad N_{\tilde{p}}\tilde{P} = \mathfrak{p}^\perp = \mathfrak{k}, \quad (12)$$

thus (10) is also the decomposition into the tangent and normal space of  $\tilde{P}$  at  $\hat{J}_p$ . From now on, we will no longer distinguish between  $P$  and  $\tilde{P}$ . Hence we consider  $P$  as a submanifold of  $\mathfrak{g}$  where the point  $p \in P$  becomes the element  $p = \hat{J}_p \in \mathfrak{g}$ .

**Example 1.** Let  $P = S \subset \mathbb{R}^3$  be the 2-sphere. For any  $p \in S$  we have  $T_p S = p^\perp$  and  $J_p v = p \times v$  for  $v \in T_p S$ . Let  $\mathfrak{so}_3$  be the space of real antisymmetric  $3 \times 3$ -matrices (the Lie algebra of  $SO_3$ ). The mapping  $\mathbb{R}^3 \rightarrow \mathfrak{so}_3 : w \mapsto A_w$  with  $A_w x := w \times x$  is a linear isomorphism which transforms the vector product into the Lie product and the usual  $SO_3$ -action on  $\mathbb{R}^3$  into the adjoint action on  $\mathfrak{so}_3$ . Thus the sphere  $S \subset \mathbb{R}^3$ , which is the  $SO_3$ -orbit of  $e_3$ , is mapped onto the adjoint orbit of  $A_{e_3} = \hat{J}_{e_3}$ .

**Example 2.** Let  $P = G_k(\mathbb{C}^n) = U_n/(U_k \times U_{n-k})$  be the complex Grassmannian of  $k$ -dimensional linear subspaces of  $\mathbb{C}^n$ . Identifying each complex subspace with its orthogonal projection, we embed  $P$  as a  $U_n$ -conjugacy class into the space of

hermitian or (after multiplying with  $i = \sqrt{-1}$ ) anti-hermitian  $n \times n$ -matrices which form the Lie algebra  $\mathfrak{u}_n$  of the unitary group  $U_n$ ; this is the standard embedding.

#### 4. PLURIHARMONIC MAPS

Let  $P = G/K$  be a semisimple symmetric space and  $M$  a simply connected complex manifold with almost complex structure  $j$ . We will also use the corresponding rotations

$$r_\theta = (\cos \theta)I + (\sin \theta)j : TM \rightarrow TM \quad (13)$$

for any  $\theta \in [0, 2\pi]$ . A smooth map  $h : M \rightarrow P$  is called *pluriharmonic* if  $h|_C$  is harmonic for any complex one-dimensional submanifold (complex curve)  $C \subset M$ , or, in other terms, if the (1,1) part of the Hessian  $\nabla dh^{(1,1)}$ , the so called *Levi form*, vanishes:

$$\nabla dh(v, w) + \nabla dh(jv, jw) = 0 \quad (14)$$

for any two tangent vectors  $v, w$  on  $M$ .<sup>3</sup>

Pluriharmonic maps always come in one-parameter families, called *associated families*, defined as follows (cf. [9], [4]): The differential of a smooth map  $f : M \rightarrow P$  is a vector bundle homomorphism  $\varphi = df : TM \rightarrow E = f^*TP$ . Vice versa, given any vector bundle  $E$  (over  $M$ ) endowed with a connection and a bundle homomorphism  $\varphi : TM \rightarrow E$ , we may ask if  $\varphi$  is the differential of a smooth map  $f$ ; such a homomorphism (or  $E$ -valued 1-form)  $\varphi$  will be called *integrable*. If this holds,  $E$  can be identified with  $f^*TP$  and, in particular,  $E$  carries a parallel Lie triple product on its fibres. Assuming that  $E$  is already equipped with such a structure, one obtains the following precise integrability condition for  $\varphi$  (see [8]): There exists a map  $f : M \rightarrow P$  and a parallel vector bundle isometry  $\Phi : f^*TP \rightarrow E$  preserving the Lie triple structure such that

$$\varphi = \Phi df. \quad (15)$$

Both  $f$  and  $\Phi$  are unique up to translation with some  $g \in G$ .

