

# From Quantum Mechanics to Materials Design

Volker Eyert

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# All-Electron Full-Potential Calculations at $\mathcal{O}(\text{ASA})$ Speed — A Fata Morgana?

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# Outline

- 1 Background
- 2 Full-Potential ASW Method
- 3 Proof of Concept: Results





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# Back in the 1930's ...

John C. Slater



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## Full Potential

$$v_{\sigma}(\mathbf{r}) : \begin{cases} \text{spherical symmetric near nuclei} \\ \text{flat outside the atomic cores} \end{cases}$$

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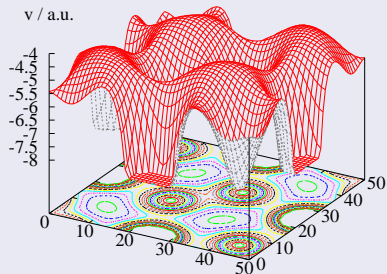
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## Muffin-Tin Approximation

$$v_{\sigma}^{MT}(\mathbf{r}) = \begin{cases} \text{spherical symmetric in spheres} \\ \text{constant in interstitial region} \end{cases}$$

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## Full Potential (FeS<sub>2</sub>)



## Muffin-Tin Potential



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## Muffin-Tin Approximation

distinguish:

- atomic regions
  
- remainder

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- match at sphere surface („augment“)

## Muffin-Tin Potential



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### “Linear Methods in Band Theory”

- linearize energy dependence of basis functions
  - root tracing  $\rightarrow$  eigenvalue problem
  - huge increase in computat. efficiency

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  - wave functions from full potential
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  - intuitive interpretation of results
- atomic-sphere approximation (ASA)
  - make spheres space-filling
  - more realistic
  - minimal basis set
    - very high computational efficiency
  - systematic error in total energy
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- restore interstitial region
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  - different linearization scheme
  - different interstitial energy
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- all-electron method
  - core electrons fully included
  - full coverage of periodic table
- minimal basis set
  - atomic-like ( $s$ ,  $p$ ,  $d$ ,  $f$ ) basis functions
  - intuitive interpretation of results
  - very high computational efficiency



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0th Generation (Williams, Kübler, Gelatt, 1970s)

PRB **19**, 6094 (1979)

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## Characteristics

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- all-electron method
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## 1st Generation (VE, 1990s)

IJQC 77, 1007 (2000)

- completely new implementation
- extended, more flexible basis set
- new algorithms → accuracy, numerical stability
- all LDA-parametrizations, most GGA-schemes
- new interpretative tools
- still based on atomic-sphere approximation

# ASW Method: Basic Formalism

## Wave Function Expanded in Basis Functions

$$\psi_{\sigma}(\mathbf{r}) = \sum_{L\kappa i} c_{L\kappa i\sigma} H_{L\kappa\sigma}^{\infty}(\mathbf{r}_i)$$

→  $c_{L\kappa i\sigma}$  determined variationally

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## Augmented Spherical Wave

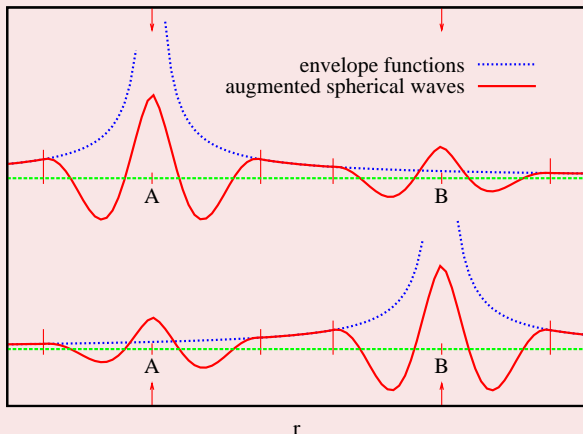
$$H_{L\kappa\sigma}^{\infty}(\mathbf{r}_i) = \begin{cases} H_{L\kappa}^l(\mathbf{r}_i) & \text{interstitial region} \\ \tilde{H}_{L\kappa\sigma}(\mathbf{r}_i) & \text{on-centre sphere } i \\ \sum'_{L'j} \tilde{J}_{L'\kappa\sigma}(\mathbf{r}_j) B_{L'L\kappa} & \text{off-centre spheres } j \end{cases}$$