Now assume that a smooth map  $h : M \rightarrow P$  is given, thus  $\varphi_0 = dh$  is integrable. We may ask if the rotated differential  $\varphi_\theta = dh r_\theta$  is integrable for all  $\theta \in [0, 2\pi]$  as well. This question was answered in [9]: The integrability condition holds for all  $\varphi_\theta$  if and only if  $h$  is pluriharmonic. In this case we have a family of pluriharmonic maps  $h_\theta : M \rightarrow P$  (the *associated family* of  $h$ ) and parallel bundle isometries  $\Phi_\theta : f^*TP \rightarrow f_\theta^*TP$  preserving the curvature tensor (Lie triple product) of  $P$  such that

$$dh_\theta = \Phi_\theta dh r_\theta \quad (16)$$

holds for all  $\theta \in [0, 2\pi]$ . We can always assume  $\Phi_0 = I$ , and, if  $P$  is an *inner* symmetric space (which means that  $-I$  lies in the identity component of  $K$  acting on  $\mathfrak{p}$ ), we may choose additionally  $\Phi_\pi = -I$ , due to  $r_\pi = -I$  (see [4]). Since  $\Phi_\theta(u)$  maps  $T_{f(u)}P$  onto  $T_{f_\theta(u)}P$  preserving the metric and the curvature tensor, it is the differential of a unique element of  $G$  mapping  $f(u)$  to  $f_\theta(u)$ . This will be called  $\Phi_\theta(u)$  again and it defines a family of mappings  $\Phi_\theta : M \rightarrow G$  with  $\Phi_0 = e$  and, if  $P$  is inner,  $\Phi_\pi(u) = s_{h(u)}$ , where  $s_q \in G$  denotes the point symmetry at  $q$  for any  $q \in P$ .

**Remark.** Pluriharmonic maps have often been described in terms of moving frames. If we choose (locally) a frame  $F$  for  $h$  (i.e. a smooth map  $F : M_o \rightarrow G$  with  $F(u)p = h(u)$  for any  $u \in M_o \subset M$ , where  $p = eK \in P = G/K$ ), we obtain also a frame for each  $h_\theta$ , namely

$$F_\theta = \Phi_\theta F. \quad (17)$$

<sup>3</sup>In order to define the Hessian one has to choose locally a Kähler metric on  $M$ . However, the definition of pluriharmonicity is independent of the choice of this metric.

Then the corresponding Maurer-Cartan form<sup>4</sup>  $\omega_\theta = F_\theta^{-1}dF_\theta \in \Omega^1(M, \mathfrak{g})$  satisfies

$$\omega_\theta = \omega_{\mathfrak{k}} + \omega_{\mathfrak{p}} r_\theta = \omega_{\mathfrak{k}} + \lambda^{-1}\omega'_{\mathfrak{p}} + \lambda\omega''_{\mathfrak{p}} \quad (18)$$

due to (16) and the parallelism of  $\Phi_\theta$  (see [4]). Here we put  $\lambda = e^{-i\theta}$ , and  $\omega_{\mathfrak{k}}, \omega_{\mathfrak{p}}$  are the components of  $\omega = \omega_0 = F^{-1}dF$  in the Cartan decomposition (10), while  $\omega'_{\mathfrak{p}}, \omega''_{\mathfrak{p}}$  are the restrictions of the (complexified) 1-form  $\omega_{\mathfrak{p}} : TM \otimes \mathbb{C} \rightarrow \mathfrak{p} \otimes \mathbb{C}$  to

$$T'M = \{v - ijv; v \in TM\}, \quad T''M = \{v + ijv; v \in TM\}, \quad (19)$$

the  $(\pm i)$ -eigenbundles of  $j$ . As a consequence of (17) and (18) we obtain

$$\begin{aligned} \Phi_\theta^{-1}d\Phi_\theta &= \text{Ad}(F)(\omega - \omega r_\theta) \\ &= (1 - \lambda^{-1})\text{Ad}(F)\omega'_{\mathfrak{p}} + (1 - \lambda)\text{Ad}(F)\omega''_{\mathfrak{p}}. \end{aligned} \quad (20)$$

This shows that  $\Phi_\theta$  is an *extended solution* in the sense of Uhlenbeck [22], generalized to the pluriharmonic case by Ohnita and Valli [15].

One may show that  $\text{Ad}(F)\omega_{\mathfrak{p}} = \frac{1}{2}s_h ds_h$  where  $s : P \rightarrow G$ ,  $p \mapsto s_p$  is the Cartan embedding and  $s_h = s \circ h$ .

## 5. THE KÄHLER SYMMETRIC CASE

Let us restrict our attention to a *Kähler symmetric space*  $P = G/K$  of compact type. Using the standard embedding we consider  $P$  as an adjoint orbit in  $\mathfrak{g}$ . Then the almost complex structure  $J_p$  at any  $p \in P \subset \mathfrak{g}$  is just  $\text{ad}(p)$ , restricted to the tangent space  $T_pP = \text{ad}(\mathfrak{g})p \subset \mathfrak{g}$ .

Now we deal with two almost complex structures:  $j$  on  $M$  and  $J$  on  $P$ . Recall that the definition of a pluriharmonic map  $h : M \rightarrow P$  involves only  $j$ , not  $J$  (which is not present in the general case). However, for Kähler symmetric spaces we have another characterization of pluriharmonic maps in terms of both  $j$  and  $J$  which generalizes the first part of Bonnet's theorem 2.2:

**Theorem 5.1.** *Let  $P \subset \mathfrak{g}$  be a Kähler symmetric space of compact type,  $M$  a complex manifold and  $h : M \rightarrow P$  a smooth map. Then  $h$  is pluriharmonic if and only if the  $\mathfrak{g}$ -valued 1-form  $\gamma = Jdhj = [h, dhj]$  is closed.*

*Proof.* We have  $d\gamma(v, w) = \partial_v\gamma(w) - \partial_w\gamma(v) - \gamma(\nabla_v w - \nabla_w v)$  and

$$\begin{aligned} \partial_v\gamma(w) &= \partial_v[h, \partial_{jw}h] \\ &= [\partial_v h, \partial_{jw}h] + [h, \partial_v \partial_{jw}h]. \end{aligned} \quad (21)$$

Thus we obtain

$$\begin{aligned} d\gamma(v, w) &= [dh.v, dh.jw] - [dh.w, dh.jv] \\ &\quad + [h, \nabla dh(v, jw) - \nabla dh(w, jv)], \end{aligned} \quad (22)$$

where  $h$  is considered as a map into the ambient space  $\mathfrak{g}$  rather than into  $P$ . The normal and tangent spaces of  $P$  at the point  $h = h(u) \in P$  (which we may consider as the base point  $p = eK$ ) form the Cartan decomposition (10). Since the kernel of  $\text{ad}(h)$  is  $\mathfrak{k}$ , the term in the second line of (22) is in  $\mathfrak{p}$  while the two terms in the first line belong to  $\mathfrak{k}$ , due to  $[\mathfrak{p}, \mathfrak{p}] \subset \mathfrak{k}$ . Thus we have  $d\gamma = 0$  if and only if

$$[dh.v, dh.jw] - [dh.w, dh.jv] = 0, \quad (23)$$

$$(\nabla dh(v, jw) - \nabla dh(w, jv))^T = 0, \quad (24)$$

where  $( )^T$  denotes the component in  $T_hP$ . The second equation (24) says precisely that  $h : M \rightarrow P$  is pluriharmonic. The first one, (23), is a consequence of the pluriharmonicity whenever  $P$  is a compact symmetric space: If  $h : M \rightarrow P$  is pluriharmonic, we have  $R(dh.a, dh.b) = 0$  for all  $a, b \in T'M$  (see [15], [9]). For

<sup>4</sup>To keep the notation simple we assume that  $G$  is a matrix group.

$a = v - i j v$  and  $b = w - i j w$  this gives (23); recall that the Lie bracket on  $\mathfrak{p}$  is the curvature operator of  $P$  (up to sign).  $\square$

**Remark 1.** All arguments can be generalized to metrics of arbitrary signature (see [19], [14]). However, in the indefinite case we can no more conclude  $R(dh(T'M), dh(T'M)) = 0$  from the pluriharmonicity of  $h : M \rightarrow P$ . However, this extra condition is extremely useful; e.g. it is necessary for an associated family to exist. It was an additional assumption in [19] (called  $S^1$ -pluriharmonicity). Maybe the closedness of the form  $J dh j$  would be the better definition.