$B_{L'L\kappa}(\mathbf{R}_j - \mathbf{R}_i)$ : structure constants

ASW classified by atomic site  $\mathbf{R}_i$ ,  $L = (l, m)$ , decay  $\kappa$ , spin  $\sigma$

# ASW Method: Basic Formalism

## Augmented Spherical Waves



# ASW Method: Basic Formalism

## Envelope Functions

$$H_{L\kappa}^l(\mathbf{r}_i) := i\kappa^{l+1} h_l^{(1)}(\kappa r_i) Y_L(\hat{\mathbf{r}}_i)$$

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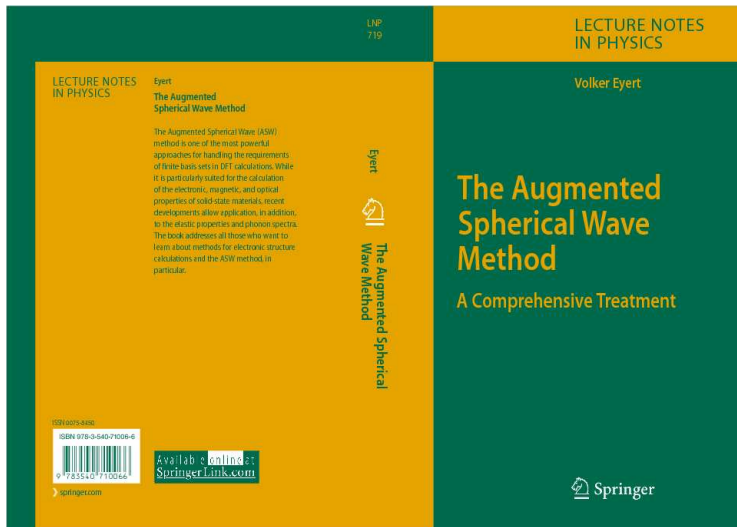
## Augmented Functions

$$\tilde{H}_{L\kappa\sigma}(\mathbf{r}_i) := \tilde{h}_{l\kappa\sigma}(r_i) Y_L(\hat{\mathbf{r}}_i)$$

$$\tilde{J}_{L'\kappa\sigma}(\mathbf{r}_j) := \tilde{j}_{l'\kappa\sigma}(r_j) Y_{L'}(\hat{\mathbf{r}}_j)$$

$\tilde{h}$ ,  $\tilde{j}$ : numerical solutions of radial Kohn-Sham equation  
 boundary conditions from envelope functions  
 correspond to  $\varphi$  and  $\dot{\varphi}$  of LMTO

# Further Reading





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# Basic Principles

## Steps to be Taken

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  - inside muffin-tin spheres
  - in the interstitial region

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- find representation of products of the wave function
- find representation of products of the basis functions
  - inside muffin-tin spheres
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# From Wave Functions to Electron Density

## Products of Basis Functions

$$\rho(\mathbf{r}) := (H_{L\kappa_1\sigma}^\infty(\mathbf{r}_i))^* H_{L'\kappa_2\sigma}^\infty(\mathbf{r}_j) = \rho^l(\mathbf{r})\Theta_l + \tilde{\rho}(\mathbf{r})(1 - \Theta_l)$$

- $\Theta_l = 0/1$  inside MT-spheres/in interstitial region

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## Products of Basis Functions inside MT-Spheres

spherical-harmonics expansion

$$\tilde{\rho}(\mathbf{r}_m) = \sum_K \tilde{\rho}_K(r_m) Y_K(\hat{\mathbf{r}}_m) \Theta_m$$

# From Wave Functions to Electron Density

## Products of Basis Functions

$$p(\mathbf{r}) := (H_{L\kappa_1\sigma}^\infty(\mathbf{r}_i))^* H_{L'\kappa_2\sigma}^\infty(\mathbf{r}_j) = p^l(\mathbf{r})\Theta_l + \tilde{p}(\mathbf{r})(1 - \Theta_l)$$

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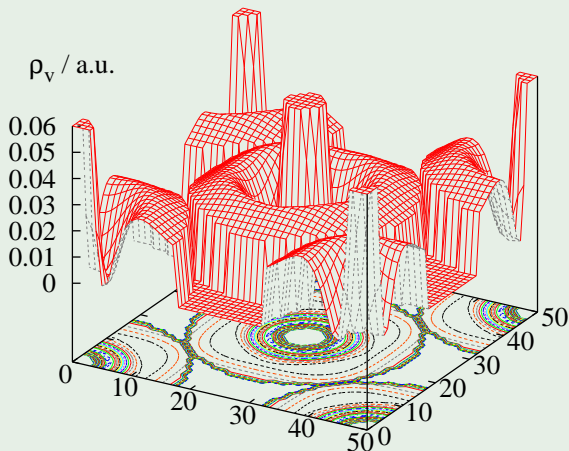
$\tilde{p}_K(r_m)$  from augmented functions ( $\tilde{h}_{l\kappa\sigma}(r_m)$  and  $\tilde{j}_{l\kappa\sigma}(r_m)$ )



# From Wave Functions to Electron Density

## Density inside MT-Spheres

(A)



# From Wave Functions to Electron Density

## Products of Basis Functions in Interstitial Region

$$\rho^l(\mathbf{r}) = \sum_n d_n F_n(\mathbf{r})$$

$$\int d^3\mathbf{r} F_{n'}^*(\mathbf{r}) \rho^l(\mathbf{r}) = \sum_n d_n \int d^3\mathbf{r} F_{n'}^*(\mathbf{r}) F_n(\mathbf{r})$$

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- $F_n(\mathbf{r})$ : plane waves
  - integrals exact
  - inefficient
  - Weyrich 1988, Blöchl 1989, VE 1991, Savrasov 1992, Methfessel 2000

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- $F_n(\mathbf{r})$ : spherical waves
  - integrals not known analytically
  - would be efficient
  - Springborg/Andersen 1987, Methfessel 1988, VE 2002, VE 2006

# From Wave Functions to Electron Density

## Interstitial Products of Basis Functions

expand in atom-centered spherical waves (Hankel functions):

$$p^l(\mathbf{r}) := (H_{L\kappa_1\sigma}^\infty(\mathbf{r}_i))^* H_{L'\kappa_2\sigma}^\infty(\mathbf{r}_j) \stackrel{!}{=} \sum_n \sum_{K\eta} d_{K\eta n\sigma}^{L\kappa_1 i L'\kappa_2 j} H_{K\eta}(\mathbf{r}_n)$$

- $H_{L'\kappa_2\sigma}^\infty(\mathbf{r}_j)$ : orbital basis set (obs)
- $H_{K\eta}(\mathbf{r}_n)$ : product basis set (pbs)

coefficients from projection  $\mathcal{P}$ :

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- Springborg/Andersen 1987  
 integrate over interstitial region, use one-center expansions

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- Methfessel 1988  
 match values and slopes at MT-sphere surfaces

$$\mathcal{P}_{K'\eta'n'} \dots \hat{=} \int d^2\hat{\mathbf{r}} Y_{K'}(\hat{\mathbf{r}}) \left( \frac{\partial}{\partial r} \right)^{\eta'-1} \dots \Big|_{|\mathbf{r}_{n'}|=s_{n'}}$$

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 integrate over interstitial region, use one-center expansions  
 (interstitial  $\rightarrow$  shells between AS- and MT-spheres)

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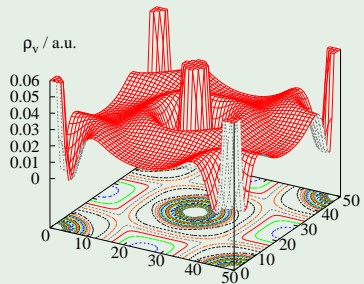
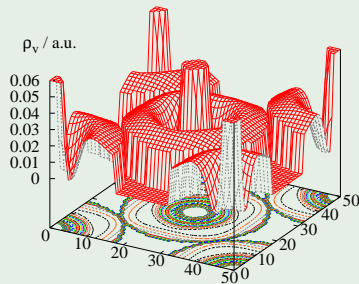
experience

- product basis set almost overcomplete
- value/slope (Methfessel) matching most stable

# From Wave Functions to Electron Density

## Density from Value/Slope Matching at MT-Radii (A)

(A)





# From Electron Density to Full Potential

## Inside Muffin-Tin Spheres

- density, Hartree-potential and xc-potential numerically

## Interstitial Region

- density from value/slope matching
- Hartree-potential analytically
- xc-potential from value/slope matching

# From Full Potential to Basis Functions

## Previous Approaches

- project full potential to muffin-tin potential
- construct basis functions from muffin-tin potential
- **no minimal basis set!** (multiple- $\kappa$  basis set!)