**Remark 2.** If  $M$  is simply connected, we can integrate  $\gamma$  and find a smooth mapping  $g : M \rightarrow \mathfrak{g}$  with  $dg = \gamma = J dh j$ . Using (21) we compute its Hessian

$$\nabla dg(v, w) = [dh.v, dh.jw] + [h, \nabla dh(v, jw)]. \quad (25)$$

In the Bonnet case ( $\dim M = 2$ ,  $P = S$ ), the map  $g$  at regular points is the surface with Gaussian curvature  $K = 1$ , see Section 1 and [12]. This is not completely obvious since  $g$  is not isometric, not even conformal. The second fundamental form  $\alpha^g$  of  $g$  (assuming that  $g$  is an immersion) is the normal part of its Hessian (25). In the surface case, there is no normal part inside  $TS$ , thus we get (omitting the symbol ' $dh$ ')

$$\alpha^g(v, w) = [v, jw] = v \times jw. \quad (26)$$

Hence  $\alpha^g(v, jv) = 0$  and  $\alpha^g(v, v) = [v, jv] = \alpha^g(jv, jv)$  and further

$$\begin{aligned} \langle \alpha^g(v, v), \alpha^g(jv, jv) \rangle - |\alpha^g(v, jv)|^2 &= \langle [v, jv], [v, jv] \rangle \\ &= \langle [[v, jv]v], jv \rangle \\ &= -\langle R(v, jv)v, jv \rangle \\ &= |v|^2 |jv|^2 - \langle v, jv \rangle^2. \end{aligned} \quad (27)$$

Comparing with the Gauss equations for the surface  $g$  in  $\mathbb{R}^3$  we see that  $g$  has Gaussian curvature  $K = 1$ .

**Remark 3.** The case where  $M$  is a surface and  $P = \mathbb{C}P^n = G_1(\mathbb{C}^{n+1}) \subset \mathfrak{su}_{n+1}$  was recently considered in [11].

## 6. EXTENDING SYM'S CONSTRUCTION

For any pluriharmonic map  $h : M \rightarrow P = G/K$  and its associated family  $(h_\theta, \Phi_\theta)$  with framing  $F_\theta = \Phi_\theta F$  we define the *Sym map* (putting  $\delta = \frac{\partial}{\partial \theta}|_{\theta=0}$  and using  $\Phi_0 = I$ )

$$k := (\delta F)F^{-1} = (\delta \Phi)\Phi_0^{-1} = \delta \Phi : M \rightarrow \mathfrak{g}. \quad (28)$$

This was introduced by Sym [20] in the case  $P = S$ . It is of particular importance in the Kähler symmetric case where  $P$  is an adjoint orbit in the Lie algebra  $\mathfrak{g}$ . Thus the group  $G$  acts on  $P \subset \mathfrak{g}$  by the adjoint representation, and the defining equation (16) for the associated family now becomes

$$dh_\theta = \text{Ad}(\Phi_\theta) dh r_\theta. \quad (29)$$

On the other hand, the isometry  $\Phi_\theta(u)$  also maps  $h(u)$  onto  $h_\theta(u)$ :

$$h_\theta = \text{Ad}(\Phi_\theta)h. \quad (30)$$

Differentiating this last equation,

$$dh_\theta = \text{ad}(d\Phi_\theta)h + \text{Ad}(\Phi_\theta)dh,$$

and comparing with (29) we obtain

$$\text{Ad}(\Phi_\theta) dh(r_\theta - I) = [d\Phi_\theta, h]. \quad (31)$$

Now we differentiate once more, this time with respect to  $\theta$  at  $\theta = 0$ , using  $\Phi_0 = e$ ,  $\delta\Phi_\theta = k$  and  $r_0 = I$ ,  $\delta r_\theta = j$ :

$$dh j = [\delta d\Phi_\theta, h] = -J_h dk,$$

where  $J_h = \text{ad}(h)$  is the complex structure on  $T_h P$ . Summing up we get:

**Theorem 6.1.** *The Sym map  $k = \delta\Phi$  integrates the Bonnet form  $\gamma$ :*

$$dk = J dh j = \gamma. \quad (32)$$

□

Thus we have seen that the Sym map  $k$  is (up to a translation) nothing else than the Bonnet map  $g$  (we will call it *Bonnet-Sym-Bobenko map*).