## Present Approach

- project full potential to ASA potential
- construct basis functions from ASA potential
- **minimal basis set!**
- future
  - use spheres larger than space-filling
  - remove linearization error

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## Michael S. Methfessel

- MT geometry used for density and potential  
→ accurate total energy good!
- MT geometry used for basis functions  
→ multiple- $\kappa$  basis set bad!

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# Implementation

## 2nd Generation (VE, 2000s)

- based on 1st generation code
- monolithic implementation
- full-potential ASW method at  $\mathcal{O}(\text{ASA})$  speed!
  - electron densities, spin densities
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  - elastic properties, phonon spectra
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at  $\mathcal{O}(\text{ASA})$  speed!

# Implementation

## 2nd Generation (VE, 2000s)

- based on 1st generation code
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- full-potential ASW method at  $\mathcal{O}(\text{ASA})$  speed!
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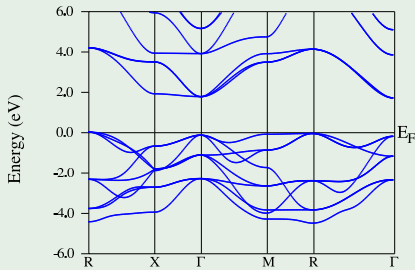
at  $\mathcal{O}(\text{ASA})$  speed!

# Outline

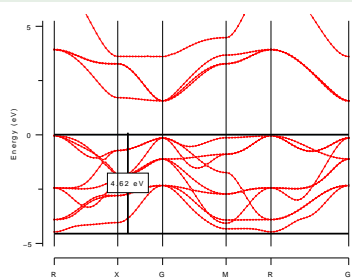
- 1 Background
- 2 Full-Potential ASW Method
- 3 Proof of Concept: Results**

# Electronic Structure of BaTiO<sub>3</sub>

Wien2k

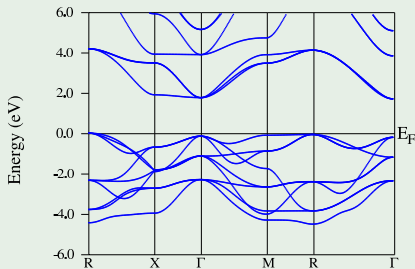


VASP

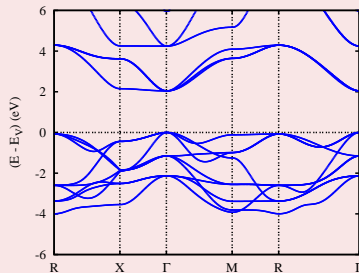


# Electronic Structure of BaTiO<sub>3</sub>

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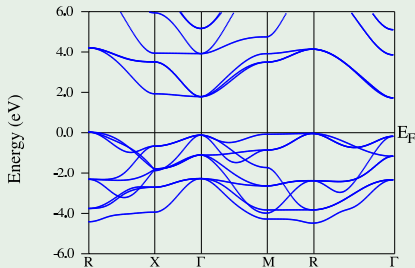