## 7. GENERALIZING CMC SURFACES

As we saw in the first section, cmc surfaces in 3-space always come in pairs  $f_\pm$  where  $\nu = \frac{1}{2}(f_+ - f_-)$  is the Gauss map. More precisely, cmc surfaces with  $|H| = \frac{1}{2}$  can be characterized as pairs of immersions  $f_\pm : M \rightarrow \mathbb{R}^3$ , defined on a Riemann surface  $M$ , being conformal ('quasi-holomorphic') and having common harmonic Gauss map  $h = \frac{1}{2}(f_+ - f_-)$ . If  $M$  is simply connected, there is an explicit one-to-one correspondence between harmonic maps  $h : M \rightarrow S$  and cmc surfaces  $(f_+, f_-)$ ; the reverse correspondence  $h \rightsquigarrow (f_+, f_-)$  is given by the Bonnet-Sym-Bobenko construction (see Theorem 2.2). In this form, cmc surfaces can be generalized to higher dimension and codimension.

First we have to give a precise definition of quasi-holomorphicity. Let  $P \subset \mathbb{R}^n$  be a submanifold whose induced metric is Kähler. Further, let  $M$  be any complex manifold and  $h : M \rightarrow P$  a smooth map. Let  $j$  and  $J$  denote the almost complex structures on  $M$  and  $P$ . Then  $J$  induces a complex structure  $J_h$  on the fibres of  $h^*TP$ , i.e.  $J_{h(u)}$  acts on  $T_{h(u)}P$  for any  $u \in M$ . A smooth map  $f : M \rightarrow \mathbb{R}^n$  is called ( $\mp$ ) *quasi-holomorphic along  $h$*  if

- (1)  $df(T_u M) \subset dh(T_u M)$  for any  $u \in M$ ,
- (2)  $J_h df j = \pm df$ .

**Lemma 7.1.** *If  $f : M \rightarrow P$  is quasi-holomorphic along  $h$ , then  $f$  is a Kähler immersion on its regular set  $M_{\text{reg}} = \{u \in M; df_u \text{ injective}\}$ , i.e.  $j$  is an isometric parallel almost complex structure for the induced metric on  $M_{\text{reg}}$ .*

*Proof.*  $J_h$  is isometric and parallel in the bundle  $h^*TP$  which contains  $df(TM)$ , and  $df$  intertwines  $j$  and  $\mp J_h$ . □

**Theorem 7.2.** *Let  $P = G/K$  be a Kähler symmetric space of compact type with its standard embedding  $P \subset \mathfrak{g}$  and let  $M$  be a simply connected complex manifold. Then there is a one-to-one correspondence (up to translations) between pluriharmonic maps  $h : M \rightarrow P$  with its associated family  $(h_\theta, \Phi_\theta)$  on the one side and on the other side pairs of maps  $f_\pm : M \rightarrow \mathfrak{g}$  with common pluriharmonic normal  $h = \frac{1}{2}(f_+ - f_-) : M \rightarrow P$  such that  $f_\pm$  is  $\mp$ -quasiholomorphic along  $h$ . The reverse correspondence  $h \rightsquigarrow (f_+, f_-)$  is given by*

$$f_\pm = g \pm h, \quad (33)$$

using the Bonnet-Sym-Bobenko map  $g = \delta\Phi : M \rightarrow \mathfrak{g}$ .

*Proof.* Starting with a pluriharmonic map  $h : M \rightarrow P$ , we only have to show that the mappings  $f_\pm$  defined by (33) are quasi-holomorphic and  $df_\pm(TM) \perp h$ . But note that

$$df_\pm = dg \pm dh = J dh j \pm dh,$$

and hence  $Jdf_{\pm}j = -dh \pm Jdhj = \pm df_{\pm}$ . Further,  $\partial_v h \perp h$  (any adjoint orbit lies in a sphere and is therefore perpendicular to the position vector) and  $J_h \partial_{jv} h = [h, \partial_{jv} h] \perp h$ , thus  $\partial_v f_{\pm} \perp h$ .

Vice versa, starting with a quasi-holomorphic pair of maps  $(f_+, f_-)$  such that  $h = \frac{1}{2}(f_+ - f_-)$  is pluriharmonic and normal to both  $f_+, f_-$ , we have to show that  $g = \frac{1}{2}(f_+ + f_-)$  is the Bonnet-Sym-Bobenko map. This follows from the quasi-holomorphicity:

$$Jdgj = \frac{1}{2}(Jdf_+j + Jdf_-j) = \frac{1}{2}(df_+ - df_-) = dh,$$

and therefore  $dg = Jdhj = \gamma$ .  $\square$

Our last theorem summarizes the properties of these mappings.