ASW Version 2.2

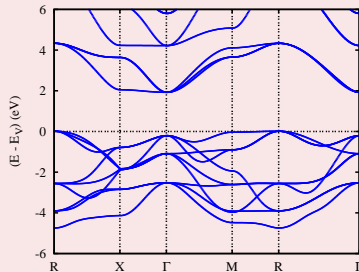


# Electronic Structure of BaTiO<sub>3</sub>

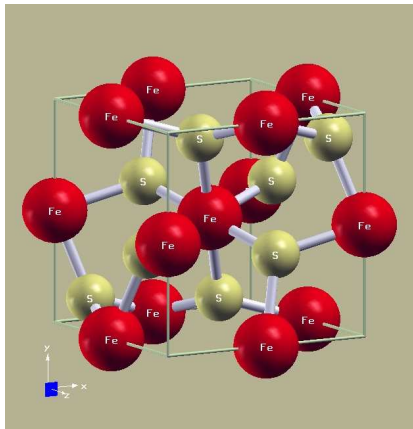
Wien2k



FPASW Version 2.3



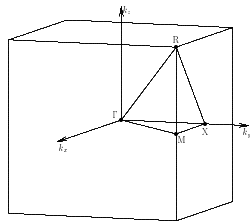
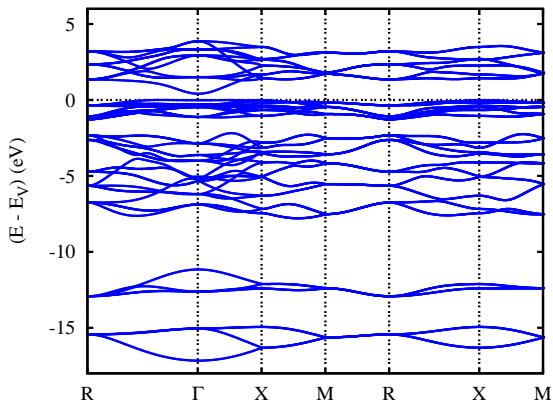
# Iron Pyrite: $\text{FeS}_2$



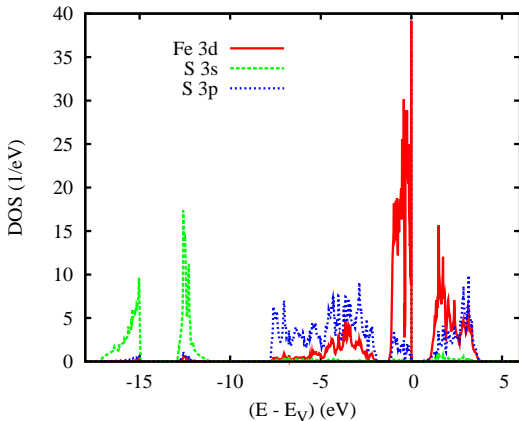
## Pyrite

- $Pa\bar{3} (T_h^6)$
- $a = 5.4160 \text{ \AA}$
- “NaCl structure”  
sublattices occupied by
  - iron atoms
  - sulfur pairs
- sulfur pairs  $\parallel \langle 111 \rangle$  axes
- $x_S = 0.38484$
- rotated  $\text{FeS}_6$  octahedra

# FeS<sub>2</sub>: Band Structure



# FeS<sub>2</sub>: Partial Densities of States

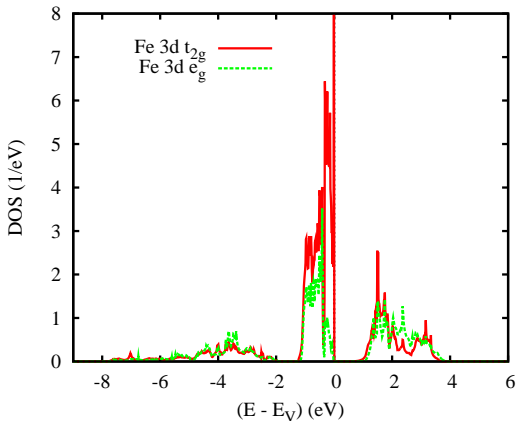


## Relevant states

- Fe 3d
- S 3s
- S 3p



# FeS<sub>2</sub>: Partial Densities of States



Crystal field splitting

Fe 3d in octahedron:  
 $t_{2g}$  and  $e_g$  states

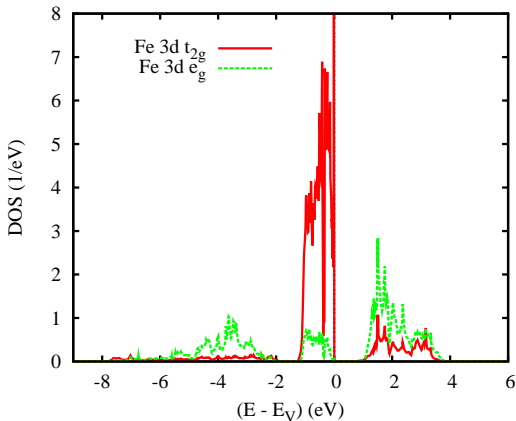
without rotation

with rotation

R(23)(1,1,0)

\*R(17)(0,0,1)