**Theorem 7.3.** *Let  $P \subset \mathfrak{g}$  be Kähler symmetric,  $M$  a simply connected complex manifold and  $h : M \rightarrow P$  a pluriharmonic map. Let  $(f_+, f_-)$  be the quasi-holomorphic pair along  $h$  defined in Theorem 7.2. Suppose that  $f = f_+$  is an immersion. Then we have:*

- (1)  $f$  is a Kähler immersion with second fundamental form

$$\alpha(v, w) = [dh.v, df.jw] + J_h(\nabla_v^P dh).jw + (\nabla_v^P dh).w, \quad (34)$$

where  $J_h = \text{ad}(h)$  and  $\nabla^P dh$  is the Hessian of  $h : M \rightarrow P$ .

- (2) For each  $v \in TM$  we have

$$\alpha(v, v) + \alpha(jv, jv) = [J_h df.v, df.v] = \alpha_h^P(df.v, df.v), \quad (35)$$

where  $\alpha_h^P$  denotes the second fundamental form of  $P \subset \mathfrak{g}$  at  $h \in P$ .

- (3) Fixing a point  $u \in M$  we denote by  $\mathfrak{p} = T_{h(u)}P$  and  $\mathfrak{k} = N_{h(u)}P$  the tangent and normal spaces of  $P \subset \mathfrak{g}$  at  $h(u)$ . Then the corresponding components of  $\alpha$  at  $u$  satisfy

$$\alpha_{\mathfrak{p}}^{(1,1)} = 0, \quad (36)$$

$$\alpha_{\mathfrak{k}}^{(2,0)} = (h^* \alpha^P)^{(2,0)} = [J_h dh, dh]^{(2,0)}, \quad (37)$$

where  $\alpha^{(1,1)}$  and  $\alpha^{(2,0)}$  are the restrictions of  $\alpha$  (after complexification) to  $T'M \otimes T'M$  and  $T'M \otimes T'M$ , respectively.

- (4) The associated family  $h_{\theta}$  of  $h$  leads to a one-parameter family  $f_{\theta} : M \rightarrow \mathfrak{g}$  of isometric immersions with

$$df_{\theta} = \text{Ad}(\Phi_{\theta})df r_{\theta}, \quad (38)$$

and the second fundamental form  $\alpha_{\theta}$  of  $f_{\theta}$  satisfies

$$\alpha_{\theta, \mathfrak{p}}(v, w) = \text{Ad}(\Phi_{\theta})\alpha_{\mathfrak{p}}(v, r_{\theta}w) \quad (39)$$

$$\alpha_{\theta, \mathfrak{k}}(v, w) = \text{Ad}(\Phi_{\theta})\alpha_{\mathfrak{k}}(r_{\theta}v, r_{\theta}w). \quad (40)$$

*Proof.* (1) By Lemma 7.1  $f$  is a Kähler immersion. We equip  $M$  with the induced (Kähler) metric. Then  $f$  is an isometric immersion and  $\alpha$  is just its Hessian,  $\alpha = \nabla df = \nabla dg + \nabla dh$ . Form (25) we obtain

$$\alpha(v, w) = [dh.v, dh.jw] + [h, (\nabla_v dh).jw] + (\nabla_v dh).w. \quad (41)$$

The middle term  $[h, \nabla dh(v, jw)]$  of (41) can be replaced by  $J_h \nabla^P dh(v, jw)$  where  $\nabla^P dh$  is the  $\mathfrak{p}$ -projection of  $\nabla dh$  (i.e. the Hessian of  $h : M \rightarrow P$ ) since  $\text{ad}(h) = \text{ad}(\hat{J}_h)$  vanishes on  $\mathfrak{k}$  and acts as  $J = J_h$  on  $\mathfrak{p}$  (see Section 3). The last term  $\nabla dh(v, w)$  splits into its  $\mathfrak{p}$  and  $\mathfrak{k}$  components where the  $\mathfrak{k}$ -component is given by

the second fundamental form  $\alpha^P$  of  $P \subset \mathfrak{g}$  which is  $\alpha^P(X, Y) = [JX, Y]$  for all  $X, Y \in \mathfrak{p}$ .<sup>5</sup> Thus we obtain

$$\alpha(v, w) = [dh.v, dh.jw] + [Jdh.v, dh.w] + [h, (\nabla_v^P dh).jw] + (\nabla_v^P dh).w.$$

For the second term on the right hand side we have

$$[Jdh.v, dh.w] = -[dh.v, Jdh.w] = [dh.v, Jdh.jjw] = [dh.v, dg.jw],$$

and combining this with the first term we obtain (34).

(3) The right hand side of (34) is already decomposed into its components with respect to  $\mathfrak{k}$  and  $\mathfrak{p}$  (note that  $df(T_u M) \subset \mathfrak{p}$  and  $[\mathfrak{p}, \mathfrak{p}] \subset \mathfrak{k}$ ), and (36) follows from (23). To prove (37) note that  $\alpha = \nabla dh + \nabla dg$ , and

$$\nabla dg = \nabla[h, dh j] = [dh, dh j] + [h, \nabla dh j].$$

The  $\mathfrak{k}$ -component of the second term  $[h, \nabla dh j]$  vanishes since  $\text{ad}(h) = \text{ad}(\hat{J}_h)$  takes values in  $\mathfrak{p}$ . The first term  $[dh, dh j]$  is antisymmetric on  $T'M \otimes T'M$  (where  $j$  is just a scalar factor  $i$ ), but  $\nabla dg_{\mathfrak{k}}^{(2,0)}$  is symmetric, so it must be zero. We are left with the  $(2, 0)$  component of  $(\nabla dh)_{\mathfrak{k}} = \alpha^P(dh, dh)$  (mind that  $\mathfrak{k}$  is the normal space of  $P \subset \mathfrak{g}$  at  $p = h(u)$ ).

(2) In order to prove (35), we only have to consider the  $\mathfrak{k}$ -part of (34) since the expression  $\alpha(v, v) + \alpha(jv, jv)$  belongs to the  $(1, 1)$ -part of  $\alpha$  whose  $\mathfrak{p}$ -component vanishes by (36). We have

$$\alpha(v, v) + \alpha(jv, jv) = [dh.v, df.jv] + [dh.jv, df.jjv],$$

and since  $df j = -J df$  (due to the quasi-holomorphicity of  $f$ ), the second term is

$$[dh.jv, df.jjv] = -[dh.jv, J df.jv] = [J dh.jv, df.jv] = [dg.v, df.jv].$$

Thus the two terms add up to  $[df.v, df.jv] = -[df.v, J df.v] = [J df.v, df.v]$  which proves (35).

(4) Each pluriharmonic map  $h_\theta$  associated with  $h$  gives a Bonnet-Sym-Bobenko map  $g_\theta$  with

$$\begin{aligned} dg_\theta &= J_{h_\theta} dh_\theta j \\ &= J_{h_\theta} \text{Ad}(\Phi_\theta) dh r_\theta j \\ &= \text{Ad}(\Phi_\theta) J_h dh j r_\theta \\ &= \text{Ad}(\Phi_\theta) dg r_\theta. \end{aligned} \tag{42}$$

But we also have

$$dh_\theta = \text{Ad}(\Phi_\theta) dh r_\theta, \tag{43}$$

(see (29)), and therefore we obtain (38) from  $df_\theta = dg_\theta + dh_\theta$ . Since  $\text{Ad}(\Phi_\theta)$  is an isometry of  $\mathfrak{g}$  and  $r_\theta$  is an isometry for the Kähler metric on  $M$  induced by  $f$ , the immersions  $f_\theta$  are isometric. From the  $\mathfrak{k}$ -part of (34) we get (replacing  $dh$  with  $dh_\theta$  and using (43)),

$$\begin{aligned} \alpha_{\mathfrak{k}, \mathfrak{k}}(v, w) &= [\text{Ad}(\Phi_\theta) dh.r_\theta v, \text{Ad}(\Phi_\theta) df.r_\theta jw] \\ &= \text{Ad}(\Phi_\theta) [dh.r_\theta v, df.jr_\theta w] \\ &= \text{Ad}(\Phi_\theta) \alpha(r_\theta v, r_\theta w) \end{aligned}$$

which proves (40). Finally, (39) can be concluded from the  $\mathfrak{p}$ -part of (34) observing

$$\nabla_v^P dh_\theta = \nabla_v^P (\Phi_\theta dh r_\theta) = \Phi_\theta (\nabla_v^P dh) r_\theta,$$

which holds because  $r_\theta$  and  $\Phi_\theta$  (viewed as a homomorphism  $h^*TP \rightarrow h_\theta^*TP$ ) are parallel.  $\square$