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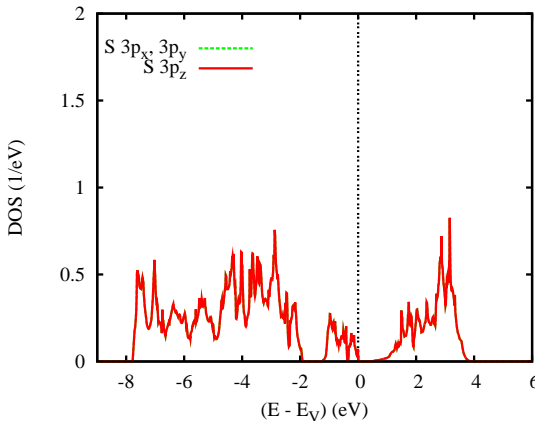
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# FeS<sub>2</sub>: Partial Densities of States



## Crystal field splitting

S 3p:  $p_x/p_y$  vs.  $p_z$   
states

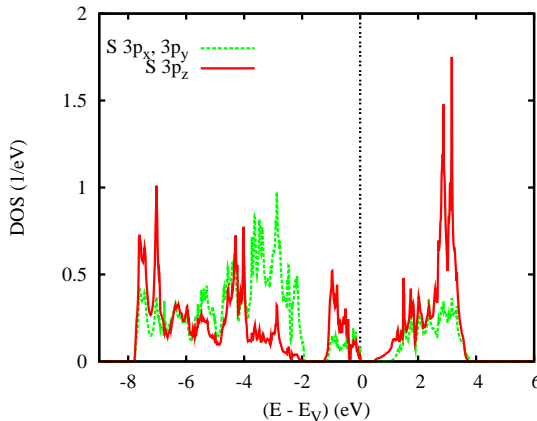
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R8(-1,1,0)

$\equiv$  R(45)(-1,1,0)

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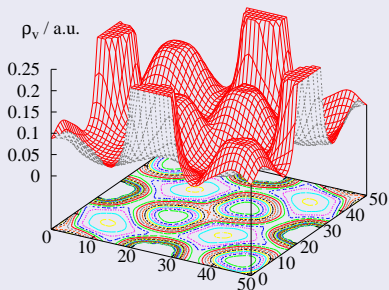
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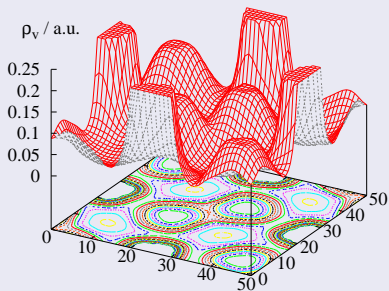
# FeS<sub>2</sub>: Density and Laplacian

## Valence Electron Density

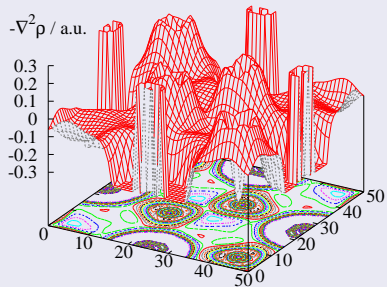


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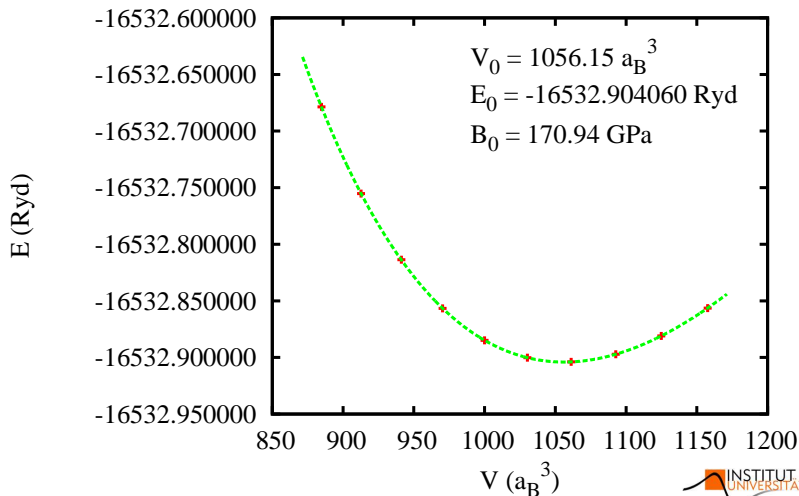
## Valence Electron Density



## Laplacian of Electron Density



# FeS<sub>2</sub>: Equilibrium Volume and Bulk Modulus



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## Lattice Constant

10.28	NCPP	Zeng and Holzwarth '94
10.02	FPLO	Opahle <i>et al.</i> '99
10.17	CRYSTAL98	Muscat <i>et al.</i> '02
9.92	CASTEP	Muscat <i>et al.</i> '02
10.18	FPASW	present work
10.23	exp.	Finklea <i>et al.</i> '76
10.22	exp.	Will <i>et al.</i> '84
10.23	exp.	Stevens <i>et al.</i> '91

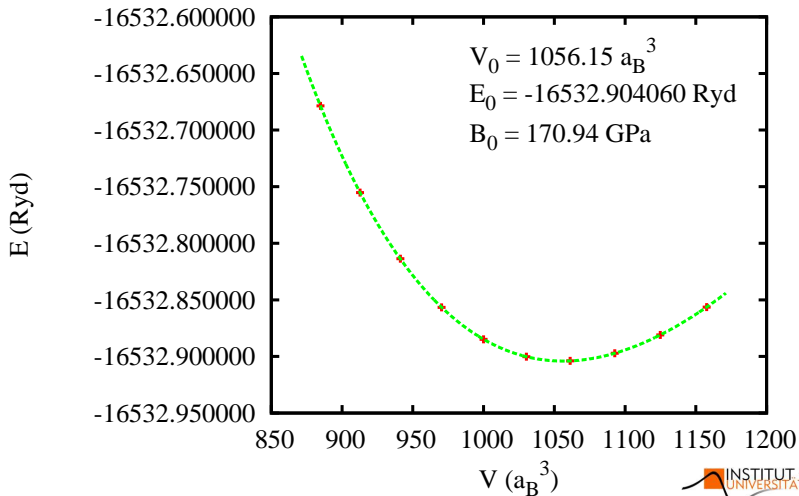


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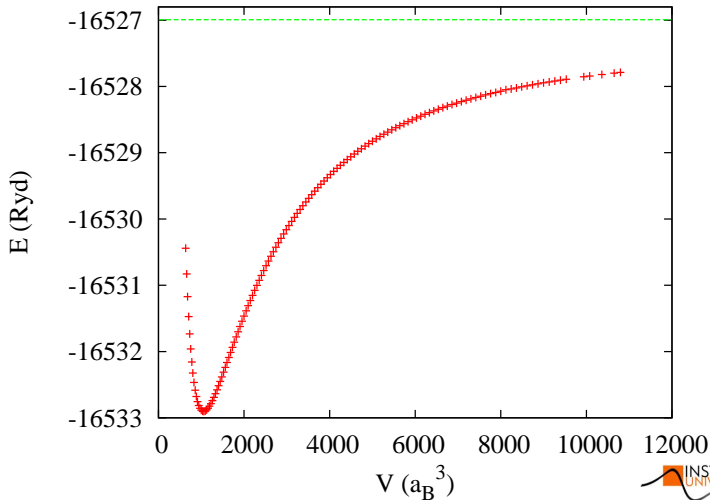
## Bulk Modulus

187	LMTO	Nguyen-Manh <i>et al.</i> '98
185	FPLO	Opahle <i>et al.</i> '99
209	CRYSTAL98	Muscat <i>et al.</i> '02
208	CASTEP	Muscat <i>et al.</i> '02
171	FPASW	present work
148	exp.	Drickamer <i>et al.</i> '66
118	exp.	Will <i>et al.</i> '84
215	exp.	Chattopadhyay and von Schnering '85
157	exp.	Fujii <i>et al.</i> '86
143	exp.	Jephcoat and Olson '87
162	exp.	Ahrens and Jeanloz '87
145	exp.	Blachnik <i>et al.</i> '98

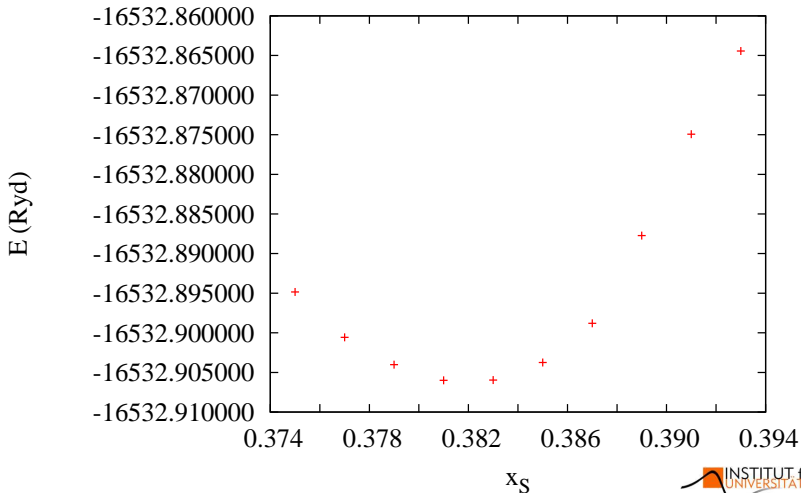
# FeS<sub>2</sub>: From Atoms to the Solid