<sup>5</sup>We have  $\langle \alpha^P(X, Y), \xi \rangle = \langle \partial_X Y, \xi \rangle = -\langle Y, \partial_X \xi \rangle$  for any  $\xi \in \mathfrak{k}$ . The vector  $X \in T_p P$  can be expressed by the action of a one-parameter group  $g_t = \exp t\hat{X}$  for some  $\hat{X} \in \mathfrak{p}$ , more precisely,  $X = \frac{d}{dt}|_{t=0} \text{Ad}(g_t)p = [\hat{X}, p] = -J\hat{X}$ . Hence  $\hat{X} = JX$ . Now  $\partial_X \xi = \frac{d}{dt}|_{t=0} \text{Ad}(g_t)\xi = [\hat{X}, \xi] = [JX, \xi]$ , and  $\langle \alpha^P(X, Y), \xi \rangle = -\langle Y, [JX, \xi] \rangle = -\langle [Y, JX], \xi \rangle$ .

### Concluding Remarks.

1. Equation (35) is the generalization of the cmc property  $H = -\frac{1}{2}$ : It says that for any complex one-dimensional submanifold (complex curve)  $C \subset M$ , the mean curvature vector of the surface  $f|_C$  in  $\mathfrak{g}$  is given by the second fundamental form of  $P$  along  $h|_C$ . If  $M$  is itself a surface and  $P = S^2$  with the position vector as unit normal, then  $\langle \alpha(v, v) + \alpha(jv, jv), h \rangle = -\langle df.v, df.v \rangle$  and hence  $f$  has cmc  $H = -\frac{1}{2}$ . Due to (35), we would like to call the immersion  $f$  ‘pluri-cmc’ although in general the mean curvature vector is not constant (not even of constant length) along  $f|_C$ .

2. If  $h$  is *isotropic pluriharmonic* (see [9]), i.e.  $h$  admits a trivial associated family  $h_\theta = h$ , the maps  $f_\pm$  are twistor lifts of other isotropic pluriharmonic maps, see [16]. If  $h$  is even holomorphic (which is stronger), then  $f_+ = 0$  and  $f_- = 2h$ .

3. All three maps  $e = f, g, h$  have *associated families*  $e_\theta$  formed in the same way:

$$de_\theta = \text{Ad}(\Phi_\theta)de r_\theta \quad (44)$$

Geometrically this means that the tangent space  $de_u(T_u M)$  which is a subspace of the  $J$ -closure of  $dh_u(T_u M)$  (i.e. the smallest complex subspace of  $T_{h(u)}P$  containing  $dh_u(T_u M)$ ) is moved in a parallel way for all three cases, using the same automorphism  $\text{Ad}(\Phi_\theta(u))$ .

4. There is an important difference between the case of cmc surfaces in 3-space and the higher dimensional analogues: If  $f : M \rightarrow P$  is pluriharmonic but not (anti)-holomorphic, the dimension of  $M$  is strictly smaller than the one of  $P$ , with the only exception  $P = S^2$ . In fact, the flatness of  $dh(T'M) \subset h^*TP \otimes \mathbb{C}$  determines a dimension bound, see [21], [7]. This difference is reflected in the appearance of  $\alpha_{\mathfrak{p}}$  which does not occur in the cmc case.

5. There is yet another notion generalizing cmc surfaces, the so called *ppmc* submanifolds, see [3]. These are Kähler submanifolds  $M \subset \mathbb{R}^n$  with parallel  $\alpha^{(1,1)}$ , and they are characterized by the pluriharmonicity of their Gauss map. Our present generalization is different: Note that the pluriharmonic map  $h : M \rightarrow P$  is not the (Grassmann-valued) Gauss map of  $f_\pm$  but just one distinguished unit normal vector of  $f_\pm$ . This is the usual Gauss map only for surfaces in 3-space ( $P = S^2$ ). A flaw of the ppmc notion is the difficulty of finding interesting examples, see also [5], [6]. In contrast, the Bonnet-Sym-Bobenko construction gives many nontrivial examples of ‘pluri-cmc’ submanifolds.

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