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# FeS<sub>2</sub>: Frozen Phonon Calculation



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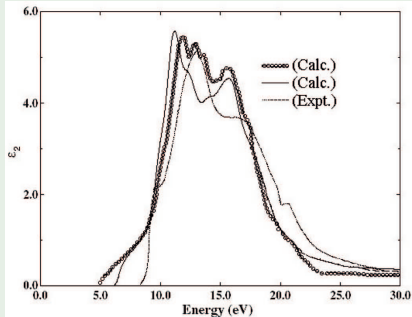
## Sulfur Position

0.378	NCPP	Zeng and Holzwarth '94
0.377	FPLO	Opahle <i>et al.</i> '99
0.378	CRYSTAL98	Muscat <i>et al.</i> '02
0.382	CASTEP	Muscat <i>et al.</i> '02
<b>0.382</b>	<b>FPASW</b>	<b>present work</b>
0.386	exp.	Finklea <i>et al.</i> '76
0.386	exp.	Will <i>et al.</i> '84
0.385	exp.	Stevens <i>et al.</i> '91

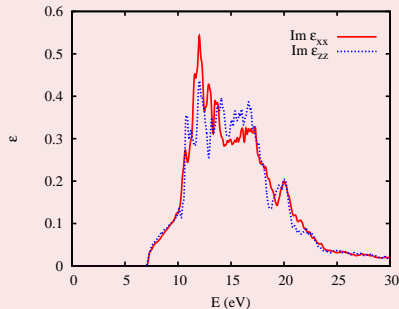
# Dielectric Functions of Corundum

## Imaginary Part

FLAPW, Hosseini *et al.*, 2005  
FPLMTO, Ahuja *et al.*, 2004



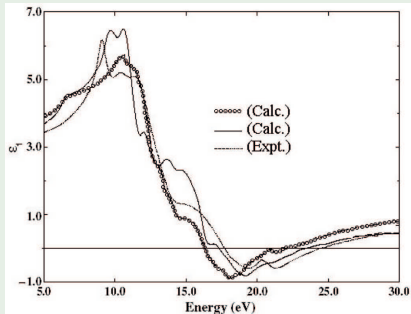
FPASW



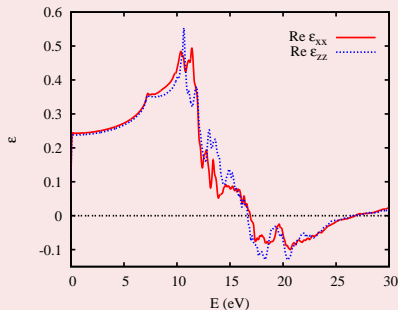
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## Real Part

FLAPW, Hosseini *et al.*, 2005  
FPLMTO, Ahuja *et al.*, 2004



## FPASW



# Summary

## Full-Potential ASW Method

- ASA Geometry used for Basis Functions
- MT Geometry used for Density and Potential
- $\mathcal{O}(\text{ASA})$  Speed
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## Outlook

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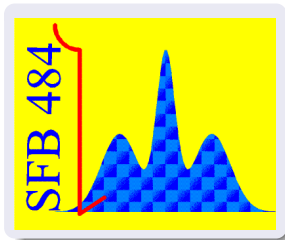
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# Acknowledgments

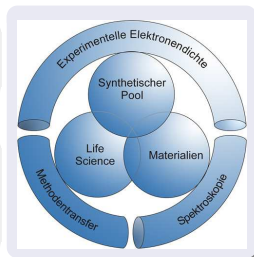


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J. Sticht †

Darmstadt

P. C. Schmidt, M. Stephan

Paris

E. Wimmer

Vienna

W. Wolf

Stockholm

A. Mavromaras

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Oran

Thank You for Your Attention!